

Overview and Certification

Lexington Housing Authority Annual Plan for Fiscal Year 2022 For State-Aided Public Housing

The Annual Plan is a document compiled by housing authority staff in advance of each new fiscal year. The plan serves as both a tool for the Local Housing Authority (LHA) to reflect upon the prior fiscal year, and as an opportunity to develop a clear and transparent plan that builds on successes, identifies needs, and corrects any issues that have arisen in prior years. Additionally, the Annual Plan is an important tool for tenants, who may use the document to better understand the operations and needs of their housing authority, advocate for changes to policies and procedures, access data about the housing authority, and participate in their housing authority's governance.

In addition to the physical document, the Annual Plan is also a process of public engagement. Throughout the Annual Plan process, the LHA executive director or their designee will be expected to review the Plan with any Local Tenant Organizations (LTO's) and Resident Advisory Board (RAB) before the LHA presents the plan to the LHA Board of Commissioners; make a draft available for review to all residents and the general public; post on the website and make a copy available to each LTO at least 30 business days before the public hearing; hold a hearing on the document; and collect, integrate, and report back on substantive comments. Additionally, the Board will read, offer recommendations, and approve the Annual Plan in advance of its submission to DHCD.

The law that mandates the Annual Plan is [An Act Relative to Local Housing Authorities, Massachusetts General Laws, Chapter 121B Section 28A](#). The regulation that expands upon Section 28A is [760 CMR 4.16](#). The regulations that address Local Tenant Organization (LTO) and resident participation in the Annual Plan are [760 CMR 6.09 \(3\)\(h\)](#) and [760 CMR 6.09\(4\)\(a\)\(4\)](#).

The Lexington Housing Authority's Annual Plan for their 2022 fiscal year includes the following components:

1. Overview and Certification
2. Capital Improvement Plan (CIP)
3. Maintenance and Repair Plan
4. Operating Budget
5. Narrative responses to Performance Management Review (PMR) findings
6. Policies
7. Waivers
8. Glossary
9. Other Elements
 - a. Email for discussing Annual Plan with LTO and residents
 - b. LTO provided feedback by email. Attached is explanation, feedback from LTO and LHA responses (in red)
 - c. Cover Sheet
 - d. Tenant Satisfaction Survey 667 Program

State-Aided Public Housing Developments

The following table identifies the state-aided public housing units with developments of more than 8 units listed separately. Units in developments of 8 or fewer units are aggregated as noted. Units that the LHA provides to assist clients of the Department of Mental Health (DMH), the Department of Developmental Services (DDS), or other agencies are also aggregated separately.

Dev No	Type	Development Name	Num Bldgs	Year Built	Dwelling Units
667-01	Elderly	GREELEY VILLAGE 667-01	26	1968	104
667-02	Elderly	VYNEBROOK VILLAGE 667-02	5	1973	48
	Other	Special Occupancy units	4		20
	Family	Family units in smaller developments	1		1
Total			36		173

Massachusetts Rental Voucher Program (MRVP)

The Massachusetts Rental Voucher Program (MRVP) is a state-funded program that provides rental subsidies to low-income families and individuals. In most cases, a “mobile” voucher is issued to the household, which is valid for any market-rate housing unit that meets the standards of the state sanitary code and program rent limitations. In some cases, vouchers are “project-based” into a specific housing development; such vouchers remain at the development if the tenant decides to move out.

Lexington Housing Authority manages 5 MRVP vouchers.

Federally Assisted Developments

Lexington Housing Authority also manages Federally-assisted public housing developments and/or federal rental subsidy vouchers serving 145 households.

LHA Central Office

Lexington Housing Authority

1 Countryside Village, Lexington, MA, 02420

Caileen Foley, Executive Director

Phone: 781-861-0900

Email: cfoley@lexingtonhousing.org

LHA Board of Commissioners

	<u>Role</u>	<u>Category</u>	<u>From</u>	<u>To</u>
Melinda Walker	Member		03/09/2009	03/31/2024
Mark McCullough	Member		04/07/2020	01/27/2022
Richard Perry	Vice-Chair		03/31/2018	03/31/2022
Nicholas Santosuosso	Chair		03/07/2011	03/31/2021
Weidong Wang	Treasurer		03/06/2012	03/31/2022

Local Tenant Organizations

	<u>Date of Recognition by LHA</u>	<u>Date LHA Reviewed Draft AP with LTO</u>
GVRA Residents Association	12/02/2016	04/01/2021

Plan History

The following required actions have taken place on the dates indicated.

REQUIREMENT		DATE COMPLETED
A.	Advertise the public hearing on the LHA website.	02/11/2021
B.	Advertise the public hearing in public postings.	02/11/2021
C.	Notify all LTO's and RAB, if there is one, of the hearing and provide access to the Proposed Annual Plan.	02/11/2021
D.	Post draft AP for tenant and public viewing.	02/19/2021
E.	Hold quarterly meeting with LTO or RAB to review the draft AP. (Must occur before the LHA Board reviews the Annual Plan.)	04/01/2021
F.	Annual Plan Hearing. Hosted by the LHA Board, with a quorum of members present. (For Boston, the Administrator will host the hearing.)	04/13/2021
G.	Executive Director presents the Annual Plan to the Board.	04/13/2021
H.	Board votes to approve the AP. (For Boston Housing Authority, the Administrator approves and submits the AP.)	04/13/2021

Certification

CERTIFICATION FOR SUBMISSION OF THE ANNUAL PLAN

I, Caileen Foley, Executive Director of the Lexington Housing Authority, certify on behalf of the Housing Authority that: a) the above actions all took place on the dates listed above; b) all facts and information contained in this Annual Plan are true, correct and complete to the best of my knowledge and belief and c) that the Annual Plan was prepared in accordance with and meets the requirements of the regulations at 760 CMR 4.16 and 6.09.

Date of certification: 04/26/2021

The Department of Housing and Community Development (DHCD) completed its review of this Annual Plan (AP) on May 20, 2021. Review comments have been inserted into the plan.

Capital Improvement Plan (CIP)**Capital Improvement Plan****DHCD Description of CIPs:**

The Capital Improvement Plan (CIP) is a five year plan which identifies capital projects, provides a planning scope, schedule and budget for each capital project and identifies options for financing and implementing the plan. The CIP identifies anticipated spending for each Department of Housing and Community Development (DHCD) fiscal year (July 1 to June 30) based on the project schedules.

Local Housing Authorities (LHAs) receive yearly awards from DHCD (Formula Funding Awards) which they target to their most urgent capital needs in their CIP. They may also receive special awards from DHCD for specific projects which meet specific criteria. Special awards may be given for certain emergency, regulation compliance, energy and water conservation, and other projects. The first three years of the CIP are based on actual awards made to the LHA, while years four and five are based on estimated planning amounts, not actual awards.

LHAs may sometimes secure other sources of funding and assistance that you will note in their CIP, such as: Community Preservation Act (CPA) funding, Community Development Block Grant (CDBG) funding, Local Affordable Housing Trust Funds (AHTF), HOME grants, income from leasing a cell tower on their property, savings from net meter credit contracts with solar developers, utility rebates and contracted work from utility providers, and Sheriff's Department work crews. However, not all of these funding sources are available every year, or in all communities.

The CIP includes the following parts:

- A table of available funding sources and amounts
- A list of planned capital projects showing spending per fiscal year
- A table showing special awards and other funding for targeted projects, if any, which supplements Formula Funding awarded to the LHA
- A 'narrative' with a variety of additional information.

Capital Improvement Plan (CIP)**Aggregate Funding Available for Projects in the First Three Years of the CIP:**

Category of Funds	Allocation	Planned Spending	Description
Balance of Formula Funding (FF)	\$712,461.35		Total of all FF awards minus prior FF spending
LHA Emergency Reserve	\$71,246.14		Amount to reserve for emergencies
Net FF Funds (First 3 Years of the CIP)	\$641,215.22	\$610,932.12	Funds to plan & amount actually planned in the first 3 years of the CIP
ADA Set-aside	\$1,808.20	\$2,000.00	Accessibility projects
DMH Set-aside	\$0.00	\$0.00	Dept. of Mental Health facility
DDS Set-aside	\$84,526.69	\$84,514.41	Dept. of Developmental Services facility
Unrestricted Formula Funding (FF)	\$554,880.33	\$524,417.71	Funds awarded by DHCD to be used on projects selected by the LHA and approved by DHCD.
Special DHCD Funding	\$3,077,735.08	\$3,077,735.08	Targeted awards from DHCD
Community Development Block Grant (CDBG) Funds	\$0.00	\$0.00	Federal funds awarded by a city or town for specific projects.
Community Preservation Act (CPA) Funds	\$0.00	\$0.00	Community Preservation Act funds awarded by a city or town for specific projects.
Operating Reserve(OR) Funds	\$0.00	\$0.00	Funds from the LHA's operating budget.
Other Funds	\$249,672.18	\$249,672.18	Funds other than those in the above categories. See explanation below.
Total funds and planned spending	\$3,968,622.47	\$3,938,339.37	Total of all anticipated funding available for planned projects and the total of planned spending.

Capital Improvement Plan (CIP)**CIP Definitions:**

ADA Set-aside is funding allocated within the Formula Funding (FF) for use on projects that improve accessibility for people with disabilities. 10% of FF awards are designated for this purpose.

Available State Bond Funding is the amount of State Bond Funding available to the LHA for the first three years of the CIP. It is calculated by totaling all of FF and Special Awards granted to the LHA through the end of the third year of the plan and subtracting the amount of these funds spent prior to July 1 of the first year of the plan.

Amount spent prior to the plan is the total amount of Formula Funding (FF) and Special Awards spent prior to July 1 of the first year of the plan.

Capital project is a project that adds significant value to an asset or replaces building systems or components. Project cost must be greater than \$1000.

CDBG stands for Community Development Block Grant, a potential source of project funds.

CPA stands for Community Preservation Act, a potential source of project funds.

CapHub Project Number is the number given to projects entered into DHCD's project management system known as CapHub.

DMH Set-aside is funding allocated within the Formula Funding (FF) for use on facilities leased to the Department of Mental Health (DMH) program vendors, if any exist at this LHA.

DDS Set-aside is funding allocated within the Formula Funding (FF) for use on facilities leased to the Department of Developmental Services (DDS) program vendors, if any exist at this LHA.

Formula Funding (FF) is an allocation of state bond funds to each LHA according to the condition (needs) of its portfolio in comparison to the entire state-aided public housing portfolio.

Operating Reserve is an account, funded from the LHA operating budget, primarily used for unexpected operating costs, including certain extraordinary maintenance or capital projects.

Other Funds could include other funding by the city or town or from other sources.

Special Awards are DHCD awards targeted to specific projects. Award programs include funds for emergencies beyond what an LHA can fund, for complying with regulatory requirements, for projects that will save water or energy use, and various other programs the department may run from time to time.

Total Cost is the sum of investigation, design, administration, permitting, and construction costs for a project

Unrestricted Formula Funding (FF) is money awarded to the LHA by DHCD under the Formula Funding program other than amounts set aside (restricted) for accessibility improvements or for facilities operated by DMH or DDS.

Capital Improvement Plan (CIP)

Formula Funding and Special DHCD Award Planned Spending - Other funding not included

Cap Hub Project Number	Project Name	Development(s)	Total Cost	Amount Spent Prior to Plan	Remaining Planned for 2021	fy2022 Planned	fy2023	fy2024	fy2025	fy2026
155018	2008 FF Master CFA	GREELEY VILLAGE 667-01	\$2,400	\$1,200	\$360	\$87	\$280	\$281	\$194	\$0
155104	FF: Greeley Village asphalt walkway repair	GREELEY VILLAGE 667-01	\$5,368	\$5,368	\$0	\$0	\$0	\$0	\$0	\$0
155105	FF: Greeley village concrete stair repair	GREELEY VILLAGE 667-01	\$146,601	\$99,310	\$35,885	\$0	\$0	\$0	\$0	\$0
155107	FF: 87 Hill St - Bathroom Upgrades	HILL STREET 689-01	\$28,339	\$28,339	\$0	\$0	\$0	\$0	\$0	\$0
155109	Emergency Generator	GREELEY VILLAGE 667-01	\$134,977	\$121,822	\$320	\$0	\$0	\$0	\$0	\$0
155111	Community Building Porch/Ramp Replacement	GREELEY VILLAGE 667-01	\$263,294	\$218,389	\$0	\$0	\$0	\$0	\$0	\$0
155112	VV hallway carpet replacement	VYNEBROOK VILLAGE 667-02	\$19,629	\$20,083	\$0	\$0	\$0	\$0	\$0	\$0
155114	Modernize exterior pole lights	VYNEBROOK VILLAGE 667-02	\$137,921	\$117,552	\$1,200	\$0	\$0	\$0	\$0	\$0
155115	87 Hill - Siding/insulation repair	HILL STREET 689-01	\$7,345	\$2,200	\$5,145	\$0	\$0	\$0	\$0	\$0
155116	27 Tewks - Rear Deck Replacement	TEWKSBURY STREET 689-1A	\$12,249	\$0	\$12,249	\$0	\$0	\$0	\$0	\$0

Capital Improvement Plan (CIP)

Formula Funding and Special DHCD Award Planned Spending - Other funding not included

Cap Hub Project Number	Project Name	Development(s)	Total Cost	Amount Spent Prior to Plan	Remaining Planned for 2021	fy2022 Planned	fy2023	fy2024	fy2025	fy2026
155117	Vinyl flooring replacement in bedrooms	TEWKSBURY STREET 689-1A	\$27,000	\$0	\$27,000	\$0	\$0	\$0	\$0	\$0
155118	96 Wood - Kitchen upgrade	WOOD STREET 705-02	\$11,289	\$3,475	\$7,814	\$0	\$0	\$0	\$0	\$0
155119	Asphalt Pavement Replacement	WOOD STREET 705-02	\$6,700	\$6,700	\$0	\$0	\$0	\$0	\$0	\$0
155120	Bathroom Plumbing Fixture Replacement	WOOD STREET 705-02	\$17,313	\$0	\$17,313	\$0	\$0	\$0	\$0	\$0
155121	ModPhase 2020: Vynebrooke Village	Vynebrooke Village	\$3,913,357	\$23,208	\$0	\$3,452,059	\$188,092	\$0	\$0	\$0
155122	Porch enclosure	FOREST STREET 689-03	\$56,176	\$7,276	\$1,029	\$47,872	\$0	\$0	\$0	\$0
155124	H&S FY20: Install Hot Water Tanks.	GREELEY VILLAGE 667-01	\$21,672	\$21,672	\$0	\$0	\$0	\$0	\$0	\$0
155125	ADA Ramp	VYNEBROOK VILLAGE 667-02	\$5,510	\$0	\$0	\$0	\$0	\$0	\$0	\$0
•	Replace bathroom medicine cabinets and light	GREELEY VILLAGE 667-01	\$100,300	\$0	\$0	\$0	\$0	\$0	\$100,300	\$0
•	Bathroom modernization original assessment 2 million	GREELEY VILLAGE 667-01	\$2,353,154	\$0	\$0	\$0	\$0	\$0	\$61,183	\$183,045
TOTALS			\$7,270,590	\$676,590	\$108,314	\$3,500,017	\$188,371	\$281	\$161,676	\$183,045

Capital Improvement Plan (CIP)

FUNDS IN ADDITION TO ANNUAL FORMULA FUNDING AWARD

Cap Hub Project Number	Project Name	DHCD Special Award Comment	Special DHCD Awards				Other Funding			
			Emergency Reserve	Compliance Reserve	Sustain- ability	Special Awards	CDBG	CPA	Operating Reserve	Other Funds
155111	Community Building Porch/Ramp Replacement		\$0	\$0	\$0	\$0	\$0	\$130,000	\$0	\$0
155121	ModPhase 2020: Vynebrooke Village		\$0	\$0	\$0	\$3,097,357	\$0	\$0	\$0	\$250,000
155124	H&S FY20: Install Hot Water Tanks.	H&S FY20: Install Hot Water Tanks.	\$0	\$0	\$0	\$21,625	\$0	\$0	\$0	\$0
155125	ADA Ramp		\$0	\$0	\$0	\$0	\$0	\$0	\$5,510	\$0
TOTALS			\$0	\$0	\$0	\$3,118,982	\$0	\$130,000	\$5,510	\$250,000

Capital Improvement Plan (CIP) Narrative

Including Requests to DHCD & Supporting Statements

1. Request for increased spending flexibility.

DHCD designates a spending target (cap share) and an allowable spending range for each year of the CIP. A Housing Authority may request to shift the cap shares of the first three years in order to increase scheduling flexibility. A CIP utilizing this flexibility is called an Alternate CIP. The total spending over three years and over five years must continue to meet the limits set by DHCD. DHCD will approve an Alternate CIP only with acceptable justification and only if funding is available.

