

Overview and Certification

Gloucester Housing Authority

Annual Plan for Fiscal Year 2025

For State-Aided Public Housing

The Annual Plan is a document compiled by housing authority staff in advance of each new fiscal year. The plan serves as both a tool for the Local Housing Authority (LHA) to reflect upon the prior fiscal year, and as an opportunity to develop a clear and transparent plan that builds on successes, identifies needs, and corrects any issues that have arisen in prior years. Additionally, the Annual Plan is an important tool for tenants, who may use the document to better understand the operations and needs of their housing authority, advocate for changes to policies and procedures, access data about the housing authority, and participate in their housing authority's governance.

In addition to the physical document, the Annual Plan is also a process of public engagement. Throughout the Annual Plan process, the LHA executive director or their designee will be expected to review the Plan with any Local Tenant Organizations (LTO's) and Resident Advisory Board (RAB) before the LHA presents the plan to the LHA Board of Commissioners; make a draft available for review to all residents and the general public; post on the website and make a copy available to each LTO at least 30 business days before the public hearing; hold a hearing on the document; and collect, integrate, and report back on substantive comments. Additionally, the Board will read, offer recommendations, and approve the Annual Plan in advance of its submission to DHCD.

The law that mandates the Annual Plan is [An Act Relative to Local Housing Authorities, Massachusetts General Laws, Chapter 121B Section 28A](#). The regulation that expands upon Section 28A is [760 CMR 4.16](#). The regulations that address Local Tenant Organization (LTO) and resident participation in the Annual Plan are [760 CMR 6.09 \(3\)\(h\)](#) and [760 CMR 6.09\(4\)\(a\)\(4\)](#).

The Gloucester Housing Authority's Annual Plan for their 2025 fiscal year includes the following components:

1. Overview and Certification
2. Capital Improvement Plan (CIP)
3. Maintenance and Repair Plan
4. Operating Budget
5. Narrative responses to Performance Management Review (PMR) findings
6. Policies
7. Waivers
8. Glossary
9. Other Elements
 - a. Local Tenants Organization (LTO) Letter(s)
 - b. Public Comments and LHA Responses
 - c. Cover sheet for tenant satisfaction surveys
 - d. Tenant Satisfaction Survey 667 Program

Overview and Certification**State-Aided Public Housing Developments**

The following table identifies the state-aided public housing units with developments of more than 8 units listed separately. Units in developments of 8 or fewer units are aggregated as noted. Units that the LHA provides to assist clients of the Department of Mental Health (DMH), the Department of Developmental Services (DDS), or other agencies are also aggregated separately.

Dev No	Type	Development Name	Num Bldgs	Year Built	Dwelling Units
667-06	Elderly	CURTIS B. CLARK 667-06	1	1983	56
667-01	Elderly	LINCOLN PARK 667-01	13	1959	52
667-04	Elderly	MCPHERSON PARK 667-04	1	1975	97
667-02	Elderly	POPLAR PARK 667-02	14	1964	76
200-01	Family	RIVERDALE PARK 200-01	66	1949	160
667-05	Elderly	SHEEDY BUILDING 667-05	1	1981	81
	Other	Special Occupancy units	1		8
Total			97		530

Massachusetts Rental Voucher Program (MRVP)

The Massachusetts Rental Voucher Program (MRVP) is a state-funded program that provides rental subsidies to low-income families and individuals. In most cases, a “mobile” voucher is issued to the household, which is valid for any market-rate housing unit that meets the standards of the state sanitary code and program rent limitations. In some cases, vouchers are “project-based” into a specific housing development; such vouchers remain at the development if the tenant decides to move out.

Gloucester Housing Authority manages 42 MRVP vouchers.

Federally Assisted Developments

Gloucester Housing Authority also manages Federally-assisted public housing developments and/or federal rental subsidy vouchers serving 779 households.

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Additional Remarks on LHA Data

The GHA also operates the DMH Rental Assistance Program, Family Self-sufficiency Program, A Better Life Program, Housing First Program, Senior Supportive Housing Program, and Homeownership Education Programs

LHA Central Office

Gloucester Housing Authority
 259 Washington Street, P.O.Box 1599, Gloucester, MA, 01931-1599
 David Houlden, Executive Director
 Phone: 978-283-1234
 Email: dhoulden@ghama.com

LHA Board of Commissioners

	<u>Role</u>	<u>Category</u>	<u>From</u>	<u>To</u>
Scott Duffany	Chair	Labor Appointee	05/29/2020	05/28/2025
Irene Frontiero	Vice-Chair		07/28/2019	05/28/2024
Jennifer-Lee Levitz Aronson	Treasurer	State Appointee	01/31/2022	06/05/2026
Barbara Snare		State Tenant Rep	05/29/2023	05/28/2028

Local Tenant Organizations

	<u>Date of Recognition by LHA</u>	<u>Date LHA Reviewed Draft AP with LTO</u>
McPherson Park Tenant Association	07/13/2022	02/22/2024
Lincoln Park Tenant Association	12/14/2022	02/28/2024
Sheedy Building Tenant Association	04/12/2023	02/28/2024

Overview and Certification

Plan History

The following required actions have taken place on the dates indicated.