Lexington Housing Authority has submitted an Alternate CIP with the following justification:

- Other

155121 is a \$3M ModPhase project (still in design) and it doesn't divide correctly to fit the CAP share as they are split between the years.

2. Request for additional funding.

A Housing Authority may request additional funding from DHCD for projects that qualify as emergencies, required legal compliance upgrades, or sustainability improvements.

Lexington Housing Authority has not requested additional funding.

3. Overall goals of the Housing Authority's CIP

The LHA overall goals for the upcoming FY capital plan are to maintain a 100% occupancy rate, high unit turnover rate (averaging approximately 10 days) as well as utilizing all our formula funding money to ensure our units are updated, maintained and remain a vital resource for low income housing in our community. With the recent COVID-19 pandemic, safety of our residents and staff is of utmost importance and we are doing everything we can to ensure all residents feel safe as we continue the mission of the LHA. Lease-ups and turnovers may take a little longer than normal, as we are enforcing strict COVID protocols.

Our recent ModPHASE award is a wonderful opportunity to provide new services to our Vynebrooke residents as well as provide much needed improvements to the units. We are excited this project has begun.

4. Changes from the Housing Authority's previous CIP

Every new CIP differs from the previous CIP because projects have been completed and a new year has been added with new projects. These changes and other significant changes to the content of the CIP are highlighted below:

No significant changes.

5. Requirements of previous CIP approval

There were no special conditions attached to the approval of our previous CIP.

6. Quarterly capital reports

Our most recent quarterly capital report (form 80 and 90) was submitted on 11/18/2020.

7. Capital Planning System (CPS) updates

Our CPS facility data has been updated with current condition information, including changes resulting from projects completed in the past year, as of 12/22/2020.

8. Project priorities

All the projects in our CIP are high priority (Priority 1 and 2 projects).

9. High priority deficiencies

We have included all of our high priority (CPS priority 1 and 2) projects in our CIP.

10. Accessibility

We have identified the following accessibility deficiencies in our portfolio:
ADA ramp at Vynebrook Village was needed.

We have incorporated the following projects in our CIP to address accessibility deficiencies:
FISH 155125 for ADA Ramp at VV

11. Special needs development

Lexington Housing Authority has one or more special needs (167 or 689 programs) development. We have completed the service provider input process according to the required procedures detailed in the lease agreement and held an annual meeting with the service provider staff at all special needs developments as of 09/10/2020.

12. Energy and water consumption

Our 12 most recent monthly energy reports are for months 12/2019 to 11/2020.

The following table lists the DHCD thresholds for Per Unit Monthly (PUM) expense for electricity, natural gas, oil, and water use and the developments at the Housing Authority that have expenses in excess of the thresholds, if any.

	Electric PUM > Threshold	Gas PUM > Threshold	Oil PUM > Threshold	Water PUM > Threshold
Threshold PUM:	\$100	\$80	\$50	\$60
	667-01		705-02	667-01
	667-02			667-02

We are installing Air Source Heat Pumps at 667-01 and 667-02, which we hope will decrease the cost of electricity.

13. Energy or water saving initiatives

Lexington Housing Authority is not currently pursuing any energy or water-saving audits or grants that could affect CIP project scope, costs or timing of projects.

14. Vacancy rate

Our unadjusted vacancy rate reported to DHCD is as follows. (The unadjusted vacancy rate captured in these figures is the percentage of ALL housing units that are vacant, including both offline units being used for other purposes and units with DHCD vacancy waivers.)

1% c. 667 (DHCD Goal 2%)

0% c. 200 (DHCD Goal 2%)

0% c. 705 (DHCD Goal 2%)

15. Vacancies

Lexington Housing Authority has no units listed as vacant, proposed to be vacant, or at risk of becoming vacant.

CIP Approval For Lexington Housing Authority for FY 2022

Formula Funding Capital Improvement Plan (CIP), WorkPlan 5001

5/18/2021

Congratulations! The CIP-2022 submitted by Lexington Housing Authority is approved, subject to the following conditions:

There are no projects to be managed independently by the LHA.

There are no large or complex projects to be managed by DHCD.

Going forward, if you need to add a project that is not in your approved CIP you will need to submit a revision through CIMS. Instructions for revising your CIP can be found on the CIMS Forms menu.

Details of the Approved CIP can be found at the link to 'Approved & Active CIP Reports' on the CIMS forms page in the CIP Reports section. Projects may utilize funding from multiple sources. The 'Original Approved' report details the proposed funding as submitted by the LHA. Please feel free to call DHCD Project Manager Ali Makke at (617) 573-1178 with any questions.

****Primary PM** is used to identify the agency responsible for updating a project's budget and schedule.

This document was created on 5/18/2021 by Ali Makke, Project Manager

Maintenance and Repair Plan

Maintenance Objective

The goal of good property maintenance at a public housing authority is to serve the residents by assuring that the homes in which they live are decent, safe, and sanitary.

About This Maintenance and Repair Plan

This Maintenance & Repair Plan consists of several subsections describing maintenance systems followed by charts showing typical preventive maintenance, routine maintenance, and unit inspection tasks and schedules. These subsections are:

- a. **Classification and Prioritization of Maintenance Tasks** - Defines and prioritizes types of work to be accomplished by maintenance staff and vendors. Explains how the housing authority is expected to respond to work orders (tasks or requests) based on the work order classification.
- b. **Emergency Response System** - Defines what constitutes an emergency and how to notify staff of an emergency.
- c. **Normal Maintenance Response System** - How to contact the maintenance staff for a non-emergency request.
- d. **Work Order Management** - Description of the housing authority's system for managing work orders (tasks and requests).
- e. **Maintenance Plan Narrative & Policy Statement** - Self-assessment, basic information, and goals for the coming year, along with a description of the housing authority's maintenance program.
- f. **Preventive Maintenance Schedule** - A listing and schedule of tasks designed to keep systems and equipment operating properly, to extend the life these systems and equipment, and to avoid unexpected breakdowns.
- g. **Routine Maintenance Schedule** - A listing and schedule of ordinary maintenance tasks such as mopping, mowing, raking, and trash collection required to keep the facilities in good condition.
- h. **Unit Inspections** - Scheduling of annual unit inspections.

Classification and Prioritization of Maintenance Tasks

Maintenance items are tracked as “work orders” and are classified in the following categories. They are prioritized in the order listed. The following classifications and prioritization are required by the Department of Housing and Community Development (DHCD).

- I. **Emergencies** - Emergencies are only those conditions which are **immediately threatening** to the life or safety of our residents, staff, or structures.
 - **Goal: initiated with 24 to 48 hours.**
- II. **Vacancy Refurbishment - Work necessary to make empty units ready for new tenants.**
 - After emergencies, the refurbishment of vacancies for immediate re-occupancy has the highest priority for staff assignments. **Everyday a unit is vacant is a day of lost rent.**
 - **Goal: vacancy work orders are completed within 30 calendar days or if not completed within that timeframe, LHA has a waiver.**
- III. **Preventive Maintenance** - Work which must be done to **preserve and extend the useful life** of various elements of your physical property and avoid emergency situations.
 - A thorough Preventive Maintenance Program and Schedule that deals with all elements of the physical property is provided later in the document.
 - The Preventive Maintenance Program is reviewed and updated annually and as new systems and facilities are installed.
- IV. **Programmed Maintenance** - Work which is important and is completed to the greatest extent possible within time and budget constraints. Programmed maintenance is grouped and scheduled to make its completion as efficient as possible. Sources of programmed maintenance include:
 - Routine Work includes those tasks that need to be done on a regular basis to keep our physical property in good shape. (Mopping, Mowing, Raking, Trash, etc.)
 - Inspections are the other source of programmed maintenance.
 - o Inspections are visual and operational examinations of parts of our property to determine their condition.
 - o All dwelling units, buildings and sites must be inspected at least annually.
 - o **Goal: Inspection-generated work orders are completed within 30 calendar days from the date of inspection, OR if cannot be completed within 30 calendar days, are added to the Deferred Maintenance Plan or the Capital Improvement Plan in the case of qualifying capital repairs (unless health/safety issue).**
- V. **Requested Maintenance** - Work which is requested by residents or others, does not fall into any category above, and should be accomplished as time and funds are available.
 - Requests from residents or others for maintenance work which does not fall into one of the other categories has the lowest priority for staff assignment.
 - **Goal: Requested work orders are completed in 14 calendar days from the date of tenant request or if not completed within that timeframe (and not a health or safety issue), the task is added and completed in a timely manner as a part of the Deferred Maintenance Plan and/or CIP.**

Emergency Request System

For emergency requests call the numbers listed here. Qualifying emergency work requests are listed below.

METHOD	CONTACT INFO.	TIMES
Call Answering Service	7818610900	4pm-8am
Call LHA at Phone Number	7818610900	8am-4pm

List of Emergencies - Emergencies are those conditions which are immediately threatening to the life or safety of our residents, staff, or structures. The following is a list of typical conditions that warrant an emergency response. If there is an emergency condition whether or not enumerated on this list please notify the office or answering service at the numbers listed above. If you have any questions regarding this list or other matters that may constitute an emergency, please contact the Lexington Housing Authority main office.

QUALIFYING EMERGENCY WORK REQUESTS
Fires of any kind (Call 911)
Gas leaks/ Gas odor (Call 911)
No electric power in unit
Electrical hazards, sparking outlets
Broken water pipes, flood
No water/ unsafe water
Sewer or toilet blockage
Roof leak
Lock outs
Door or window lock failure
No heat
No hot water
Snow or ice hazard condition
Dangerous structural defects
Inoperable smoke/CO detectors, beeping or chirping
Elevator stoppage or entrapment

Normal Maintenance Request Process

Make normal (non-emergency) maintenance requests using the following methods:

METHOD	CONTACT INFO.	TIMES
Text Phone Number		
Call Answering Service	781-861-0900	after business hours, 4pm - 8am
Call Housing Authority Office	781-861-0900	business hours, 8am-4pm
Submit Online at Website		
Email to Following Email		
Other		

Work Order Management

A. DHCD review of this housing authority's operations shows that the authority uses the following system for tracking work orders:

Type of work order system: DHCD's usual on-site review for this housing authority's work order system was cancelled due to the COVID-19 emergency.

Work order classification used:

Emergency	
Vacancy	
Preventative Maintenance	
Routine	
Inspections	
Tenant Requests	

B. We also track deferred maintenance tasks in our work order system.

C. Our work order process includes the following steps:

Step	Description	Checked steps are used by LHA
1	Maintenance Request taken/submitted per the standard procedures listed above for the Emergency Request System and the Normal Maintenance Request Process.	<input checked="" type="checkbox"/>
2	Maintenance Requests logged into the work system	<input checked="" type="checkbox"/>
3	Work Orders generated	<input checked="" type="checkbox"/>
4	Work Orders assigned	<input checked="" type="checkbox"/>
5	Work Orders tracked	<input checked="" type="checkbox"/>
6	Work Orders completed/closed out	<input checked="" type="checkbox"/>
7	Maintenance Reports or Lists generated	<input checked="" type="checkbox"/>

Maintenance Plan Narrative

Following are Lexington Housing Authority's answers to questions posed by DHCD.

- A. Narrative Question #1: How would you assess your Maintenance Operations based on feedback you've received from staff, tenants, DHCD's Performance Management Review (PMR) & Agreed Upon Procedures (AUP), and any other sources?

Work orders received by maintenance are dealt with immediately, usually within 6-48 hours. COVID has impacted these response times slightly as we keep the health and safety of our residents as a priority. PMR and AUP results are always positive; we utilize our work order system efficiently and the work order process is clear and streamlined.

- B. Narrative Question #2: What changes have you made to maintenance operations in the past year?

COVID has changed how we process regular work orders. Focus is more on emergencies, and dealing with daily work orders based on the comfort of tenant. Focus on cleaning, sterilizing as well.

- C. Narrative Question #3: What are your maintenance goals for this coming year?

High unit turnover time, maintaining COVID cleaning procedures.

D. Maintenance Budget Summary

The budget numbers shown below are for the consolidated budget only. They do not include values from supplemental budgets, if any.

	Total Regular Maintenance Budget	Extraordinary Maintenance Budget
Last Fiscal Year Budget	\$243,171.00	\$84,000.00
Last Fiscal Year Actual Spending	\$188,457.00	\$48,334.00
Current Fiscal Year Budget	\$0.00	\$0.00

E. Unit Turnover Summary

# Turnovers Last Fiscal Year	7
Average time from date vacated to make Unit "Maintenance Ready"	11 days
Average time from date vacated to lease up of unit	15 days

Attachments

These items have been prepared by the Lexington Housing Authority and appear on the following pages:

Preventive Maintenance Schedule - a table of preventive maintenance items showing specific tasks, who is responsible (staff or vendor), and the month(s) they are scheduled

Deferred Maintenance Schedule - a table of maintenance items which have been deferred due to lack of resources.