	REQUIREMENT	DATE COMPLETED
A.	Advertise the public hearing on the LHA website.	01/22/2024
B.	Advertise the public hearing in public postings.	01/22/2024
C.	Notify all LTO's and RAB, if there is one, of the hearing and provide access to the Proposed Annual Plan.	01/24/2024
D.	Post draft AP for tenant and public viewing.	01/28/2024
E.	Hold quarterly meeting with LTO or RAB to review the draft AP. (Must occur before the LHA Board reviews the Annual Plan.)	02/28/2024
F.	Annual Plan Hearing. Hosted by the LHA Board, with a quorum of members present. (For Boston, the Administrator will host the hearing.)	03/13/2024
G.	Executive Director presents the Annual Plan to the Board.	03/13/2024
H.	Board votes to approve the AP. (For Boston Housing Authority, the Administrator approves and submits the AP.)	03/13/2024

Certification

CERTIFICATION OF LHA USER AUTHORIZATION FOR DHCD CAPITAL SOFTWARE AND HOUSING APPLICATIONS

I, David S. Houlden, Executive Director of the Gloucester Housing Authority, certify on behalf of the Housing Authority that I have conducted an annual review of all Gloucester Housing Authority users of DHCD Capital Software applications and Housing Applications and that all current LHA users are authorized to use the systems and have the appropriate level of user access based on their job responsibility. I approve all system access and access levels for all Gloucester Housing Authority users.

This certification applies to the following applications:

- Capital Planning System (CPS)
- Consolidated Information Management System (CIMS)
- Cap Hub
- DHCD Housing Management Systems

CERTIFICATION FOR SUBMISSION OF THE ANNUAL PLAN

I, David Houlden, Executive Director of the Gloucester Housing Authority, certify on behalf of the Housing Authority that: a) the above actions all took place on the dates listed above; b) all facts and information contained in this Annual Plan are true, correct and complete to the best of my knowledge and belief and c) that the Annual Plan was prepared in accordance with and meets the requirements of the regulations at 760 CMR 4.16 and 6.09.

The Board and Executive Director further certify that LHA operations and all LHA Board-adopted policies are in accordance with M.G.L. c. 121B and all Massachusetts state-aided public housing regulations, including, but not limited to 760 CMR 4.00; 5.00; 6.00; 8.00; and 11:00, as well as adhere to Department-promulgated guidance.

Date of certification: 03/20/2024

The Department of Housing and Community Development (DHCD) completed its review of this Annual Plan (AP) on October 6, 2024. Review comments have been inserted into the plan.

Capital Improvement Plan (CIP)**Capital Improvement Plan****DHCD Description of CIPs:**

The Capital Improvement Plan (CIP) is a five year plan which identifies capital projects, provides a planning scope, schedule and budget for each capital project and identifies options for financing and implementing the plan. The CIP identifies anticipated spending for each Department of Housing and Community Development (DHCD) fiscal year (July 1 to June 30) based on the project schedules.

Local Housing Authorities (LHAs) receive yearly awards from DHCD (Formula Funding Awards) which they target to their most urgent capital needs in their CIP. They may also receive special awards from DHCD for specific projects which meet specific criteria. Special awards may be given for certain emergency, regulation compliance, energy and water conservation, and other projects. The first three years of the CIP are based on actual awards made to the LHA, while years four and five are based on estimated planning amounts, not actual awards.

LHAs may sometimes secure other sources of funding and assistance that you will note in their CIP, such as: Community Preservation Act (CPA) funding, Community Development Block Grant (CDBG) funding, Local Affordable Housing Trust Funds (AHTF), HOME grants, income from leasing a cell tower on their property, savings from net meter credit contracts with solar developers, utility rebates and contracted work from utility providers, and Sheriff's Department work crews. However, not all of these funding sources are available every year, or in all communities.

The CIP includes the following parts:

- A table of available funding sources and amounts
- A list of planned capital projects showing spending per fiscal year
- A table showing special awards and other funding for targeted projects, if any, which supplements Formula Funding awarded to the LHA
- A 'narrative' with a variety of additional information.

Additional Remarks by Gloucester Housing Authority

We have added two projects for elevator modernization at Sheedy and Clark buildings, to ensure the continued occupancy of those buildings. The CIP also addresses code issues related to electrical panels, fire alarm systems and natural gas piping in addition to such health & safety issues as paving, heating distribution lines and window replacement and heating system improvements to address energy efficiency. There is also a major climate resiliency project funded for Riverdale Park.

Capital Improvement Plan (CIP)

Aggregate Funding Available for Projects in the First Three Years of the CIP:

Category of Funds	Allocation	Planned Spending	Description
Balance of Formula Funding (FF)	\$14,996,671.46		Total of all FF awards minus prior FF spending
LHA Emergency Reserve	\$2,249,500.72		Amount to reserve for emergencies
Net FF Funds (First 3 Years of the CIP)	\$12,747,170.74	\$3,649,390.82	Funds to plan & amount actually planned in the first 3 years of the CIP
ADA Set-aside	\$24,957.44	\$25,000.00	Accessibility projects
DMH Set-aside	\$26,607.80	\$27,000.00	Dept. of Mental Health facility
DDS Set-aside	\$0.00	\$0.00	Dept. of Developmental Services facility
Unrestricted Formula Funding (FF)	\$12,695,605.50	\$3,597,390.82	Funds awarded by DHCD to be used on projects selected by the LHA and approved by DHCD.
Special DHCD Funding	\$5,101,011.82	\$3,637,637.82	Targeted awards from DHCD
Community Development Block Grant (CDBG) Funds	\$0.00	\$0.00	Federal funds awarded by a city or town for specific projects.
Community Preservation Act (CPA) Funds	\$0.00	\$0.00	Community Preservation Act funds awarded by a city of town for specific projects.
Operating Reserve(OR) Funds	\$0.00	\$0.00	Funds from the LHA's operating budget.
Other Funds	\$0.00	\$0.00	Funds other than those in the above categories. See explanation below.
Total funds and planned spending	\$17,848,182.56	\$7,287,028.64	Total of all anticipated funding available for planned projects and the total of planned spending.

Additional notes about funding:

Inflationary factors are slowing the number of projects that can be scheduled for FY 2024 despite additional ARPA funding.

Capital Improvement Plan (CIP)**CIP Definitions:**

ADA Set-aside is funding allocated within the Formula Funding (FF) for use on projects that improve accessibility for people with disabilities. 10% of FF awards are designated for this purpose.

Available State Bond Funding is the amount of State Bond Funding available to the LHA for the first three years of the CIP. It is calculated by totaling all of FF and Special Awards granted to the LHA through the end of the third year of the plan and subtracting the amount of these funds spent prior to July 1 of the first year of the plan.

Amount spent prior to the plan is the total amount of Formula Funding (FF) and Special Awards spent prior to July 1 of the first year of the plan.

Capital project is a project that adds significant value to an asset or replaces building systems or components. Project cost must be greater than \$1000.

CDBG stands for Community Development Block Grant, a potential source of project funds.

CPA stands for Community Preservation Act, a potential source of project funds.

CapHub Project Number is the number given to projects entered into DHCD's project management system known as CapHub.

DMH Set-aside is funding allocated within the Formula Funding (FF) for use on facilities leased to the Department of Mental Health (DMH) program vendors, if any exist at this LHA.

DDS Set-aside is funding allocated within the Formula Funding (FF) for use on facilities leased to the Department of Developmental Services (DDS) program vendors, if any exist at this LHA.

Formula Funding (FF) is an allocation of state bond funds to each LHA according to the condition (needs) of its portfolio in comparison to the entire state-aided public housing portfolio.

Operating Reserve is an account, funded from the LHA operating budget, primarily used for unexpected operating costs, including certain extraordinary maintenance or capital projects.

Other Funds could include other funding by the city or town or from other sources.

Special Awards are DHCD awards targeted to specific projects. Award programs include funds for emergencies beyond what an LHA can fund, for complying with regulatory requirements, for projects that will save water or energy use, and various other programs the department may run from time to time.

Total Cost is the sum of investigation, design, administration, permitting, and construction costs for a project

Unrestricted Formula Funding (FF) is money awarded to the LHA by DHCD under the Formula Funding program other than amounts set aside (restricted) for accessibility improvements or for facilities operated by DMH or DDS.

Capital Improvement Plan (CIP)

Formula Funding and Special DHCD Award Planned Spending - Other funding not included

Cap Hub Project Number	Project Name	Development(s)	Total Cost	Amount Spent Prior to Plan	Remaining Planned for 2024	fy2025 Planned	fy2026	fy2027	fy2028	fy2029
107105	ModPHASE Ph 2: SUST: Kitchen, Partial Bath, MEP	MCPHERSON PARK 667-04	\$10,398,477	\$5,404,397	\$26,250	\$0	\$0	\$0	\$0	\$0
107113	ARPA FF+FF: Walkway Paving	LINCOLN PARK 667-01	\$828,330	\$62,275	\$60,165	\$691,392	\$0	\$0	\$0	\$0
107122	Parking & Walkway repair (Phase 1)	RIVERDALE PARK 200-01	\$586,241	\$0	\$0	\$12,458	\$401,705	\$172,079	\$0	\$0
107127	SUST-R Resiliency: mechanical systems & electrical 200-1	RIVERDALE PARK 200-01	\$4,883,218	\$2,250,912	\$402,605	\$0	\$0	\$0	\$0	\$0
107129	Parking & Walkway Repair (Phase 2)	RIVERDALE PARK 200-01	\$528,314	\$0	\$0	\$10,276	\$387,402	\$130,638	\$0	\$0
107132	McPherson Fire Alarm Panel	MCPHERSON PARK 667-04	\$70,331	\$65,262	\$0	\$0	\$0	\$0	\$0	\$0
107133	ARPA Targeted Award- Fed Pac and Fire Alarm System Upgrades	667-05, 667-06, 689-01	\$617,750	\$0	\$22,224	\$552,115	\$0	\$0	\$0	\$0
107134	ARPA FF+FF: Poplar Heating Distribution Line Replacement	POPLAR PARK 667-02	\$489,984	\$10,168	\$368,019	\$89,267	\$0	\$0	\$0	\$0
107135	Clark Window Replacement - Armory Section	CURTIS B. CLARK 667-06	\$231,554	\$8,531	\$0	\$206,006	\$0	\$0	\$0	\$0