JANUARY

- ◆ Semi Annual Sprinkler Test 667-1 (G.V. Building 40).
- ◆ Annual Fire Alarm Inspection and Test 667-1 (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) (G.V. Buildings 13-16).
- ◆ Annual Fire Alarm Inspection and Test 667-2 (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) (V.V. Buildings 7-9).
- ◆ Annual Unit Inspection 667-1 (G.V. Buildings 13-19 and Community Building). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Unit Inspection 667-2 (V.V. Buildings 7-9). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Maintenance will inspect and schedule tile floor jobs if needed at the 667-1. This will include upper and lower landing in front halls.
- ◆ All snow equipment will be serviced.
- ◆ Clean sweep and dust all boiler rooms.
- ◆ Flush hot water tanks-all villages.
- ◆ Start and run emergency generator.
- ◆ Clean Office (C.S.V.) - Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas in all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

FEBRUARY

- ◆ All snow equipment will be serviced.
- ◆ Check and fill sand barrels 067-3, 667-1 & 667-2.
- ◆ Maintenance will inspect and schedule tile floor jobs if needed at Greeley Village this includes upper and lower landings in front hall.
- ◆ Start and run emergency generator.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas in all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.
- ◆ Schedule Annual Unit Inspection 667-1 (G.V. Buildings 20-26 and 40) for March also check inspection date of previous year. SEE CAILEEN (All LHA units inspected in October 2020).
- ◆ Schedule Annual Unit Inspection 667-2 (V.V. Buildings 10-12 and Community Building) for March also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection and Test (Check Smoke Detector and Carbon Monoxide Detector also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries) 705-2 (96 Wood Street) for March also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).

MARCH

- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V.-Buildings 17-19 and 24-26 and 40) for April.
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detectors Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V.-Buildings 10-12 and Community Room).
- ◆ Annual Unit Inspection 667-1 (G.V. Buildings 20-26 and 40) for March. SEE CAILEEN (All LHA units inspected in October 2020).
- ◆ Annual Unit Inspection 667-2 (V.V. Buildings 10-12 and Community Building) for March. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Unit Inspection and Test (Check Smoke Detector and Carbon Monoxide Detector also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries) 705-2 (96 Wood Street) for March. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Inspect and repair gutters from winter damage.
- ◆ Inspect **all** scattered sites yards for upkeep, notify tenants of any work they need to do.
- ◆ Rake and clean between buildings and under porches 667-1.
- ◆ Clean carpets at all community halls.
- ◆ Semi Annual Check Emergency Lights Test and Tag 067-3, 667-1, 667-2, 689-1 and 689-3.
- ◆ Weather permitting the maintenance staff will start removing accumulated sand from walkways, laundry/community buildings.
- ◆ Check catch basins for cleaning 067-3 & 667-2.
- ◆ Start and run emergency generator.
- ◆ Check all hot air furnaces and install new filters at office and 689-1, 689-2, 689-3.
- ◆ Unplug pipe warmer Countryside Village Building 3.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Change the furnace filters at Parker Manor all units and Building 40 A and D Greeley Village (where the filters are located for the entire building).
- ◆ Check and clean common areas in all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

APRIL

- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V. Buildings 10-12 and Community Building).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V. Buildings 17-19 and 24-26 and 40).
- ◆ Schedule Annual Unit Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries (**all** units Parker Manor) for May also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection and Test (Check Smoke Detector and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Batteries (25-27 Bedford Street) for May also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Weather permitting maintenance will start removing all leaves, broken tree limbs, litter etc. from all LHA sites.
- ◆ Maintenance will start trimming any tree/bush limbs that pose a hazard to people and property.
- ◆ Inspect **all** scattered sites yards for upkeep, notify tenants of any work they need to do.
- ◆ Sweep parking lots and walkways.
- ◆ Rake lawns and around shrubs.
- ◆ Inspect roofs 067-1, 067-3, 667-1 and 667-2.
- ◆ Annual sprinkler testing 689-1 and 689-3.
- ◆ All lawn care equipment will be taken from storage and tested for the new warm weather season.
- ◆ Start and run emergency generator.
- ◆ Check fire hydrants (all villages).
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

MAY

- ◆ Annual Unit Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries (**all** units Parker Manor). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Unit Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries (25 & 27 Bedford Street). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Weather permitting maintenance staff will start making repairs to landscapes that have been damaged by snow plows, ice, etc. This will include spreading loam and seeding wherever needed.
- ◆ Inspect **all** scattered sites yards for upkeep, notify tenants of any work they need to do.
- ◆ All parking lot damages will be repaired at this time, and lines and numbers will be repainted as needed.
- ◆ Fertilize at all LHA common areas; lime and seed any damaged grass.
- ◆ Maintenance staff will prep all sidewalks, parking spaces/lots and roadways before street sweeper arrives.
- ◆ Weather permitting all common area sites will have the grass cut and trimmed.
- ◆ Purchase and hang nylon US flags at all sites before Memorial Day weekend.
- ◆ Clean common area windows at all Villages.
- ◆ Put sand barrels away for season; take out flowerpots for planting.
- ◆ Annual asset # inspection for refrigerator and stove.
- ◆ Start and run emergency generator.
- ◆ Annual spring tune up on air conditioner.
- ◆ Furnaces-by carrying service contract provider.
- ◆ Check when switching from heat to air conditioning in Countryside Village boiler room drain to outside to prevent condensation.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

JUNE

- ◆ Schedule Semi Annual Sprinkler Test 667-1 (G.V. Building 40) for July.
- ◆ Schedule Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V. Buildings 9-12 and 20-23) for July also check inspection date of previous year.
- ◆ Schedule Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V. Buildings 1-3) for July also check inspection date of previous year.
- ◆ Schedule Unit Inspection 667-1 (G.V. Buildings 1-6) for July also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Unit Inspection 667-2 (V.V. Buildings 1-3) for July also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Maintenance staff will start exterior inspection and repairs to porches as needed to 667-1 and 667-2.
- ◆ Check boilers and shut down circulators 067-3.
- ◆ Check when switching from heat to air conditioning in Countryside Village boiler room drain to outside to prevent condensation.
- ◆ Check lawn equipment, oil, grease and repair as needed.
- ◆ Start and run emergency generator.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Inspect **all** scattered sites yards for upkeep, notify tenants of any work they need to do.
- ◆ Have a safety meeting.

JULY

- ◆ Semi Annual Sprinkler Test 667-1 (G.V. Building 40).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V. Buildings 9-12 and 20-23).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V. Buildings 1-3).
- ◆ Annual Unit Inspection 667-1 (G.V. Buildings 1-6). SEE October 2020).
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Reports from Fire Alarm Inspection Co.) 689-1 (87 Hill and 27 Tewksbury Street) for August also check inspection date of previous year.
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 689-2 (561-563 Mass Ave) for August also check inspection date of previous year.
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 689-3 (45 Forest Street) for August also check inspection date of previous year.
- ◆ Schedule Annual Unit Inspection 689-1 (87 Hill and 27 Tewksbury Street) for August also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection 689-2 (561-563 Mass Ave) for August also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection 689-3 (45 Forest Street) for August also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Fire Extinguishers checks also check inspection date of previous year.
- ◆ Inspect **all** scattered sites yards for upkeep, notify tenants of any work they need to do.
- ◆ Trim shrubs at all villages as needed.
- ◆ All site lawns will be cut every 10 days or sooner as needed.
- ◆ Flush hot water tanks-all villages.
- ◆ Check all lawn equipment, oil, grease as needed.
- ◆ Paint all handicap parking spaces all developments as needed.
- ◆ Apply wood preserve to picnic tables.
- ◆ Start and run emergency generator.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Change the furnace filters at Parker Manor all units.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3,

- ◆ 667-1 and 667-2.
- ◆ Have a safety meeting.

AUGUST

- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 689-1 (87 Hill and 27 Tewksbury Street).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 689-2 (561-563 Mass Ave).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 689-3 (45 Forest Street).
- ◆ Annual Unit Inspection 689-1 (87 Hill and 27 Tewksbury Street) (Check Smoke Detectors and Carbon Monoxide Detectors. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Unit Inspection 689-2 (561-563 Mass Ave) (Check Smoke Detectors and Carbon Monoxide Detectors). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Unit Inspection 689-3 (45 Forest Street) (Check Smoke Detectors and Carbon Monoxide Detectors). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Change Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 067-3 (C.S.V. **all** Buildings including Community Building) for September also check inspection date of previous year.
- ◆ Schedule Annual Unit Inspection 067-3 (C.S.V. **all** Buildings including Community Building) for September also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection 067-1 (**all** Scattered Sites) for September also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Fire Extinguishers checks.
- ◆ Contact Oil Service Provider; and make sure Scattered Sites, 25-27 Bedford St., 96 Wood have been scheduled to be cleaned out.
- ◆ Schedule Gas Service at Countryside Village.
- ◆ Check with DPW (contact- Betty Ann Racioppi) Fire Hydrant Flushing all villages (067-3, 667-1 and 667-2).
- ◆ Inspect **all** scattered sites yard upkeep, notify tenants of any work they need to do.
- ◆ Check Annual Inventory Check List Report.
- ◆ Check all lawn equipment, oil, grease, and repair as needed.
- ◆ Start and run emergency generator.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3,

- ◆ 667-1 and 667-2.
- ◆ Have a safety meeting.

SEPTEMBER

- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detector and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V. Buildings 1-8) for October also check inspection date of previous year.
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detector and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V. Buildings 4-6) for October also check inspection date of previous year.
- ◆ Schedule Annual Unit Inspection 667-1 (G.V. Buildings 7-12) for October also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection 667-2 (V.V. Buildings 4-6) for October also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Change Carbon Monoxide Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 067-3 (C.S.V. **all** Buildings including Community Building).
- ◆ Annual Unit Inspection 067-3 (C.S.V. **all** Buildings including Community Building). SEE CAILEEN (ALL LHA units inspected October 2020).
- ◆ Annual Unit Inspection and Test Smoke Detector and Carbon October 2020).
- ◆ Inspect **all** scattered sites yard upkeep, notify tenants of any work they need to do.
- ◆ Check boilers and turn circulators on 067-3.
- ◆ Check when switching from air conditioning to heat in the Countryside boiler room that water drains into bucket in utility room to prevent condensation.
- ◆ Semi Annual Check Emergency Lights Test and Tag 067-3, 667-1, 667-2, 689-1 and 689-3.
- ◆ Check lawn equipment; oil grease repair as needed.
- ◆ Check all hot air furnaces and install new filters at the office and 689-1, 689-2 and 689-3.
- ◆ Start and run emergency generator.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

OCTOBER

- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detector and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V. Buildings 1-8).
- ◆ Annual Fire Alarm Inspection and Test (Check Smoke Detector and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V. Buildings 4-6).
- ◆ Annual Unit Inspection 667-1 (G.V. Buildings 7-12). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Annual Unit Inspection 667-2 (V.V. Buildings 4-6). SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Cut grass as needed.
- ◆ Inspect **all** scattered sites yard upkeep, notify tenant of any work they need to do.
- ◆ Start trimming tree limbs as needed.
- ◆ Clean gutters and down spouts all villages and office.
- ◆ Rake leaves 667-1, 667-2, and 067-3
- ◆ Start and Run emergency generator.
- ◆ All snow equipment will be cleaned, oiled, greased, prepped and repaired as needed.
- ◆ Check when switching from air conditioning to heat in the Countryside boiler room that water drains into bucket in utility room to prevent condensation.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

NOVEMBER

- ◆ Place sand barrels at each site and fill with Sand and put flower pots in storage for winter.
- ◆ Inspect **all** scattered sites yards for upkeep, notify tenants of any work they need to do.
- ◆ Continue leaf removal at all villages.
- ◆ Clean gutters 067-1, 067-3, and 667-1.
- ◆ Service snow equipment.
- ◆ Start and run emergency generator.
- ◆ Clean gutters 45 Forest Street.
- ◆ Clean gutters 25-27 Bedford Street.
- ◆ Clean gutters 96 Wood Street.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check Smoke Detectors and Carbon Monoxide Detectors also Change Smoke Detector Batteries and Carbon Monoxide Batteries at all Scattered Sites.
- ◆ Check with current Oil Service Provider again; make sure Scattered Sites, 25 Bedford St., 96 Wood have been cleaned out.
- ◆ Check again, to be certain that Gas Boilers have been serviced at Countryside Village.
- ◆ Change the furnace filters at Parker Manor all units.
- ◆ Current Heating and Cooling Annual Service Contract clean Hill, Tewksbury, Forest Street, 27 Bedford, 561 Mass. Ave and 563 Mass. Ave.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Countryside Village-Turn off water spicket inside boiler room building three.
- ◆ Have a safety meeting.

DECEMBER

- ◆ Schedule Semi Annual Sprinkler Test 667-1(G.V. Building 40).
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-1 (G.V. Buildings 13-16) for January also check inspection date of previous year.
- ◆ Schedule Annual Fire Alarm Inspection and Test (Check Smoke Detectors and Change Smoke Detector Batteries and Check Radio Box Batteries and Get Alarm Reports from Fire Alarm Inspection Co.) 667-2 (V.V. Buildings 7-9) for January also check inspection date of previous year.
- ◆ Schedule Annual Unit Inspection 667-1 (G.V. Buildings 13-19) for January also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Schedule Annual Unit Inspection 667-2 (V.V. Buildings 7-9) for January also check inspection date of previous year. SEE CAILEEN (All LHA units inspected October 2020).
- ◆ Check common hall area lighting and exit lights 067-3.
- ◆ Finish cleaning gutters.
- ◆ Start and run emergency generator.
- ◆ Check all hot air furnaces and install new filters at office, 689-1, 689-2 and 689-3.
- ◆ Plug in pipe warmers Countryside Village-Building 3.
- ◆ Clean Office (C.S.V.) – Wipe down, dust, vacuum office and clean bathroom.
- ◆ Check and clean common areas all buildings vacuum and wash floors as needed 067-3, 667-1 and 667-2.
- ◆ Have a safety meeting.

VACANCY TURNOVER POLICY

The Lexington Housing Authority recognizes the need to lease vacant units promptly in order to assure an adequate cash flow needed to administer the programs, as well as to better address the housing needs in the community.

The following policy is developed as a guideline for the Housing Authority staff in order that this is achieved.

1. The Program Coordinator is responsible to maintain an active waiting list. This can be achieved in a number of ways, including periodic written correspondence, (are you interested letters, updated applications) and verbal communication either by telephone or in person.
2. Resident gives the LHA notice of intent to vacate. According to their lease, a resident must give at least 30 days notice.
3. Upon notice to vacate, and prior to the actual move-out, the Maintenance Supervisor will inspect the unit. At the time of the inspection, the Supervisor will note any deficiencies in the apartment other than reasonable wear and tear. The Supervisor will obtain estimates to repair any damages and list these costs on an inspection form (Statement of Condition). The Supervisor will present a copy of this statement to the tenant and retain one copy to be used by the Bookkeeping Department. If possible, both copies should be signed by the FHA as well as the tenant. The Bookkeeping Department will then bill the resident for these damages according to the lease.
4. The Program Coordinator will notify the next applicant on the waiting list that an apartment will soon be available. At this time, the prospective tenant is asked to bring all verification of income into the office.
5. (Day 1) Keys are turned into the LHA.
6. (Day 1 or 2) The Program Coordinator, after consultation with the Maintenance Supervisor makes an appointment for the prospective tenant to see the apartment.
7. (Day1-3) The maintenance staff cleans out any debris left behind. Painting and cleaning equipment is brought in and work commences to get the unit ready for occupancy.
8. (Day 3-5) The Program Coordinator shows prospective tenant the apartment. The person is required, within two days to plan to accept or reject the apartment. If the person is undecided, or rejects the unit, the Program Coordinator should go on to the next person on the waiting list, as well as making a note in the Vacancy Ledger.