Capital Improvement Plan (CIP)

Formula Funding and Special DHCD Award Planned Spending - Other funding not included

Cap Hub Project Number	Project Name	Development(s)	Total Cost	Amount Spent Prior to Plan	Remaining Planned for 2024	fy2025 Planned	fy2026	fy2027	fy2028	fy2029
107136	Hillcrest Porch & Exterior Trim Replacement	HILLCREST 689-01	\$101,775	\$4,000	\$18,956	\$68,485	\$0	\$0	\$0	\$0
107138	ARPA FF: McPherson Masonry Repairs	MCPHERSON PARK 667-04	\$37,451	\$0	\$120	\$0	\$0	\$0	\$0	\$0
107139	Master Meter Upgrade	667-01, 667-02	\$1,479,856	\$16,575	\$69,296	\$1,393,986	\$0	\$0	\$0	\$0
107140	Riverdale Window Replacement -Phase 1	RIVERDALE PARK 200-01	\$739,855	\$0	\$18,867	\$720,989	\$0	\$0	\$0	\$0
107141	Riverdale Furnace Replacement - Upper Veterans Way/Washington	RIVERDALE PARK 200-01	\$635,250	\$0	\$0	\$612,890	\$22,361	\$0	\$0	\$0
107142	Lincoln Heating Distribution Line Replacement	LINCOLN PARK 667-01	\$97,937	\$0	\$2,498	\$95,440	\$0	\$0	\$0	\$0
•	Riverdale Furnace Replacement - Lower Veterans	RIVERDALE PARK 200-01	\$877,250	\$0	\$0	\$0	\$0	\$0	\$0	\$91,085
•	Riverdale Window Replacement - Phase 2	RIVERDALE PARK 200-01	\$916,074	\$0	\$0	\$0	\$0	\$0	\$0	\$95,116
•	Riverdale Window Replacment - Phase 3	RIVERDALE PARK 200-01	\$678,160	\$0	\$0	\$0	\$0	\$0	\$0	\$30,033

Capital Improvement Plan (CIP)

Formula Funding and Special DHCD Award Planned Spending - Other funding not included

Cap Hub Project Number	Project Name	Development(s)	Total Cost	Amount Spent Prior to Plan	Remaining Planned for 2024	fy2025 Planned	fy2026	fy2027	fy2028	fy2029
•	Riverdale Furnace Replacement - Lower Veterans South	RIVERDALE PARK 200-01	\$907,500	\$0	\$0	\$0	\$0	\$0	\$0	\$94,226
•	Riverdale Furnace Replacement - Patriots Circle	RIVERDALE PARK 200-01	\$1,179,750	\$0	\$0	\$0	\$0	\$0	\$0	\$92,071
•	Lincoln Water/Sewer Line Replacment	LINCOLN PARK 667-01	\$484,979	\$0	\$0	\$0	\$231,269	\$253,711	\$0	\$0
•	Sheedy Elevator 1 Modernization	SHEEDY BUILDING 667-05	\$375,599	\$0	\$0	\$0	\$0	\$0	\$0	\$179,110
•	Clark Elevator Modernization	CURTIS B. CLARK 667-06	\$399,629	\$0	\$0	\$0	\$0	\$0	\$0	\$190,569

Capital Improvement Plan (CIP)

FUNDS IN ADDITION TO ANNUAL FORMULA FUNDING AWARD

Cap Hub Project Number	Project Name	DHCD Special Award Comment	Special DHCD Awards				Other Funding			
			Emergency Reserve	Compliance Reserve	Sustainability	Special Awards	CDBG	CPA	Operating Reserve	Other Funds
107105	ModPHASE Ph 2: SUST: Kitchen, Partial Bath, MEP	IAQ	\$0	\$0	\$158,000	\$5,783,327	\$0	\$0	\$0	\$4,457,150
107113	ARPA FF+FF: Walkway Paving	ARPA Formula Funding	\$0	\$0	\$0	\$400,000	\$0	\$0	\$0	\$0
107127	SUST-R Resiliency: mechanical systems & electrical 200-1	SUST-R Flood-proofing, Flood Barriers, Emergency Plan, Backwater Valves, and Tree Trimming	\$0	\$8,000	\$2,675,218	\$0	\$0	\$0	\$0	\$2,200,000
107133	ARPA Targeted Award- Fed Pac and Fire Alarm System Upgrades	ARPA Targeted	\$0	\$0	\$0	\$617,750	\$0	\$0	\$0	\$0
107134	ARPA FF+FF: Poplar Heating Distribution Line Replacement	ARPA Formula Funding	\$0	\$0	\$0	\$59,751	\$0	\$0	\$0	\$0
107135	Clark Window Replacement - Armory Section	ARPA Formula Funding	\$0	\$0	\$0	\$126,128	\$0	\$0	\$0	\$0
107138	ARPA FF: McPherson Masonry Repairs	ARPA Formula Funding	\$0	\$0	\$0	\$37,451	\$0	\$0	\$0	\$0
107139	Master Meter Upgrade	master meter	\$0	\$1,479,856	\$0	\$0	\$0	\$0	\$0	\$0

Capital Improvement Plan (CIP) Narrative

Including Requests to DHCD & Supporting Statements

1. Request for increased spending flexibility.

DHCD designates a spending target (cap share) and an allowable spending range for each year of the CIP. A Housing Authority may request to shift the cap shares of the first three years in order to increase scheduling flexibility. A CIP utilizing this flexibility is called an Alternate CIP. The total spending over three years and over five years must continue to meet the limits set by DHCD. DHCD will approve an Alternate CIP only with acceptable justification and only if funding is available.