9. (7-10 days Elderly, 2 weeks Family) When the unit has been accepted, and when it is ready for occupancy, the Supervisor shall conduct another inspection.
10. (Within 2 weeks) The lease shall be executed between the LHA and the tenant. The tenant must begin to pay rent.
11. After the new tenant moves into the unit, the Coordinator shall make an appointment to meet with him/her or them. At this time, the inspection form should be signed. The Program Coordinator should explain all pertinent information to the tenant and answer any questions.
12. The signed inspection form is returned to the main office along with a chronological list of events for units vacant longer than two (2) weeks.

ANNUAL HOUSING QUALITY INSPECTION

The Maintenance Department will inspect all housing units. Greeley Village is done in January, April, July and October. Vynebrooke Village is done in January, April, July and October. Countryside Village is done in September. 705 (96 Wood St) is done in April. Scattered sites, Parker Manor and Bedford Street are done in May. MRVP is done in July. 689s is done in August.

They perform these inspections by using Federal and State Housing Quality Standards along with the Massachusetts State Sanitary Code. Which ever is the most stringent code that applies to the situation.

When he completes his annual inspection, those units needing emergency repairs are given to the Maintenance Supervisor who will distribute the work to be completed immediately. Resident's apartments with housekeeping problems are sent letters telling them the deficiency and given a time and date of reinspection.

LHA COMPUTERIZED MAINTENANCE WORK ORDER SYSTEM

The Lexington Housing Authority has begun using a computerized maintenance work order system since August, 99. All office staff and maintenance take work orders by telephone or in person. We have six categories that work orders fall into:

Emergency	Routine
Urgent	Housing Quality Standards (Inspections)
Vacant	Preventative Maintenance

The Maintenance Supervisor analyzes them, categorizes them and distributes them to the appropriate maintenance personnel to complete according to need. When work has been completed the completed work order is given to the Program Coordinator to input the computer.

LEXINGTON HOUSING AUTHORITY
MAINTENANCE GUIDE

August, 99

Deferred Maintenance Plan										
Item	Date	Item Description	Location	Reason Deferred	Estimated Cost	Material	Work Order #	Target Complete	Actual Complete	Comments
Paint GV Halls	1/1/2017	repaint halls	common area	money	\$50k	paint		2018		
Paint VV halls	1/1/2017	repaint halls	common area	money	35k	paint		2018		
pressure wash GV	6/1/2017	mold	exterior bldg	money	\$7k				2019	
pressure wash VV	6/1/2017	mold	exterior bldg	money	\$5k			2019		
clean GV gutters	6/1/2017	clogged	exterior bldg	money	\$10k			2017	2018	
Tree work at GV part 1	1/1/2016	remove dead/large trees	exterior bldg	money	\$15k			2016	207	
Tree work at VV part 1	1/1/2016	remove dead/large trees	exterior bldg	money	\$15k			2016	2017	
Tree work at GV part 2	9/1/2017	remove dead/large trees	exterior bldg	money	\$15k			2018	2018	
Tree work at VV part 2	9/1/2017	remove dead/large trees	exterior bldg	money	\$15k			2018	2018	
Remove Buddy Strings GV	10/1/2017	per PH notice; not working	units	money	\$5k			2018		Tenant
Remove Buddy Strings VV	10/1/2017	per PH notice; not working	units	money	\$5k			2018		Tenant
Paint ext trim/columns GV (rear)	9/1/2017	paint peeling	exterior bldg	money	\$50k			2017		FISH 155093
VV comm room light upgrade	1/1/2016	outdated lighting	common area	money	\$10k			2020		
GV Fire alarm system	7/1/2016	outdated system/faults	site	money	\$250k					
VV exterior lights	7/1/2017	light poles failing	exterior bldg	money	\$100k			2019		
VV hallway carpet	7/1/2017	carpet needs replacement	common area	money	\$20k			2020	2019	FISH 155112
87 Hill St bathroom upgrades	7/1/2017	old fixtures/failing appliances	units	money	\$20k			2018		FISH 155107
VV accessible bathrooms	1/1/2018	as needed basis	units	money	\$50k			2020		
GV accessible bathrooms	1/1/2018	as needed basis	units	money	\$50k			2020		
45 Forest walkway repairs	1/1/2017	brick walkway coming up	exterior bldg	money	\$20k					FISH 155108
GV community room ramp	1/1/2019	steel failing	exterior bldg	formula funding	\$75k					FISH 15511
Mass Ave tree work	1/1/2019	overgrowth	exterior bldg	money	\$10k				2019	

Operating Budget

The tables on the following pages show the approved budget and actual income and spending per budget account (row) for the fiscal year ending 06/30/2020. It also shows the approved budget for the current year (2021) if there is one, and the percent change from last year's spending to this year's approved budget. The final column shows the current approved amount for each account divided by the number of housing units and by 12 months to show the amount per unit per month (PUM). The chart does not show a draft budget for the coming fiscal year as that will typically be developed in the final month of the fiscal year.

The budget format and accounts are mandated by the Department of Housing and Community Development (DHCD). For a better understanding of the accounts and discussion of special situations see the notes following the budget tables and the "Definitions of Accounts" at the end of this section.

The LHA maintains a consolidated budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by the LHA. It does not maintain separate budgets for each development.

LHA Comments

Our Operating Budget has been submitted and is in the process of being approved.

Operating Reserve

The LHA's operating reserve is the amount of funds that an LHA sets aside to sustain itself during lean years, or to remedy urgent health and safety concern or address deferred maintenance items. In addition, while DHCD approves a fixed non-utility operating budget level for every LHA (called the Allowable Non-Utility Expense Level, or ANUEL), LHAs can propose a budget that exceeds that level, with the additional cost to be funded from the Operating Reserve, as long as the reserve will still remain above the minimum threshold set by DHCD.

DHCD defines a full (100%) Operating Reserve (OR) amount to be equal to one-half of the previous year's operating expenses and requires LHAs to maintain a minimum OR of 35% of this amount to cover any unplanned but urgent needs that may arise during the year and that can't be funded by the operating budget. If the reserve is between 20% and 35% of the full level, the LHA must obtain prior written approval from DHCD to spend reserve funds, unless the expense is to resolve a health and safety issue. If the reserve is below the 20% level, the LHA can only spend OR funds on health and safety issues. In both cases, the LHA should address the health and safety issue immediately but must retroactively inform DHCD and obtain its approval.

The Lexington Housing Authority operating reserve at the end of fiscal year 2020 was \$509,411.00, which is 113.8% of the full reserve amount defined above.

Annual Plan 2022
Annual Operating Budget

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Lexington Housing Authority.						
REVENUE						
Account Number	Account Class	2020 Approved Revenue Budget	2020 Actual Amounts Received	2021 Approved Revenue Budget	% Change from 2020 Actual to 2021 Budget	2021 Dollars Budgeted per Unit per Month
3110	Shelter Rent - Tenants	\$582,168.00	\$585,315.00	\$0.00	0%	\$0.00
3111	Shelter Rent - Tenants - Fraud/Retroactive	\$0.00	\$0.00	\$0.00	0%	\$0.00
3115	Shelter Rent - Federal Section 8	\$0.00	\$0.00	\$0.00	0%	\$0.00
3190	Nondwelling Rentals	\$0.00	\$0.00	\$0.00	0%	\$0.00
3400	Administrative Fee - MRVP	\$0.00	\$0.00	\$0.00	0%	\$0.00
3610	Interest on Investments - Unrestricted	\$5,000.00	\$3,724.00	\$0.00	0%	\$0.00
3611	Interest on Investments - Restricted	\$0.00	\$0.00	\$0.00	0%	\$0.00
3690	Other Revenue	\$7,500.00	\$7,087.00	\$0.00	0%	\$0.00
3691	Other Revenue - Retained	\$145,000.00	\$170,883.00	\$0.00	0%	\$0.00
3692	Other Revenue - Operating Reserves	\$0.00	\$0.00	\$0.00	0%	\$0.00
3693	Other Revenue - Energy Net Meter	\$0.00	\$0.00	\$0.00	0%	\$0.00
3801	Operating Subsidy - DHCD (4001)	\$328,000.00	\$170,032.00	\$0.00	0%	\$0.00
3802	Operating Subsidy - MRVP Landlords	\$0.00	\$0.00	\$0.00	0%	\$0.00
3803	Restricted Grants Received	\$0.00	\$0.00	\$0.00	0%	\$0.00
3920	Gain/Loss From Sale/Disp. of Prop.	\$0.00	\$0.00	\$0.00	0%	\$0.00
3000	TOTAL REVENUE	\$1,067,668.00	\$937,041.00	\$0.00	0%	\$0.00

Annual Plan 2022
Annual Operating Budget

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Lexington Housing Authority.						
EXPENSES						
Account Number	Account Class	2020 Approved Expense Budget	2020 Actual Amounts Spent	2021 Approved Expense Budget	% Change from 2020 Actual to 2021 Budget.	2021 Dollars Budgeted per Unit per Month
4110	Administrative Salaries	\$109,534.00	\$109,299.00	\$0.00	0%	\$0.00
4120	Compensated Absences	\$0.00	\$6,192.00	\$0.00	0%	\$0.00
4130	Legal	\$2,000.00	\$177.00	\$0.00	0%	\$0.00
4140	Members Compensation	\$0.00	\$0.00	\$0.00	0%	\$0.00
4150	Travel & Related Expenses	\$2,500.00	\$852.00	\$0.00	0%	\$0.00
4170	Accounting Services	\$8,578.00	\$8,284.00	\$0.00	0%	\$0.00
4171	Audit Costs	\$5,750.00	\$7,412.00	\$0.00	0%	\$0.00
4180	Penalties & Interest	\$0.00	\$0.00	\$0.00	0%	\$0.00
4190	Administrative Other	\$20,000.00	\$24,811.00	\$0.00	0%	\$0.00
4191	Tenant Organization	\$0.00	\$0.00	\$0.00	0%	\$0.00
4100	TOTAL ADMINISTRATION	\$148,362.00	\$157,027.00	\$0.00	0%	\$0.00
4310	Water	\$80,000.00	\$75,311.00	\$0.00	0%	\$0.00
4320	Electricity	\$280,000.00	\$283,251.00	\$0.00	0%	\$0.00
4330	Gas	\$0.00	\$479.00	\$0.00	0%	\$0.00
4340	Fuel	\$2,000.00	\$1,337.00	\$0.00	0%	\$0.00
4360	Net Meter Utility Debit/Energy Conservation	\$0.00	\$0.00	\$0.00	0%	\$0.00
4390	Other	\$0.00	\$0.00	\$0.00	0%	\$0.00
4391	Solar Operator Costs	\$92,000.00	\$200,514.00	\$0.00	0%	\$0.00
4392	Net Meter Utility Credit (Negative Amount)	\$-92,000.00	\$-200,514.00	\$0.00	0%	\$0.00
4300	TOTAL UTILITIES	\$362,000.00	\$360,378.00	\$0.00	0%	\$0.00

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Lexington Housing Authority.						
EXPENSES						
Account Number	Account Class	2020 Approved Expense Budget	2020 Actual Amounts Spent	2021 Approved Expense Budget	% Change from 2020 Actual to 2021 Budget	2021 Dollars Budgeted per Unit per Month
4410	Maintenance Labor	\$160,771.00	\$129,930.00	\$0.00	0%	\$0.00
4420	Materials & Supplies	\$18,000.00	\$8,806.00	\$0.00	0%	\$0.00
4430	Contract Costs	\$64,400.00	\$49,721.00	\$0.00	0%	\$0.00
4400	TOTAL MAINTENANCE	\$243,171.00	\$188,457.00	\$0.00	0%	\$0.00
4510	Insurance	\$31,173.00	\$29,552.00	\$0.00	0%	\$0.00
4520	Payment in Lieu of Taxes	\$430.00	\$430.00	\$0.00	0%	\$0.00
4540	Employee Benefits	\$97,729.00	\$103,330.00	\$0.00	0%	\$0.00
4541	Employee Benefits - GASB 45	\$0.00	\$24,812.00	\$0.00	0%	\$0.00
4542	Pension Expense - GASB 68	\$0.00	\$0.00	\$0.00	0%	\$0.00
4570	Collection Loss	\$0.00	\$0.00	\$0.00	0%	\$0.00
4571	Collection Loss - Fraud/Retroactive	\$0.00	\$0.00	\$0.00	0%	\$0.00
4580	Interest Expense	\$0.00	\$0.00	\$0.00	0%	\$0.00
4590	Other General Expense	\$0.00	\$0.00	\$0.00	0%	\$0.00
4500	TOTAL GENERAL EXPENSES	\$129,332.00	\$158,124.00	\$0.00	0%	\$0.00
4610	Extraordinary Maintenance	\$84,000.00	\$48,334.00	\$0.00	0%	\$0.00
4611	Equipment Purchases - Non Capitalized	\$8,400.00	\$8,104.00	\$0.00	0%	\$0.00
4612	Restricted Reserve Expenditures	\$0.00	\$0.00	\$0.00	0%	\$0.00
4715	Housing Assistance Payments	\$0.00	\$0.00	\$0.00	0%	\$0.00
4801	Depreciation Expense	\$0.00	\$203,441.00	\$0.00	0%	\$0.00
4600	TOTAL OTHER EXPENSES	\$92,400.00	\$259,879.00	\$0.00	0%	\$0.00
4000	TOTAL EXPENSES	\$975,264.00	\$1,123,865.00	\$0.00	0%	\$0.00

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Lexington Housing Authority.						
SUMMARY						
Account Number	Account Class	2020 Approved Budget	2020 Actual Amounts	2021 Approved Budget	% Change from 2020 Actual to 2021 Budget	2021 Dollars Budgeted per Unit per Month
3000	TOTAL REVENUE	\$1,067,668.00	\$937,041.00	\$0.00	0%	\$0.00
4000	TOTAL EXPENSES	\$975,264.00	\$1,123,865.00	\$0.00	0%	\$0.00
2700	NET INCOME (DEFICIT)	\$92,404.00	\$-186,824.00	\$0.00	0%	\$0.00
7520	Replacements of Equip. - Capitalized	\$0.00	\$0.00	\$0.00	0%	\$0.00
7540	Betterments & Additions - Capitalized	\$0.00	\$6,485.00	\$0.00	0%	\$0.00
7500	TOTAL NONOPERATING EXPENDITURES	\$0.00	\$6,485.00	\$0.00	0%	\$0.00
7600	EXCESS REVENUE OVER EXPENSES	\$92,404.00	\$-193,309.00	\$0.00	0%	\$0.00

Explanation of Budget Accounts

The following explains how each of the line items is to be prepared.

3110: Shelter Rent: The shelter rent projection should be based on the current rent roll plus anticipated changes expected from annual rent re-determinations or as a result of regulatory amendments.

3111: Shelter Rent – Tenants - Fraud/Retroactive: This account should be used for the reporting of total rent receipts from residents due to unreported income. These are often called fraud or retroactive balances. In cases where deficit LHAs discover, pursue cases, and have entered into a written fraud/retroactive re-payment agreement **with a present or former tenant who did not report income**, the LHA will be allowed to retain two-thirds of the funds recovered. One third of the total dollar amount recovered should be included in the LHA's quarterly or year-end Operating Statement as Shelter Rent, account #3111, and two-thirds of this total dollar amount should be included in Other Revenue-Retained, account #3691.

3115: Shelter Rent - Section 8: This account applies only to those developments receiving support through the federal government's Housing and Urban Development (HUD) Section 8 New Construction and/or Substantial Rehab Programs.

3190: Non-Dwelling Rental: This account should be credited with the rents, other than tenants rents reported in line 3110 and 3115, including charges for utilities and equipment, billed to lessees of non-dwelling facilities as well as apartments rented for non-dwelling purposes, such as social service programs.

3400: Administrative Fee- MRVP/AHVP: This account should be credited with Administrative Fees to be received for the MRVP/AHVP Program. The MRVP/AHVP administrative fee is \$50.00 per unit per month, as of July 1, 2020.

3610: Interest on Investments – Unrestricted: This account should be credited with interest earned on unrestricted administrative fund investments.

3611: Interest on Investments – Restricted: This account should be credited with interest earned on restricted administrative fund investments. For example, an LHA may receive a grant whose use is restricted to a specific purpose, and the interest income earned on that grant may also be restricted to the same purpose.

3690: Other Operating Revenues: This account should be credited with income from the operation of the project that cannot be otherwise classified. Income credits to this account include, but are not limited to, penalties for delinquent payments, rental of equipment, charges for use of community space, charges to other projects or programs for the use of central office management and maintenance space, commissions and profits from vending machines, including washing machines, and certain charges to residents for additional services, materials, and/or repairs of damage caused by neglect or abuse in accordance with the Department's regulations on lease provisions..

3691: Other Revenue – Retained: This account should be credited with certain miscellaneous revenue to be retained by the LHA, and which is not used to reduce the amount of operating subsidy the LHA is due. The most common examples for this account is receipts for the rental of roof antennas to cell phone providers and net meter credits earned on electricity bills from Net Meter Power Purchase Agreements (PPA's). Generally, surplus LHAs may retain 100% of these savings and deficit LHAs may retain 25% of the savings, with

the 75% balance used to offset its need for operating subsidy. However, for the period 7/1/16 through 6/30/20, all deficit LHAs may keep 100% of the net meter credit savings, while they can keep 50% effective 7/1/2020.

3692: Other Revenue - Operating Reserves: This account should be credited with funds that LHAs plan to utilize from their operating reserve accounts in excess of the Allowable Non-Utility Expense Level (ANUEL). To be approvable, LHA must maintain the DHCD prescribed operating reserve minimum level after deducting the amount budgeted. The only exception to this is when the expenses are for health and safety issues.

3693: Other Revenue – Net Meter: This account should normally be credited with 75% of the total net meter credit savings realized by a deficit LHA, while surplus LHAs with net meter credit savings would enter \$0 here. Savings are calculated as the value of the net meter credits appearing on the LHA’s electric bills (or, in some cases, paid in cash to the LHA by their utility company), minus the cost of the payments made to the solar power developer under their Power Purchase Agreement (PPA). Deficit LHAs normally may retain 25% of the savings. That amount should be included as Other Revenue – Retained on line #3691. However, please note that for the period 7/1/16 through 6/30/20 all LHAs may retain 100% of their total net meter credit savings, and should report those savings as Other Revenue – Retained on line #3691. LHAs can keep 50% of savings effective 7/1/2020.

3801: Operating Subsidy – DHCD (400-1): This account represents all state-funded operating subsidy to be received and or to be earned for the fiscal year. At the end of each fiscal year, this account will be adjusted in the operating statement to equal the actual subsidy earned by the LHA.

3802: Operating Subsidy – MRVP/AHVP Landlords:

The credit balance in this account represents the anticipated total receipts from DHCD during the fiscal year for housing assistance payments to landlords. At the end of each fiscal year this account will be adjusted to equal the actual subsidy earned.

3920: Gain/Loss from Sale or Disposition of Property (Capitalized or Non-Capitalized): The debit or credit balance of this account represents the following items: a) Cash proceeds from the sale of property that was either: 1) non-capitalized; or 2) capitalized and has been fully depreciated, and b) Realized gain or loss from the sale or disposition of capitalized property that has not been fully depreciated.

4110: Administrative Salaries: This account should be charged with the gross salaries of LHA personnel engaged in administrative duties and in the supervision, planning, and direction of maintenance activities and operating services during the operations period. It should include the salaries of the executive director, assistant executive director, accountants, accounting clerks, clerks, secretaries, project managers, management aides, purchasing agents, engineers, draftsmen, maintenance superintendents, and all other employees assigned to administrative duties.

4120: Compensated Absences: The debit balance in this account represents the actual cost incurred during the fiscal year for vacation, paid holidays, vested sick leave and earned compensatory time. This account includes both the direct compensated absences cost and associated employer payroll expenses (employment taxes, pension cost, etc.).

4130: Legal Expense: This account should be charged with retainers and fees paid to attorneys for legal services relating to the operation of the projects.

4140: Compensation to Authority Members: A local authority may compensate its members for performance of their duties and such other services as they may render to the authority in connection with its Chapter 200 development(s). Compensation for any other program is not authorized. Because of this, LHAs must base such compensation only on the actual rent receipts for these developments plus a prorated share of other operating receipts of funds on a per unit basis. The precise amount that members may be compensated is defined by statute to a maximum of \$40 per member per day, and \$50 for the chairperson per day. The total of all compensation to all board members is not to exceed two percent (2%) of actual gross income of Chapter 200 developments in any given year, consistent with the approved budget amount. In no case shall the payment of compensation exceed \$12,500 annually for the chairperson, or \$10,000 for any member other than the chairperson. Please note the statute requires the member to perform housing authority business in order to receive compensation.

4150: Travel and Related Expense: Legitimate travel expenses incurred by board members and staff in the discharge of their duties for any **state-aided program** are reimbursable from this account, as consistent with Department policy.

4170: Contractual Accounting Services: Fees for accounting services that are provided routinely and are contracted for on an annual basis. Only accounting services performed on a contractual basis (fee accountant) should be included in this item. Full or part-time LHA accounting staff that provides routine accounting services should be included in Account 4110, Administrative Salaries.

4171: Audit Costs: This account includes the state program's prorated share of audit fees paid to an Independent Public Accountant (IPA). The procurement of an IPA is necessary to satisfy the Federal Government's audit requirements. Costs for these services should be shared with all state and federal programs of LHA. **Audit costs are to be absorbed within the ANUEL.** The new Agreed Upon procedures (AUP) audit costs for state-assisted public housing programs should also be included in this account.

4180: Penalties and Interest: Any expenses incurred from penalties, fees, and interest paid on delinquent accounts shall be included in this line item.

4190: Administrative Other: This account is provided for recording the cost of administrative items for which no specific amount is prescribed in this 4100 group of accounts. It includes, but is not limited to, the cost of such items as: reports and accounting forms; stationery and other office supplies; postage; telephone services; messenger service; rental of office space; advertising for bids; publications; membership dues; collection agency & court costs, training costs; management fees, and fiscal agent fees.

4191: Tenant Organization: LTO Funding by the LHA. Upon request the LHA shall fund all LTOs in a city or town at the annual rate of \$6.00 per state-aided public housing unit occupied or available for occupancy by residents represented by such LTO(s) or an annual total of \$500.00 prorated among all such LTO(s), whichever is more. For more information on the creation and funding of LTOs see 760 CMR 6.09.

Authorities which operate computer learning centers, which are funded by the state consolidated budget or by other sources (which are typically recorded in line #3691 as "Other Revenue Retained", should budget the cost of the centers on this line.

4310: Water: This account should be charged with the cost of water and sewer charges purchased for all purposes.

4320: Electricity: This account should be charged with the total cost of electricity purchased for all purposes. Many LHAs have entered into Net Meter Credit Power Purchase Agreements (PPA's). In these deals, an LHA executes a contract with a solar power developer who constructs and owns an off- site solar electricity-generating site. In exchange for contracting to purchase a percentage of the solar power produced, the LHA receives a credit on its utility electric bill for each KWH purchased or in some cases receives a direct cash payment from their utility company. Please ensure that the amount charged to this account is the total cost of electricity BEFORE any reductions due to the receipt of net meter credits.

4330: Gas: This account should be charged with the cost of gas (natural, artificial, or liquefied) purchased for all purposes.

4340: Fuel: This account should be charged with the cost of coal, fuel oil, steam purchased, and any other fuels (except electricity and gas) used in connection with Local Housing Authority operation of plants for the heating of space or water supplied to tenants as a part of rent.

4360: Net Meter Utility Debit/Energy Conservation: This account is to be charged with costs incurred for energy conservation measures.

4390: Other Utilities: This account should be charged with the cost of utilities which are not provided for in accounts 4310 through 4360. In addition, for all quarterly or year-end operating statements 9/30/20 or later, and all budgets 6/30/21 or later, please use this line to record the total net meter credits earned as reported in Line 4392, MINUS the Solar Operator Costs reported in Line 4391, with the result expressed as a positive number. For example, if you reported -\$20,000 in Net Meter Utility Credits in Line 4392 and \$15,000 in Solar Operator Costs in Line 4391, you would subtract the \$15,000 reported on Line 4391 from the -\$20,000 reported on Line 4392, and post the remainder of \$5,000 on Line 4360, as a positive number. This number essentially represents the "net" savings the LHA earned from its net meter credit contract.

4391: Solar Operator Costs: Many LHAs have entered into Net Meter Credit Power Purchase Agreements (PPA's). In these deals, an LHA executes a contract with a solar power developer who constructs and owns an off-site solar electricity-generating site. The LHA makes regular (usually monthly) payments to the developer for its contracted share of the solar electricity produced by the site. Those payments should be entered in this account.

4392: Net Meter Utility Credit (Negative Amount): As noted in account #4391 above, many LHAs have executed Net Meter Credit Power Purchase Agreements (PPA's). In exchange for contracting to purchase a percentage of the solar power produced, the LHA receives a credit on its utility electric bill for each KWH purchased from the developer, which reduces the balance on its electric bill, or, in some cases, the credits are paid in cash to the LHA by the utility company. The total gross amount of the net meter credits that appear on the LHA's utility bills should be carried in this account and entered as a negative number. In cases where credits are paid in cash to the Host LHA, the net balance after paying out the amounts due the participating housing authorities, should also be carried in this account and entered as a negative number.

4410: Maintenance Labor: This account should be charged with the gross salaries and wages, or applicable portions thereof, for LHA personnel engaged in the routine maintenance of the project.

4420: Materials & Supplies: This account should be charged with the cost of materials, supplies, and expendable equipment used in connection with the routine maintenance of the project. This includes the operation and maintenance of automotive and other movable equipment, and the cost of materials, supplies, and expendable equipment used in connection with operating services such as janitorial services, elevator services, extermination of rodents and household pests, and rubbish and garbage collection.

4430: Contract Costs: This account should be charged with contract costs (i.e. the cost of services for labor, materials, and supplies furnished by a firm or by persons other than Local Authority employees) incurred in connection with the routine maintenance of the project, including the maintenance of automotive and other movable equipment. This account should also be charged with contract costs incurred in connection with such operating services as janitorial services, fire alarm and elevator service, extermination of rodents and household pests, rubbish and garbage collection, snow removal, landscape services, oil burner maintenance, etc.

4510: Insurance: Includes the total amount of premiums charged all forms of insurance. Fire and extended coverage, crime, and general liability are handled by DHCD on a statewide basis. All other necessary insurance policies include: Workers' Compensation, boiler, vehicle liability and owner, etc.

4520: Payments in Lieu of Taxes:

This account should be charged with all payments in lieu of taxes accruing to a municipality or other local taxing body.

4540: Employee Benefits: This account should be charged with local housing authority contributions to employee benefit plans such as pension, retirement, and health and welfare plans. It should also be charged with administrative expenses paid to the State or other public agencies in connection with a retirement plan, if such payment is required by State Law, and with Trustee's fees paid in connection with a private retirement plan, if such payment is required under the retirement plan contract.

Employee benefits are based upon a given percentage of the total payroll; therefore, the total amount approved in this account will be based on the approved budgeted salaries representing the state's fair share.

4541: Employee Benefits - GASB 45: This line covers "Other Post-Employment Benefits" (OPEB). Of the total benefits offered by employers to attract and retain qualified employees, some benefits, including salaries and active-employee healthcare are taken while the employees are in active service, whereas other benefits, including post-employment healthcare and other OPEB are taken after the employees' services have ended. Nevertheless, both types of benefits constitute compensation for employee services. In accordance with required accounting practices, this amount is not projected in the budget (and is therefore blank) but the estimated future costs of this item is carried in the operating statement.

4542: Pension Expense – GASB 68: The primary objective of GASB 68 Statement is to improve accounting and financial reporting for pension costs. It also improves information provided by state and local governmental employers about financial support for pensions that is provided by other entities. As with account 4541 above, in accordance with required accounting practices, this amount is not projected in the budget (and is therefore blank) but the estimated future costs of this item is carried in the operating statement.

4570: Collection Loss: The balance in this account represents the estimated expense to cover unexpected losses for tenant rents. Note: Do not include losses from fraud/retroactive balances here. Report them in Account 4571 – Collection Loss – Fraud/Retroactive.

4571: Collection Loss – Fraud/Retroactive: The balance in this account represents the estimated expense to cover unexpected losses for tenant rents due to unreported income, i.e. fraud/retroactive balances.

4580: Interest Expense: The debit balance in this account represents the interest expense paid and accrued on loans and notes payable. This debt can be from operating borrowings or capital borrowings.

4590: Other General Expense: This account represents the cost of all items of general expenses for which no specific account is prescribed in the general group of accounts.

4610: Extraordinary Maintenance – Non-Capitalized: This account should be debited with all *costs* (labor, materials and supplies, expendable equipment (such as many tools or routine repair parts), and contract work) of repairs, replacements (but not replacements of non-expendable equipment), and rehabilitation of such a substantial nature that the work is clearly not a part of the routine maintenance and operating program. The items charged to this account should not increase the useful life or value of the asset being repaired. These items are not capitalized and are not added as an increase to fixed assets at the time of completion. Nor are these items depreciated. An example of this would be scheduled repainting of apartments.

4611: Equipment Purchases – Non-Capitalized: This account should be debited with the costs of equipment that does not meet the LHA's criteria for capitalization. Because these items are being expended when paid, they should not be categorized as a fixed asset and therefore will not be depreciated. These items include stoves, refrigerators, small tools, most computers and software, etc.

The budget is a planning tool and as our portfolio ages it is essential that LHAs evaluate their properties annually and plan for extraordinary maintenance. To that end DHCD very strongly recommends that for all 400-1 operating budgets, depending on the age of the portfolio and condition, LHAs spend between \$100 and \$500 a year per unit in Extraordinary Maintenance, Equipment Purchases, Replacement of Equipment, and Betterments & Additions to ensure that the aging public housing stock is preserved.

4715: Housing Assistance Payments: This account should be debited with all housing assistance payments paid to landlords for the MRVP program on a monthly basis.

4801: Depreciation Expense: This account should be debited with annual fixed asset depreciation expenses as determined by the LHA's capitalization policy.