Gloucester Housing Authority has submitted an Alternate CIP with the following justification:

- We have urgent projects that require excess spending in year 1 or 2.

The projects in Year 1 exceed the CAP share, but they are all in FISH status and underway. We have not added any other projects to Year 1.

2. Request for additional funding.

A Housing Authority may request additional funding from DHCD for projects that qualify as emergencies, required legal compliance upgrades, or sustainability improvements.

Gloucester Housing Authority has not requested additional funding.

3. Overall goals of the Housing Authority's CIP

The Gloucester HA's overall goal is to maintain full occupancy and ensure the preservation of our portfolio. GHA is concentrating on capital projects that stabilize the building envelopes and systems and address site safety issues. We had added two additional elevator modernization projects to ensure continued occupancy.

4. Changes from the Housing Authority's previous CIP

Every new CIP differs from the previous CIP because projects have been completed and a new year has been added with new projects. These changes and other significant changes to the content of the CIP are highlighted below:

No significant differences.

5. Requirements of previous CIP approval

There were no special conditions attached to the approval of our previous CIP.

6. Quarterly capital reports

Our most recent quarterly capital report (form 80 and 90) was submitted on 11/27/2023.

7. Capital Planning System (CPS) updates

Our CPS facility data has been updated with current condition information, including changes resulting from projects completed in the past year, as of 01/19/2024.

8. Project priorities

All the projects in our CIP are high priority (Priority 1 and 2 projects).

9. High priority deficiencies

We have not been able to include all of our high priority (CPS priority 1 and 2) projects in our CIP:

See attached.

10. Accessibility

We have identified the following accessibility deficiencies in our portfolio:

We have projects to address walkway improvements at Lincoln Park, Poplar Park, Sheedy Building, and Riverdale.

We have incorporated the following projects in our CIP to address accessibility deficiencies:

We have projects to address walkway improvements at Lincoln Park, Poplar Park, Sheedy Building, and Riverdale.

11. Special needs development

Gloucester Housing Authority has one or more special needs (167 or 689 programs) development. We have completed the service provider input process according to the required procedures detailed in the lease agreement and held an annual meeting with the service provider staff at all special needs developments as of 11/27/2023.

12. Energy and water consumption

Our 12 most recent monthly energy reports are for months 2/2023 to 1/2024.

The following table lists the DHCD thresholds for Per Unit Monthly (PUM) expense for electricity, natural gas, oil, and water use and the developments at the Housing Authority that have expenses in excess of the thresholds, if any.

	Electric PUM > Threshold	Gas PUM > Threshold	Oil PUM > Threshold	Water PUM > Threshold
Threshold PUM:	\$100	\$80	\$50	\$60
	667-04			667-05
				667-04
				667-01

We have recently completed a \$5.3M ModPHASE renovation at 667-4 McPherson Park which has yet to be reflected in these annual energy numbers. We are hopeful that some energy savings will result.

The high water PUM for 667-05 is a result of a significant water leak discovered in 2023. That was repaired, and a new water meter was installed Q1 FY24.

13. Energy or water saving initiatives

Gloucester Housing Authority is not currently pursuing any energy or water-saving audits or grants that could affect CIP project scope, costs or timing of projects.

14. Vacancy rate

Our unadjusted vacancy rate reported to DHCD is as follows. (The unadjusted vacancy rate captured in these figures is the percentage of ALL housing units that are vacant, including both offline units being used for other purposes and units with DHCD vacancy waivers.)

- 1.1% c. 667 (DHCD Goal 2%)
- 1.3% c. 200 (DHCD Goal 2%)
- 0% c. 705 (DHCD Goal 2%)

Gloucester Housing Authority will address the excess vacancies in the following manner:
The high vacancy rate at 200 development is not due to any Capital Improvement projects.
This development is a transitional housing site.

CIP Approval For Gloucester Housing Authority for FY 2025

Formula Funding Capital Improvement Plan (CIP), WorkPlan 5001

10/6/2024

Congratulations! The CIP-2025 submitted by Gloucester Housing Authority is approved, subject to the following conditions:

- We've assigned both elevator projects, 107144 and 107145 to Howard Gerber. We believe that the design of the two elevators can be combined into a single project (107144) along with a study of a second elevator at the Clark development. Howard can make the final determination in consultation with Gloucester during a site visit to write/scope the work order(s). Constructing a second elevator, will require a staff architect's involvement in the project and will require separation from the modernization project.
- Please consider contacting LEAN regarding their window replacement program.
- Evaluate whether the gas furnaces can be replaced with an electrified option.

There are no projects to be managed independently by the LHA.