7520: Replacement of Equipment – Capitalized: This account should be debited with the acquisition cost (only the net cash amount) of non-expendable equipment purchased as a replacement of equipment of substantially the same kind. These items, such as vehicles, computers, or furniture, meet the LHA's criteria for capitalization and will also be added to fixed assets and therefore depreciated over the useful life.

7540: Betterments & Additions – Capitalized: This account should be debited with the acquisition cost (only the net cash amount) of non-expendable equipment and major non-routine repairs that are classified as a betterment or addition. These items meet the LHA's criteria for capitalization and will also be added to fixed

assets and therefore depreciated over the useful life of the asset. Examples are: major roof replacement, structural repairs such as siding, or major paving work.

In accordance with GAAP accounting, inventory purchases (Replacement of Equipment and Betterments & Additions) are distinguished between capitalized and non-capitalized items. Any inventory or equipment purchase greater than \$5,000 is required by DHCD to be capitalized, inventoried and depreciated. Any inventory or equipment purchase costing \$1,000 to \$4,999 should be inventoried by LHA staff for control purposes only but is not subject to capitalization or depreciation, it is, however, required to be expensed when the items are paid for. An LHA's inventory listing should include both capitalized and non-capitalized items of \$1,000 and more, as well as all refrigerators and stoves of any value. All items that appear on the inventory listing should be tagged with a unique identification number, and all refrigerators and stoves (regardless of value) should be tagged. LHAs may adopt a capitalization policy that capitalizes inventory purchases at a lesser amount than the \$5,000 requirement (i.e. \$1,000 - \$4,999); however, no capitalization policy can have an amount higher than \$5,000. Any inventory or equipment purchases costing \$0 to \$999 are to be expensed when paid for.

PMR Narrative Responses**Narrative Responses to the Performance Management Review (PMR) Findings**

DHCD has cancelled publication of Performance Management Reviews for fiscal years ending 3/31/2020 through 12/31/2020 due to disruptions of normal operations in response to the COVID-19 virus. Therefore, there are no ratings included in this report.

Explanation of PMR Criteria Ratings

CRITERION	DESCRIPTION
Management	
Occupancy Rate	<p>The rating is calculated using the following formula: (Total Number of Occupied units on Monthly Report divided by (Total Number of Units Minus Units that Received a Waiver Minus Number of Units Vacant less than 30 days on Monthly Report)</p> <ul style="list-style-type: none"> • “No Findings” : Occupancy Rate is at or above 98% • Operational Guidance: Occupancy rate is at 95% up to 97.9% • Corrective Action: Adjusted occupancy rate is less than 95%
Tenant Accounts Receivable (TAR)	<p>This criterion calculates the percentage of uncollected rent and related charges owed by starting with the amount reported by the LHA, as uncollected balances for the TAR (Account 1122 from the Balance Sheet) minus Normal Repayment Agreements* divided by Shelter (Tenant) Rent (account 3110 from the Operating Statement)</p> <ul style="list-style-type: none"> • “No Findings” : At or below 2% • “Operational Guidance”: More than 2% , but less than 5% • “Corrective Action”: 5% or more
Certifications and Reporting Submissions	<p>Housing authorities are required to submit 4 quarterly vacancy certifications by end of the month following quarter end; 4 quarterly operating statements and 4 Tenant Accounts Receivable (TAR) reports within 60 days of quarter end.</p> <ul style="list-style-type: none"> • “No Findings”: At least 11 of the required 12 reports were submitted and at least 9 were submitted on time. • “Operational Guidance”: Less than 11 of the required 12 reports were submitted and/or less than 9 were submitted on time.
Board Member Training	<p>Percentage of board members that have completed the mandatory online board member training.</p> <ul style="list-style-type: none"> • “No Findings” : 80% or more completed training • “Operational Guidance” : 60-79.9% completed training • “Corrective Action” : <60 % completed training

CRITERION	DESCRIPTION
Financial	
Adjusted Net Income	<p>The Adjusted Net Income criterion calculation starts with an LHA's Net Income and subtracts Depreciation, GASB 45 (Retirement Costs), GASB 68 (Retirement Costs), Extraordinary Maintenance (maintenance expense outside of routine/ordinary expenses), and Equipment Purchases – Non Capitalized. This Adjusted Net Income amount is then divided by the Total Expenses of the LHA. If this Adjusted Net Income amount is positive, it means underspending and if it is negative it means overspending.</p> <p>Underspending Rating:</p> <ul style="list-style-type: none"> • "No Findings" : 0 to 9.9% • "Operational Guidance": 10 to 14.9% • "Corrective Action": 15% or higher <p>Overspending Rating:</p> <ul style="list-style-type: none"> • "No Findings" : 0 to -4.9% • "Operational Guidance": -5% to -9.9% • "Corrective Action": -10% or below
Operating Reserves	<p>Current Operating Reserve as a percentage of total maximum reserve level. Appropriate reserve level is buffer against any unforeseen events or expenditures.</p> <ul style="list-style-type: none"> • "No Findings" :35%+ of maximum operating reserve • "Operational Guidance": 20% to 34.9% of maximum operating reserve • "Corrective Action": <20% of maximum operating reserve
Capital Planning	
Capital Improvement Plan (CIP) Submitted	<p>Housing authorities are required to submit a five-year capital plan every year.</p> <ul style="list-style-type: none"> • "No Findings" =Submitted on time and no modifications required or modifications made within 45 days. • "Operational Guidance" =Up to 45 days late and no modifications required or modifications made within 45 days. • "Corrective Action" =More than 45 days late or modifications required and not completed within 45 days.
Capital Spending	<p>Under the Formula Funding Program (FF), authorities receive undesignated funds to spend on projects in their Capital Improvement Plan. They are rated on the percentage of available funds they have spent over a three-year period</p> <ul style="list-style-type: none"> • "No Findings" = at least 80% • "Operational Guidance" = At least 50% • "Corrective Action" = Less than 50%

CRITERION	DESCRIPTION
Health & Safety	
Health & safety violations	DHCD has observed conditions at the LHA's developments and reported health and safety violations. The LHA has certified the number of corrected violations in each category.
Facility Management - Inspections	
Unit Inspections Conducted	Housing authorities are required to conduct inspections of all their occupied units at least once a year <ul style="list-style-type: none"> • "No Findings": 100 % of sampled units had inspections conducted once during the year • "Corrective Action": Fewer than 100% of sample units were inspected during the year
Inspections Report	Housing authorities are required to note all of the deficiencies found during inspections <ul style="list-style-type: none"> • "No Findings": 100 % of deficiencies are noted on inspection report • "Corrective Action": Fewer than 100% of deficiencies are noted in inspection report
Inspection Work Order	Housing authorities are required to generate work orders for all deficiencies noted during inspections <ul style="list-style-type: none"> • "No Findings": 100 % of deficiencies noted on inspection reports generated work orders • "Corrective Action": Fewer than 100% of deficiencies noted on inspection reports generated work orders
Work Order System	Work order system identifies, tracks, and can produce reports for inspection work orders. <ul style="list-style-type: none"> • "No Findings": Inspection work orders are identified, tracked, and reportable • "Operational Guidance": Inspection work orders are not identified, and/or tracked, and/or reportable
Inspections Work Orders Completed	Inspection work orders were completed within 30 calendar days from the date of inspection, OR if cannot be completed within 30 calendar days, are added to the Deferred Maintenance Plan or included in the Capital Improvement Plan in the case of qualifying capital repairs (unless health/safety issue). <ul style="list-style-type: none"> • "No Findings": Sampled inspection work orders were completed within 30 days of inspection date or added to deferred maintenance plan and/or CIP • "Operational Guidance": Sampled inspection work orders were completed within 31 to 45 calendar days of inspection date and not added to deferred maintenance plan or CIP • "Corrective Action": Sampled inspection work orders were completed in over 45 calendar days of inspection date

CRITERION	DESCRIPTION
Facility Management – Work Order System	
Emergency Work Orders Properly Defined	<p>Emergency work orders should be defined per <u>Property Management Guide</u>, identified, tracked, reportable.</p> <ul style="list-style-type: none"> • “No Findings”: Emergency work orders defined per <u>Property Management Guide</u>, identified, tracked, reportable • “Operational Guidance”: Emergency work orders are not defined per <u>Property Management Guide</u>, and/or identified, and/or tracked, and/or reportable
Emergency Work Orders Initiation	<p>Emergency work orders should be initiated within 24 to 48 hours.</p> <ul style="list-style-type: none"> • “No Findings”: Emergency work orders initiated within 24-48 hours • “Corrective Action”: Emergency work orders not initiated within 24-48 hours
Vacancy Work Orders	<p>Vacancy work orders should be identified, tracked and reportable.</p> <ul style="list-style-type: none"> • “No Findings”: Vacancy work orders identified, tracked AND reportable • “Corrective Action”: Vacancy work orders are not identified, and/or tracked, and/or reportable
Vacancy Work Orders Completed	<p>Vacancy work orders should be completed within 30 calendar days or if not completed within that timeframe, LHA has a waiver.</p> <ul style="list-style-type: none"> • “No Findings”: Vacancy work orders are completed within 30 calendar days or if not completed within timeframe, LHA has a waiver • “Operational Guidance”: Vacancy work orders completed within 31-60 calendar days • “Corrective Action”: Vacancy work orders completed 61+ calendar days
Preventive Maintenance Program	<p>Housing authorities are required to maintain a comprehensive preventive maintenance program in which preventive work orders are identified, tracked, and reportable.</p> <ul style="list-style-type: none"> • “No Findings”: A comprehensive preventive maintenance program exists and work orders are identified, tracked and reportable • “Corrective Action”: A comprehensive preventive maintenance program does not exist OR work orders are not identified and/or tracked and/or reportable
Routine Work Orders	<p>Routine work orders should be identified, tracked, reportable and completed regularly.</p> <ul style="list-style-type: none"> • “No Findings”: Routine work orders identified, tracked, reportable and completed regularly • “Operational Guidance”: Routine work orders are not identified, and/or tracked and/or reportable, and/or completed regularly

CRITERION	DESCRIPTION
Requested Work Orders	<p>Requested work orders should be identified, tracked and reportable.</p> <ul style="list-style-type: none"> • “No Findings”: Requested work orders identified, tracked, reportable and completed regularly • “Operational Guidance”: Requested work orders are not identified and/or tracked and/or reportable, and or completed regularly
Requested Work Orders Completion	<p>Requested work orders should be completed in 14 calendar days from the date of tenant request or if not completed within that timeframe (and not a health or safety issue), the task should be added and completed in a timely manner as a part of the Deferred Maintenance Plan and/or CIP.</p> <ul style="list-style-type: none"> • “No Findings”: Requested work orders are completed within 14 calendar days of tenant request OR added to deferred maintenance plan and/or CIP • “Operational Guidance”: Requested work orders are completed within 15-30 calendar days from the date of tenant request • “Corrective Action”: Requested work orders are completed in over 30 calendar days from the date of tenant request OR not completed
Emergency Response System	<p>Housing authorities should have a 24 Hour Emergency Response System and distribute Emergency Definition to Residents, Staff, and Answering Service (if applicable).</p> <ul style="list-style-type: none"> • “No Findings”: A 24-hour system for responding to emergencies exists AND definitions of emergencies have been distributed to staff, residents and answering service, if applicable • “Operational Guidance”: System exists, but no definition has been distributed • “Corrective Action”: Neither a system nor distributed definitions exist

Policies

The following policies are currently in force at the Lexington Housing Authority:

Policy	Last Ratified by Board Vote	Notes
*Rent Collection Policy	04/01/2008	
*Personnel Policy	02/15/2013	Sick time section amended 12/19
*Capitalization Policy	04/09/2014	
*Procurement Policy	04/10/2018	
*Grievance Policy	04/27/1999	
Smoking Policy	04/01/2015	
Credit/Debit Card Policy	01/13/2016	
Pet Policy	12/09/2010	
Sexual Harassment Policy	03/20/2000	
Parking	04/10/2018	
Criminal Offender Records Information (CORI) Policy	03/20/2014	
Investment Policy		in Policy book, undated - prior to 1999
Community Room Use	12/14/2016	
Language Access Plan	08/10/2020	
Reasonable Accommodations Policy	11/10/2020	

* Starred policies are required by DHCD. Policies without a "Latest Revision" date are not yet in force.

The list of policies has been provided by the LHA and has not been verified by DHCD.

Waivers

Lexington Housing Authority has received the following waivers from DHCD's regulations. This list does not include vacancy waivers, pet waivers, or any waivers that would release personally identifiable tenant or applicant data.

Description	Reason	Date Waiver Approved by DHCD	Date Expired
Waiver to leave RCAT	Met all required criteria for waiver.	05/16/2019	06/30/2022

The list of waivers has been provided by the LHA and has not been verified by DHCD.

Glossary

ADA: Americans with Disabilities Act. Often used as shorthand for accessibility related issues or improvements.

AHVP: Alternative Housing Voucher Program

Alternative Housing Voucher Program provides rental vouchers to disabled applicants who are not elderly and who have been determined eligible for Chapter 667 (elderly and disabled) housing.

Allowable Non-Utility Expense Level (ANUEL) is the amount of non-utility expense allowed for each local housing authority based upon the type(s) of housing programs administered.

ANUEL: Allowable Non-Utility Expense Level

AP: Annual Plan

Annual Plan: A document prepared by each Local Housing Authority, incorporating the Capital Improvement Plan (CIP), Maintenance and Repair Plan, Budget, responses to the Performance Management Review, and other elements.

Cap Share is the amount of Formula Funding spending approved by DHCD for each year.

Capital Funds: Funds provided by DHCD to an LHA for the modernization and preservation of state-aided public housing, including Formula Funds and Special Capital Funds.

Capital Needs Assessment, similar to the CIP, often used for developments in the Section 8 New Construction/Substantial Rehabilitation program. Such developments are generally not eligible for state capital funds and therefore do not participate in the CIP process. However, to track their ongoing capital needs and plan for construction projects to address those needs, they often conduct a CNA to determine when building systems will wear out and need to be replaced, and what replacement will cost, so they can plan to ensure that the necessary funding will be available

Capital Projects are projects that add significant value to an asset or replace building systems or components. Project cost must be greater than \$1000.

CIMS is a web-based software system used for creating CIP's and Annual Plans. For the CIP, the CIMS program allows the LHA to prioritize, select and schedule projects, assign funding sources and direct project spending to specific fiscal years to create a CIP that is consistent with the LHA's FF award amount and FF cap shares, plus any additional funding resources the LHA has identified. The LHA submits its CIP and DHCD conducts its review of the LHA's CIP in CIMS. For the Annual Plan CIMS imports data from other DHCD systems and combines that with data entered by the LHA.

CIP: A Capital Improvement Plan (CIP) is a five (5) year plan which identifies capital projects, provides a planning scope, schedule and budget for each capital project and identifies options for financing and implementing the plan. The contents of a CIP are limited to available resources. An approved CIP is required in order to receive Formula Funds.

CNA: Capital Needs Assessment

CPS is DHCD's transparent Web-based capital planning system that catalogues the condition of every building and site in the statewide public housing portfolio, providing LHAs with detailed technical information to make strategic long-term capital investments. It includes a Facility Condition Index (FCI) for every development that compares the value of expired components of a development relative to its replacement cost.

Deferred Maintenance is maintenance, upgrades, or repairs that are deferred to a future budget cycle or postponed for some other reason. Sometimes it is referred to as extraordinary maintenance.

Deficit housing authority: a housing authority whose income (mainly from rent) does not cover all its normal operating costs in its approved operating budget, and which therefore operates at a deficit and requires operating subsidy from DHCD.

DHCD: Massachusetts Department of Housing & Community Development

Extraordinary Maintenance: see the description for budget line 4610 in the Explanation of Budget Accounts in the Budget Section of this Annual Plan.

FF: Formula Funding

Formula Funding is state bond funding allocated to each LHA according to the condition (needs) of its portfolio in comparison to the entire state-aided public housing portfolio.

FYE: Fiscal Year End

HHA Administrative Fee is the fee paid to an HHA from the RCAT Program budget.

HHA: Host Housing Authority for the RCAT program.

Host Housing Authority (HHA). An LHA selected by the Department to employ and oversee an RCAT.

HUD: U.S. Department of Housing and Urban Development

LHA: Local Housing Authority

LTO: Local Tenants Organization

Management and Occupancy Report: This is an annual HUD review process that is used to evaluate the performance of developments in various HUD housing programs, including the Section 8 New Construction/Substantial Rehabilitation program, which some LHAs operate. It is similar to the state PMR process in that it evaluates LHA performance on variety of financial, housing quality, and other standards

Massachusetts Rental Voucher Program (MRVP) is a state-funded program that provides rental subsidies to low-income families and individuals.

MOR: Management and Occupancy Report

MRVP: Massachusetts Rental V DHCD's annual review of each housing authority's performance. It pulls together data on the authority's occupancy rates, tenant accounts receivables, accounts payable, budget variance, operating reserve, capital improvement plan submission, capital spending, annual inspections and work order and maintenance systems to identify and address areas of strength and areas for development. Its goal is to allow DHCD and the LHA to

take a deep dive into the data, lift up best practices, and work together towards improving operations oucher Program.

Performance Management Review (PMR):

PMR: Performance Management Review

RCAT: Regional Capital Assistance Team

Regional Capital Assistance Team: One of three organizations employed at HHAs designated by the Department to carry out the RCAT Program.

Sec.8 NC/SR (or S8NCSR): Section 8 New Construction and Substantial Rehabilitation

Section 8 New Construction and Substantial Rehabilitation (Sec.8 NC/SR): This term refers to a federal HUD housing program operated at a small number of state public housing developments whose construction was funded by state grants, but whose ongoing operating costs are supported by project-based subsidies from HUD's federal Section 8 program, rather than from state public housing operating funds..

Special Awards: In addition to allocations to each LHA, DHCD has created limited set aside funds to provide for extreme emergency or code compliance needs which are beyond the capacity of an LHA's current FF balance.

Surplus housing authority: a housing authority whose income (mainly from rent) covers all its normal operating costs in its approved operating budget, and which therefore operates at a surplus and does not require operating subsidy from DHCD.

Attachments

The following items have been uploaded as attachments to this Annual Plan.

Due to the COVID-19 emergency, on-site Performance Management Review (PMR) assessments by the Facilities Management Specialists were cancelled for the December fiscal year end housing authorities. Therefore, the Facility Management categories have been omitted from the PMR document.

- Email for discussing Annual Plan with LTO and residents
- LTO provided feedback by email. Attached is explanation, feedback from LTO and LHA responses (in red)
- Cover Sheet
- Tenant Satisfaction Survey 667 Program

Email with Chair of LTO for discussing Annual Plan

On Feb 12, 2021, at 4:54 PM, Andy Riffin <andyriffin@gmail.com> wrote:

Thank You for sending us these documents. We will review them and give you our feedback after we talk about what we have read. Sincerely, Andrew Riffin

On Fri, Feb 12, 2021 at 4:45 PM Andy Riffin <andyriffin@gmail.com> wrote:

----- Forwarded message -----

From: **CFoley** <CFoley@lexingtonhousing.org>
Date: Wed, Feb 10, 2021 at 8:51 AM
Subject: Lexington Housing Authority Annual Plan 2022
To: Andy Riffin <andyriffin@gmail.com>
CC: Kathy Kilroy <kkilroy@lexingtonhousing.org>

Mr. Riffin,

It is my understanding that you are the President of the Greeley Village Residents Association. Attached please find the Lexington Housing Authority Annual Plan for 2022 (begins July 1 2021). Per Chapter 121B, Section 28, housing authorities are now required to create and publish an Annual Plan. During the Draft phase, the plan must be reviewed by any Local Tenant Organizations at least 30 days before the Public Hearing (April 13, 2021).

Please review the document and provide any feedback, comments or questions to me no later than April 1, 2021. Please send these comments by email to cfoley@lexingtonhousing.org.

You may share the Draft to any residents or other members of the Association. I ask that you gather all comments and provide me with one email response that takes all comments into account.

Thank you,

Caileen Foley

Executive Director

Lexington Housing Authority

[1 Countryside Village | Lexington, MA 02420](#)

P 781-861-0900 | F 781-861-1938

www.lexingtonhousing.org

April 13, 2020

Due to COVID-19, the Lexington Housing Authority did not hold an in-person meeting with the Greeley Village LTO to discuss the Annual Plan. We emailed the Annual Plan to the Chair of the organization who met individually with the other members and residents to discuss the document. He submitted feedback to us via email. I am attaching the emails here, as well as my responses to him to credible suggestions and comments.

From: Andy Riffin <andyriffin@gmail.com>

Subject: GVRA Feedback On Review of the Lexington Housing Authority's Proposed Annual Plan for Fiscal Year 2022

Date: April 1, 2021 at 9:28:11 AM EDT

To: Caileen Foley <CFoley@lexingtonhousing.org>

Suggestions From The Greeley Village Residents Association Members Amendments to the Lexington Housing Authority's Proposed Annual Plan for Fiscal Year 2022

Maintenance Tasks Suggested by Ashley Young:

1. Disinfect the Washers & the Dryers Preferably Weekly or at least monthly with spray disinfectant.

We have a service contract that provides these services

2. Check or replace furnace Filters behind Building 40 in the "Mr. Slim" heat pump units. a March maintenance Task behind Building 40 Units A & D

3. Reduce the Impact of the bright lights from building 16 to the tenants in Building 40 by using light bulbs that are Less Bright on the Rear of Building 16, our community center.

Working with electrician to figure out a fix for this issue

Suggestions on General Maintenance tasks:

1. Flushing of all water tanks should be done in the warm months between may thru September.

2. Snow Removal equipment should be prepared and serviced in September for the upcoming winter season.

3. Fill all salt/sand Barrels in September so that the sand/salt has a chance to dry out in the warm weather to prevent caking & solidifying during the cold months which happen in 2020 through 2021.
4. Inspect & glean gutters & roofs for winter damage.
5. Where were The Memorial Day Flags that were supposed to be hung in May during Memorial Day?
6. In April prepare grease, change oil and tune up all lawn equipment in April for the upcoming spring, summer, and fall season.
7. In April fertilize all LHA common areas; lime and seed any damaged grass.
8. Apartment Inspections should be performed from April through October not during the winter months!
9. In November, clean gutters & downspouts after leaves have fallen.
10. maintenance & supplies: Buy good quality parts such as plumbing parts that will not fail in a short period of time. Try to replace parts using original equipment manufactured parts they will pay for themselves in the long run!
11. Budget and hire 5-6 maintenance employees, 3 employees are Not enough for the 3 villages and all the scattered Sites!
12. At the end of Each Month you have: “ save a safety Meeting” what Do you cover in a “Safety Meeting”?

All items listed in this section are completed by maintenance.

Financials;

1. Why are there excess expenses over revenue of \$ 100,905.00?

This is the way the budget is set up

uncompleted tasks for Building 40:

1. Front & rear door lights should come on at dusk and off at dawn.
2. Red fire alarm annunciators need visual lights to be Installed on outside of the building for each apartment!
3. Patios and front of the building need landscaping which was part of the original plan.

Good suggestion, we will work with local landscaper this year to see what we can do about front of Bldg 40.

4. Make sure all drain holes on heat pumps are open so water will not freeze up at low temperatures and prevent heat pump condenser from operating correctly.

Uncompleted Tasks at Greeley Village:

1. Front door projects;

A. Remove front doors and repaint Them correctly.

B. Need Intercom or electronic door locks so tenant on first and second floor can have front door open if they are incapacitated and can not manually open the front door.

We will add this to future Formula Funding projects, thank you.

2. Porches:

A. Some Porches need handrails to be attached to the outside of the building.

B. Rear door threshold to porch is too high and porch flooring needs to be raised to meet the code of 7.5 inches.

C. Doors to porches need safety handles.

3. side walks

A. Sidewalks need heating coils and should be widened to a width of 60 inches.

B. Walkways should be the same height in front of each front door set of Steps.

C. We recommend that new walkways be installed Around all Buildings so that when there is an emergency, the Fire Department has access to all entrances and exits of the buildings and that all tenants will be able to evacuate their building from the front or the rear in Any Kind of Weather!

D. All walkways should be cleared of snow and that there should be at least 3 feet of snow removed in front of and to the sides of both front and rear doors for better egress in Case of an Emergency!

3. Generator

A. Safety Precautions in the Generator Design and Implementation need to be revisited and revised to prevent gas leakage problems in relation to Building 16.

Issue has been resolved.

4. Garden

A. Garden needs an underground water system to supply hoses for watering the plants and preventing the hoses from being chewed up by the lawn mowers.

B. Need an end door on either end a door in the middle to be installed in the fence to minimize the number of Paths in the garden. The garden

should be re-established to its former size, so it could be more easily rototilled. The fence should be sunk down about 6" to 12" into the ground to prevent the animals crawling under the fence. We should also receive the shed for the garden tools that we were promised.

5. Ramp

A. The Ramp Needs a "Skirt" to prevent people from going under the Ramp and to make it more ethically pleasing to look at and blend with Building 16's architecture.

B. Some of the ramp walking boards are uneven and should be smoothed in transitioning from one board to the next to prevent people's shoes from getting caught or causing people to Fall!

Will address this issue with Contractor.

6. Greeley Village Residents Association Office

A. In The Community Center (Building 16) The Greeley Village Residents Association is supposed to have it's Own Office Space.

B. The GVRA Should also be given a Stipend for each year to be able to communicate with all the tenants through printed or electronic communication means.

Benches & Picnic Tables

A. Six sitting benches are missing from the last Order and we would like some cushions added to the picnic tables because they are uncomfortable to sit at.

7. General Projects

A. All projects have never been completed per the specifications and the Greeley Village Residents Association has never been consulted or give Any Meaningful input into any of the projects at Greeley Village.

Resident Surveys – Background:

Since 2016 DHCD has been working with the Center for Survey Research at the University of Massachusetts Boston to survey residents in the state public housing units it oversees. The surveys are confidential, mailed directly to the residents and returned to the Center by mail (or, starting in 2019, completed on-line). In Round One of the surveys, conducted over the period 2016-2018, residents of elderly/disabled developments (also known as c. 667 developments) and family units (also known as c. 705 and c. 200 developments) were surveyed in four groups as described below. (Note: there are many more c. 667 units, so they were broken down into three groups).

ROUND ONE SURVEYS

Spring 2016: (c. 200 and c. 705)

Fall 2016: (667 - Group 1)

Fall 2017: (667 - Group 2)

Fall 2018: (667 - Group 3)

By the end of 2018, all residents were surveyed in Round One with one exception: in the case of the twelve housing authorities with **more than** 225 c. 200 family units, a randomly selected group of 225 c. 200 residents were surveyed. This group was determined to be large enough to generate statistically useful results.

Round Two of the surveys began in 2019. The current plan is to complete all Round Two surveys in four groups as follows:

ROUND TWO SURVEYS

Fall 2019 (667 - Group 1) - COMPLETED

Fall 2020 (200s and 705s)

Fall 2021 (667 - Group 2)

Fall 2022 (667 - Group 3)

Please Note:

1. If there were at least twenty responses from residents of BOTH an authority's c.667 units AND from their c.200/705 units, then there is a separate report for each program.
2. If there were fewer than twenty responses in EITHER program, but at least twenty responses combined, then the elderly and family results were combined into a single report.
3. To protect resident confidentiality, survey results are generally reported ONLY for authorities that had at least twenty total resident responses from their combined c.667/200/705 residents. Therefore, a few smaller authorities that didn't have twenty responses do not have a published survey report.
4. Because the 2019-2022 surveys ask some different questions than the 2016-2018 survey, the results can't be combined (i.e., 2019 c.667 results can't be combined with 2016 c.200/705 results, as described in #2 above).
5. Responses from family residents in c.200 and c.705 housing are always combined together.

LEXINGTON HOUSING AUTHORITY

Chapter 667 Housing Summary 2016 - 2018

DHCD is working with the Center for Survey Research at the University of Massachusetts Boston to survey residents in the housing units it oversees.

Fall 2016:

- Surveys were sent to 9624 housing units (Chapter 667). 5511 surveys were filled out and returned.

Fall 2017:

- Surveys were sent to 6024 housing units (Chapter 667). 3391 surveys were filled out and returned.

Fall 2018:

- Surveys were sent to 13,304 housing units (Chapter 667). 6717 surveys were filled out and returned.
- In the **Lexington Housing Authority**, surveys were sent to a total of **148** housing units (Chapter 667); **57** surveys were completed.

This report provides some information about how the residents from the **Lexington Housing Authority** who answered the survey responded. It compares their answers to those from residents in the entire state and to those from small LHAs in Northeastern Massachusetts. These small LHAs in Northeastern Massachusetts include: Bedford, Burlington, Chelmsford, Danvers, Dracut, Essex, Georgetown, Hamilton, Haverhill, Lexington, Lowell, Lynnfield, Manchester, Merrimac, Middleton, Nahant, Newburyport, North Andover, North Reading, Reading, Rockport, Rowley, Salisbury, Swampscott, Tewksbury, Topsfield, Tyngsborough, Wakefield, Wenham, West Newbury, and Wilmington.

Communication

Residents in Ch. 667 housing were asked about how they interacted with the Lexington Housing Authority in the last 12 months. The table below shows what percentage of residents said they did each of the following:

	Lexington Housing Authority	Small LHAs in Northeastern MA*	Entire State
Contacted management about a problem or concern.....	86%	78%	78%
Felt they were usually or always treated with courtesy and respect when they contacted management.....	90%	87%	87%
Saw the Capital Improvement Plan.....	30%	33%	30%
Saw the Operating Budget.....	11%	18%	17%
Knew the Executive Director held a meeting with residents...	40%	58%	53%

* Small LHAs in Northeastern Massachusetts include: Bedford, Burlington, Chelmsford, Danvers, Dracut, Essex, Georgetown, Hamilton, Haverhill, Lexington, Lowell, Lynnfield, Manchester, Merrimac, Middleton, Nahant, Newburyport, North Andover, North Reading, Reading, Rockport, Rowley, Salisbury, Swampscott, Tewksbury, Topsfield, Tyngsborough, Wakefield, Wenham, West Newbury, and Wilmington.