Projects for which the Primary PM is DHCD or RCAT - Large**

CPS Number	FISH #	Project Name	TDC Amount *	Other Funding	DHCD Staff Arch/ Eng	WO/RFS Date
107-667-01-0-21-975	107143	Lincoln Water/Sewer Line Replacment	\$484,979.00	\$0.00	wkoett	12/11/2024
107-667-05-0-23-1606	107144	Sheedy Elevator 1 Modernization	\$375,599.00	\$0.00	Howard.Gerber	12/06/2024
107-667-06-0-23-1605	107145	Clark Elevator Modernization	\$399,629.00	\$0.00	Howard.Gerber	12/11/2024

Going forward, if you need to add a project that is not in your approved CIP you will need to submit a revision through CIMS. Instructions for revising your CIP can be found on the CIMS Forms menu.

Details of the Approved CIP can be found at the link to 'Approved & Active CIP Reports' on the CIMS forms page in the CIP Reports section. Projects may utilize funding from multiple sources. The 'Original Approved' report details the proposed funding as submitted by the LHA. Please feel free to call DHCD Project Manager Horacio Valdez at (617) 573-1100 with any questions.

* Where the TDC is followed by an asterisk the project has been indicated as 'Complex' by DHCD.

**'Primary PM' is used to identify the agency responsible for updating a project's budget and schedule.

This document was created on 10/6/2024 by Avalon McLarenPMD, Project Management Director

Maintenance and Repair Plan

Maintenance Objective

The goal of good property maintenance at a public housing authority is to serve the residents by assuring that the homes in which they live are decent, safe, and sanitary.

About This Maintenance and Repair Plan

This Maintenance & Repair Plan consists of several subsections describing maintenance systems followed by charts showing typical preventive maintenance, routine maintenance, and unit inspection tasks and schedules. These subsections are:

- a. **Classification and Prioritization of Maintenance Tasks** - Defines and prioritizes types of work to be accomplished by maintenance staff and vendors. Explains how the housing authority is expected to respond to work orders (tasks or requests) based on the work order classification.
- b. **Emergency Response System** - Defines what constitutes an emergency and how to notify staff of an emergency.
- c. **Normal Maintenance Response System** - How to contact the maintenance staff for a non-emergency request.
- d. **Work Order Management** - Description of the housing authority's system for managing work orders (tasks and requests).
- e. **Maintenance Plan Narrative & Policy Statement** - Self-assessment, basic information, and goals for the coming year, along with a description of the housing authority's maintenance program.
- f. **Preventive Maintenance Schedule** - A listing and schedule of tasks designed to keep systems and equipment operating properly, to extend the life these systems and equipment, and to avoid unexpected breakdowns.
- g. **Routine Maintenance Schedule** - A listing and schedule of ordinary maintenance tasks such as mopping, mowing, raking, and trash collection required to keep the facilities in good condition.
- h. **Unit Inspections** - Scheduling of annual unit inspections.

Classification and Prioritization of Maintenance Tasks

Maintenance items are tracked as “work orders” and are classified in the following categories. They are prioritized in the order listed. The following classifications and prioritization are required by the Department of Housing and Community Development (DHCD).

- I. **Emergencies** - Emergencies are only those conditions which are **immediately threatening** to the life or safety of our residents, staff, or structures.
 - **Goal: initiated with 24 to 48 hours.**
- II. **Vacancy Refurbishment - Work necessary to make empty units ready for new tenants.**
 - After emergencies, the refurbishment of vacancies for immediate re-occupancy has the highest priority for staff assignments. **Everyday a unit is vacant is a day of lost rent.**
 - **Goal: vacancy work orders are completed within 30 calendar days or if not completed within that timeframe, LHA has a waiver.**
- III. **Preventive Maintenance** - Work which must be done to **preserve and extend the useful life** of various elements of your physical property and avoid emergency situations.
 - A thorough Preventive Maintenance Program and Schedule that deals with all elements of the physical property is provided later in the document.
 - The Preventive Maintenance Program is reviewed and updated annually and as new systems and facilities are installed.
- IV. **Programmed Maintenance** - Work which is important and is completed to the greatest extent possible within time and budget constraints. Programmed maintenance is grouped and scheduled to make its completion as efficient as possible. Sources of programmed maintenance include:
 - Routine Work includes those tasks that need to be done on a regular basis to keep our physical property in good shape. (Mopping, Mowing, Raking, Trash, etc.)
 - Inspections are the other source of programmed maintenance.
 - o Inspections are visual and operational examinations of parts of our property to determine their condition.
 - o All dwelling units, buildings and sites must be inspected at least annually.
 - o **Goal: Inspection-generated work orders are completed within 30 calendar days from the date of inspection, OR if cannot be completed within 30 calendar days, are added to the Deferred Maintenance Plan or the Capital Improvement Plan in the case of qualifying capital repairs (unless health/safety issue).**
- V. **Requested Maintenance** - Work which is requested by residents or others, does not fall into any category above, and should be accomplished as time and funds are available.
 - Requests from residents or others for maintenance work which does not fall into one of the other categories has the lowest priority for staff assignment.
 - **Goal: Requested work orders are completed in 14 calendar days from the date of tenant request or if not completed within that timeframe (and not a health or safety issue), the task is added and completed in a timely manner as a part of the Deferred Maintenance Plan and/or CIP.**

Emergency Request System

For emergency requests call the numbers listed here. Qualifying emergency work requests are listed below.