Services and Programs

53% of the Lexington Housing Authority residents in Ch. 667 who responded to the survey said they would be interested in services and programs. Here are the services and programs residents said they would be most interested in participating in:

	Lexington Housing Authority	Small LHAs in Northeastern MA	Entire State
Job training programs.....	2%	5%	6%
Money management programs (<i>budgeting, taxes, income building</i>).....	11%	10%	10%
Children's programs (<i>tutoring, childcare, afterschool programs</i>).....	0%	1%	2%
Health and Medical Services (<i>visiting nurse, meal programs</i>).....	40%	33%	35%
Adult Education (<i>GED, ESL, educational counseling</i>)	14%	8%	10%

Maintenance and Repair

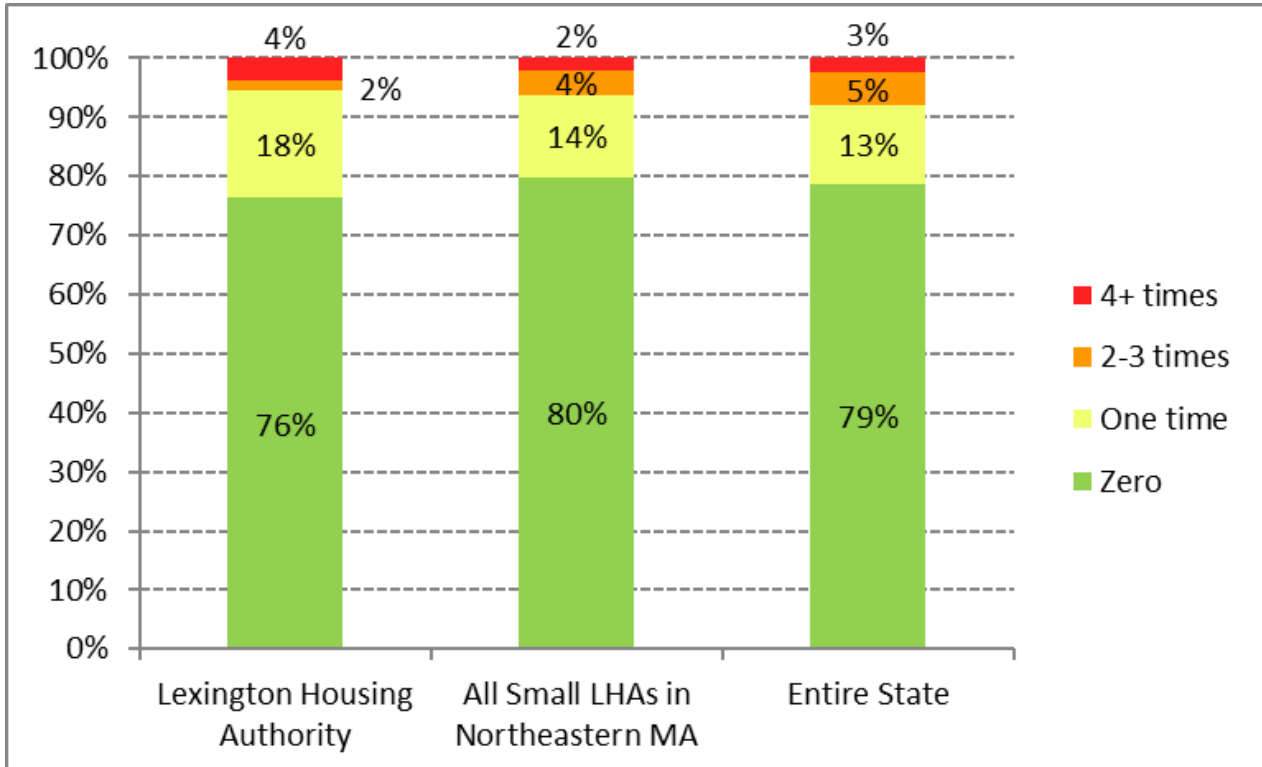
Who had problems? About one quarter of respondents had a problem with their heating and about three fifths had a plumbing problem in the last 12 months.

	Lexington Housing Authority	Small LHAs in Northeastern MA	Entire State
Had a heating problem.....	23%	20%	21%
Had a problem with water or plumbing.....	58%	51%	49%

- **Heating Problems**

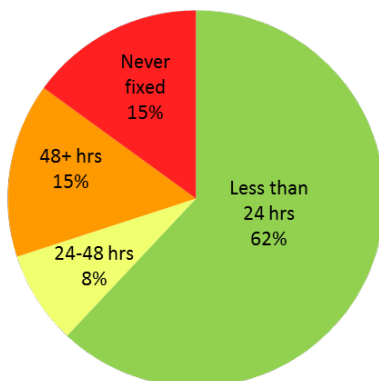
How many times did residents have heating problems?

The chart below shows how many times respondents had heat problems in the last 12 months. The green part of the bars shows what percentage of residents did not have the problem at all. The yellow shows who had the problem one time. The orange shows those who had the problem 2-3 times. And the red shows those who had the problem 4 or more times in the last 12 months.

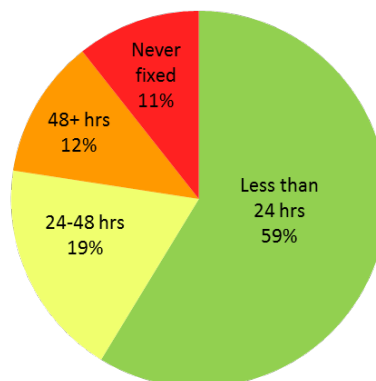


How long did it take to fix the heating problems? For those respondents who had problems, we asked how long it usually took for the problems to be fixed – less than 24 hours, 24 - 48 hours, more than 48 hours, or never fixed.

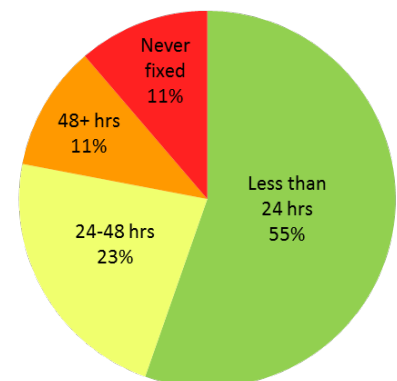
Lexington Housing Authority



Small LHAs in Northeastern MA



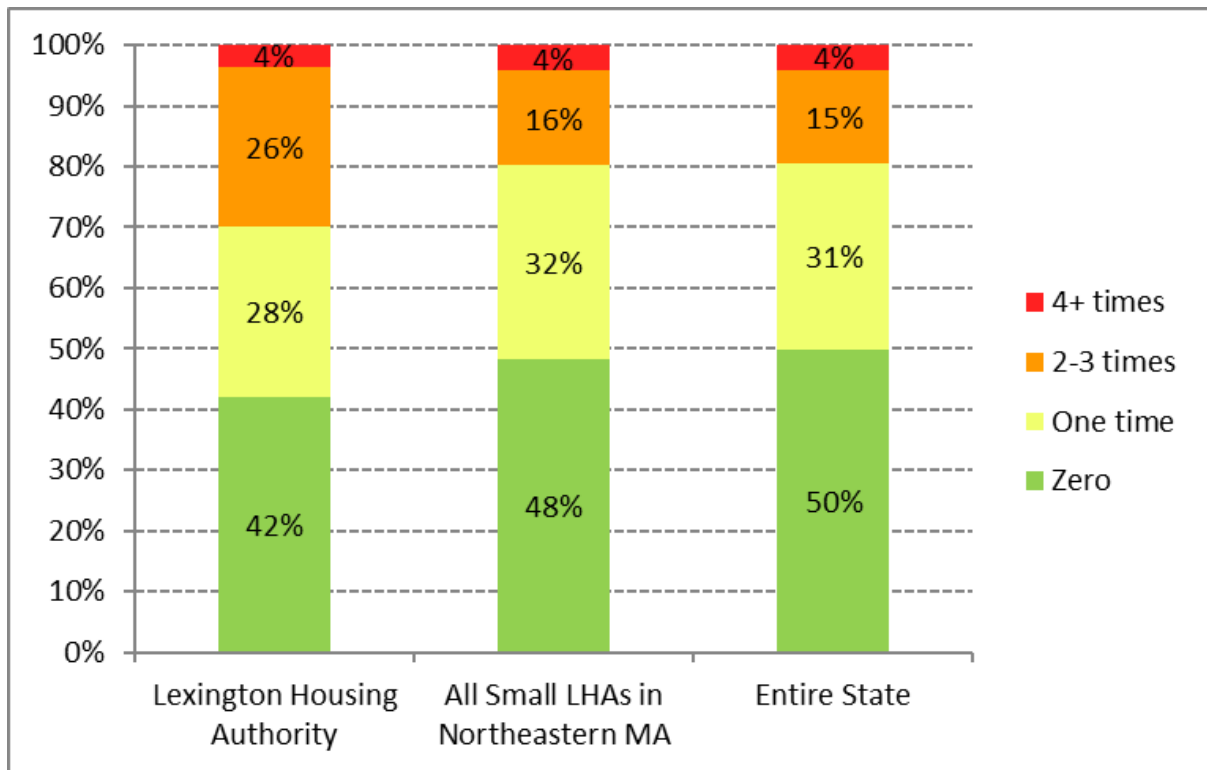
Entire State



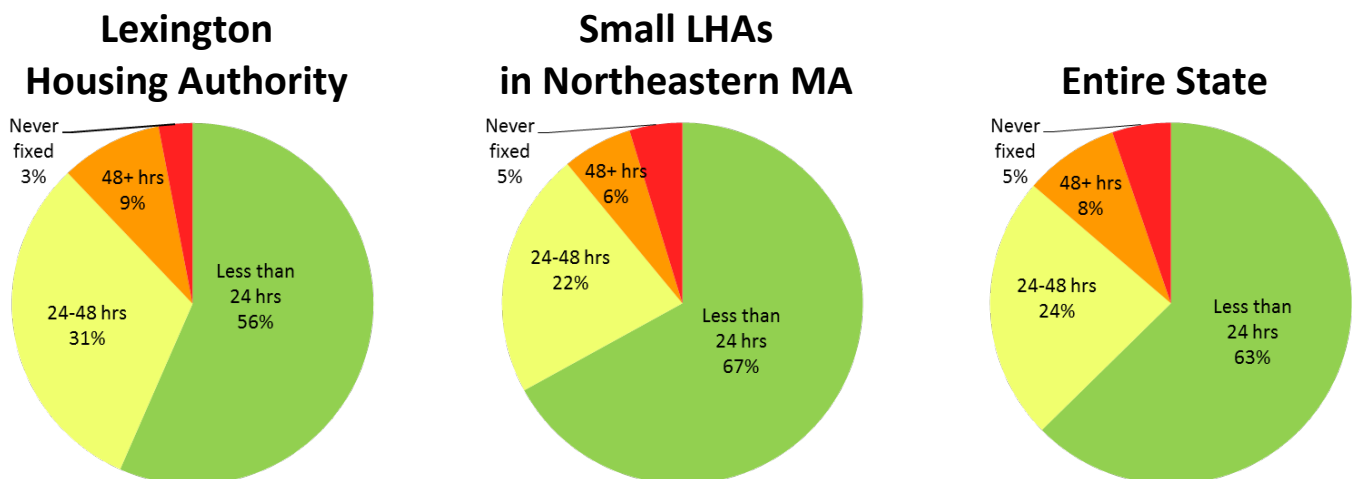
- **Water or Plumbing Problems**

How many times did residents have problems with their water or plumbing?

The chart below shows how many times respondents had water or plumbing problems in the last 12 months. The green part of the bars shows what percentage of residents did not have the problem at all. The yellow shows who had the problem one time. The orange shows those who had the problem 2-3 times. And the red shows those who had the problem 4 or more times in the last 12 months.

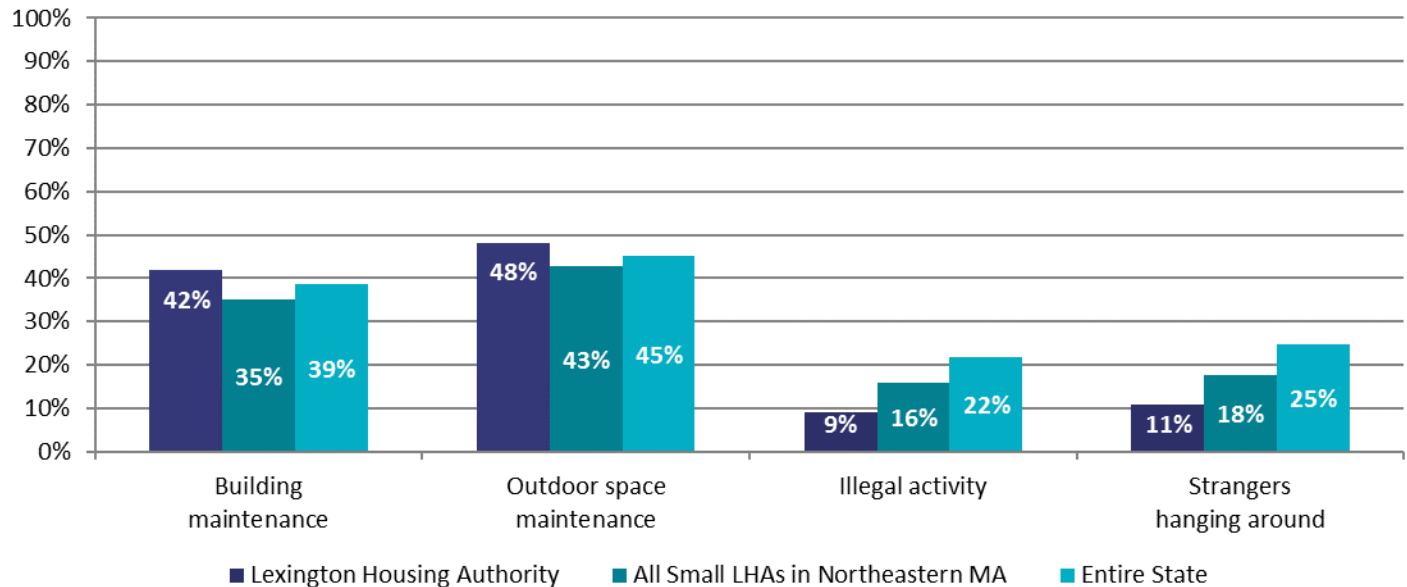


How long did it take to fix the water or plumbing problems? For those respondents who had problems, we asked how long it usually took for the problems to be fixed – less than 24 hours, 24 - 48 hours, more than 48 hours, or never fixed.



- **What other problems did respondents have?** Respondents were asked how often they had problems with: building maintenance (*such as clean halls and stairways and having lights and elevators that work*), outdoor space maintenance (*such as litter removal and clear walk ways*), illegal activity in the development, and strangers hanging around who should not be there. The chart below shows what percentage of respondents said that they “always” or “sometimes” had this problem in the last 12 months.

Respondents who “always” or “sometimes” had problems with....



Safety

Respondents were asked how safe they felt in their building and going outside alone. The chart below shows what percentage of people said they felt “very safe” or “mostly” safe.

Respondents who felt “very safe” or “mostly safe”