METHOD	CONTACT INFO.	TIMES
Call Answering Service	617-629-1381	After business hours.
Call LHA at Phone Number	978-283-4770	M-Th 8AM - 4:15PM, F 8AM-12PM

List of Emergencies - Emergencies are those conditions which are immediately threatening to the life or safety of our residents, staff, or structures. The following is a list of typical conditions that warrant an emergency response. If there is an emergency condition whether or not enumerated on this list please notify the office or answering service at the numbers listed above. If you have any questions regarding this list or other matters that may constitute an emergency, please contact the Gloucester Housing Authority main office.

QUALIFYING EMERGENCY WORK REQUESTS
Fires of any kind (Call 911)
Gas leaks/ Gas odor (Call 911)
No electric power in unit
Electrical hazards, sparking outlets
Broken water pipes, flood
No water/ unsafe water
Sewer or toilet blockage
Roof leak
Lock outs
Door or window lock failure
No heat
No hot water
Snow or ice hazard condition
Dangerous structural defects
Inoperable smoke/CO detectors, beeping or chirping
Elevator stoppage or entrapment
Inoperable refrigerator (667 properties)
Unsecured apartment or common area
Biohazard in common area

Normal Maintenance Request Process

Make normal (non-emergency) maintenance requests using the following methods:

METHOD	CONTACT INFO.	TIMES
Text Phone Number		
Call Answering Service	617-629-1381	After business hours.
Call Housing Authority Office	978-283-4770	M-Th 8AM-4:15PM, F 8AM-12PM
Submit Online at Website		
Email to Following Email		
Other		

Work Order Management

A. DHCD review of this housing authority’s operations shows that the authority uses the following system for tracking work orders:

Type of work order system:

Work order classification used:

Emergency	
Vacancy	
Preventative Maintenance	
Routine	
Inspections	
Tenant Requests	

B. We also track deferred maintenance tasks in our work order system.

C. Our work order process includes the following steps:

Step	Description	Checked steps are used by LHA
1	Maintenance Request taken/submitted per the standard procedures listed above for the Emergency Request System and the Normal Maintenance Request Process.	<input checked="" type="checkbox"/>
2	Maintenance Requests logged into the work system	<input checked="" type="checkbox"/>
3	Work Orders generated	<input checked="" type="checkbox"/>
4	Work Orders assigned	<input checked="" type="checkbox"/>
5	Work Orders tracked	<input checked="" type="checkbox"/>
6	Work Orders completed/closed out	<input checked="" type="checkbox"/>
7	Maintenance Reports or Lists generated	<input checked="" type="checkbox"/>

D. Additional comments by the LHA regarding work order management:

We use PHA Web for work orders, all types.

Maintenance Plan Narrative

Following are Gloucester Housing Authority’s answers to questions posed by DHCD.

A. Narrative Question #1: How would you assess your Maintenance Operations based on feedback you’ve received from staff, tenants, DHCD’s Performance Management Review (PMR) & Agreed Upon Procedures (AUP), and any other sources?

The Operations Department of the GHA is responsible for managing all maintenance functions of the agency in the most cost-effective manner while maximizing the useful life of GHA properties and providing the best service to GHA residents. The GHA's PMR & AUP had no findings or comments related to our maintenance activities and we receive ongoing compliments from residents concerning the quality of work and courtesy of our staff.

Management continually reviews department productivity through benchmark reports.

B. Narrative Question #2: What changes have you made to maintenance operations in the past year?

Operations Director and Maintenance Supervisor are now directly monitoring all work-order status and have shifted staffing assignments resulting in reducing the average number of days to complete work orders. The new approach has also resulted in a reduction in deferred work orders, most of which are related to supply chain issues. The reduction in work order completion time and deferred work orders were major goals for FY 2024.

C. Narrative Question #3: What are your maintenance goals for this coming year?

Major goals for FY 2025 include continued reduction in work order completion time, and improved unit turnover quality control. The GHA also plans to expand its Preventative Maintenance Program, strengthen systems inspections and revise emergency preparedness plan.

D. Maintenance Budget Summary

The budget numbers shown below are for the consolidated budget only. They do not include values from supplemental budgets, if any.

	Total Regular Maintenance Budget	Extraordinary Maintenance Budget
Last Fiscal Year Budget	\$1,282,017.00	\$76,850.00
Last Fiscal Year Actual Spending	\$1,186,253.00	\$61,929.00
Current Fiscal Year Budget	\$1,537,684.00	\$109,850.00

E. Unit Turnover Summary

# Turnovers Last Fiscal Year	60
Average time from date vacated to make Unit "Maintenance Ready"	4 days
Average time from date vacated to lease up of unit	4 days

F. Anything else to say regarding the Maintenance Plan Narrative?

The GHA continues to be proud of its Maintenance Staff's efforts. Their commitment to preserve decent, safe and sanitary housing to our residents are a credit to their profession.

Attachments

These items have been prepared by the Gloucester Housing Authority and appear on the following pages:

Preventive Maintenance Schedule - a table of preventive maintenance items showing specific tasks, who is responsible (staff or vendor), and the month(s) they are scheduled

Deferred Maintenance Schedule - a table of maintenance items which have been deferred due to lack of resources.

GHA Preventative/Scheduled Maintenance

Item	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Responsible Party
Boiler Room Inspections/Oil Lube motors - Lincoln	▲				▲			▲			▲		Staff Plumber
Boiler Room Inspections/Oil Lube motors- Poplar	▲				▲			▲			▲		Staff Plumber
Boiler Room Inspections/Oil Lube motors - Macpherson	▲				▲			▲			▲		Staff Plumber
Boiler Room Inspections/Oil Lube motors- Clark	▲				▲			▲			▲		Staff Plumber
Check Antifreeze level in Heating System-Essex St Apts											▲		Staff Plumber
Boiler Room Inspections - Hillcrest		▲				▲				▲			Staff Plumber
Boiler Room Inspections - Main Office		▲				▲				▲			Staff Plumber
Boiler Room Inspections - Mason		▲				▲				▲			Staff Plumber
Boiler Room Inspections - Prospect Sq.		▲				▲				▲			Staff Plumber
Boiler Room Inspections - Sargent		▲				▲				▲			Staff Plumber
Boiler Room Inspections - Sheedy		▲				▲				▲			Staff Plumber
Boiler Room Inspections/Oil Lube motors- Willowood		▲				▲				▲			Staff Plumber
Inspect and Recharge all Fire extinguishers/ Call Vendor to Schedule								▲					Working Supervisor
Change Heat Filters - Clark										▲			Working Supervisor
Change Furnace Filters - Riverdale									▲				Working Supervisor
Check Compactor Eye, gauge, oil & hydraulics - McPherson					▲						▲		Working Supervisor
Check Compactor Eye, gauge, oil & hydraulics - Sheedy					▲						▲		Working Supervisor
Check all Crawlspace/Test Sump pumps - Poplar									▲				Working Supervisor
Check Crawlspace/Evidence of Mold - Poplar									▲				Working Supervisor
Check Emergency Lights - Clark											▲		Working Supervisor
Check Emergency Lights - Lincoln Comm Room					▲						▲		Working Supervisor
Check Emergency Lights - McPherson					▲						▲		Working Supervisor
Check Emergency Lights - Poplar Comm Room					▲						▲		Working Supervisor
Check Emergency Lights - Riverdale Comm Room					▲						▲		Working Supervisor
Check Emergency Lights - Sheedy					▲						▲		Working Supervisor
Check Emergency Lights - Willowood Comm Room					▲						▲		Working Supervisor
Clear out any debris from bridge culvert entrances - Poplar					▲					▲			Working Supervisor
Clean out Grease Trap - McPherson				▲						▲			Staff Plumber
Change all Air Filter, water jet clean all condenser coils -McP Com.Rm. Air Handler					▲						▲		Staff Plumber
Boiler Inspection - Essex								▲					Working Supervisor
Boiler Inspection - Griffin								▲					Working Supervisor
Inspect Both Bridge Culverts - Poplar Park				▲						▲			Working Supervisor
Inspect/Repair Roof Exhaust Fans - Clark				▲						▲			Working Supervisor
Inspect/Repair Roof Exhaust Fans - McPherson				▲									Working Supervisor

GHA Preventative/Scheduled Maintenance

Item	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Responsible Party
Inspect/Repair Roof Exhaust Fans - Sheedy				▲								▲	Working Supervisor
Change all filters in Roof Makeup Air unit - Sheedy				▲								▲	Working Supervisor
Replace Air Filters in end hallway air handler units -all 6 floors -McPherson										▲			Working Supervisor
Replace filters in Community Room HVAC-Sheedy					▲								Working Supervisor
Clean filter screen in all mini split heads in all GHA Main Offices equipped						▲							Working Supervisor
Test/Check Emergency Generator - Clark	▲		▲		▲		▲		▲		▲		Working Supervisor
Test/Check Emergency Generator - McPherson	▲		▲		▲		▲		▲		▲		Working Supervisor
Test/Check Emergency Generator - Sheedy	▲		▲		▲		▲		▲		▲		Working Supervisor
Test run Sprinkler Pump and grease bearings at Clark Building		▲				▲				▲			Working Supervisor
Test run Sprinkler Pump and grease bearings at Sheedy Building			▲				▲				▲		Working Supervisor
Test run Sprinkler Pump and grease bearings at McPherson Building				▲				▲				▲	Working Supervisor
Replace Aqualine AP431 Water Filter Cartridges at Poplar Park			▲						▲				Staff Plumber
Replace Aqualine AP431 Water Filter Cartridges at Lincoln Park			▲						▲				Staff Plumber
Replace Water Filter on Viesman Boiler at McPherson Park				▲									Staff Plumber
Renew all Acid Nurtralizer cartridges for Boiler water drains at Poplar Park								▲					Staff Plumber
Renew all Acid Nurtralizer cartridges for Boiler water drains at Lincoln Park								▲					Staff Plumber
Renew all Acid Nurtralizer cartridges for Boiler water drains at Sheedy Park								▲					Staff Plumber
Renew all Acid Nurtralizer cartridges for Boiler water drains at Clark Building								▲					Staff Plumber
Renew all Acid Nurtralizer cartridges for Boiler water drains at Willowood								▲					Staff Plumber
any sediment from boiler and components, Clean out Condensate Trap, Service								▲					Staff Plumber
Check all Hydraulics for Leaks, Inspect and Test all Operating Components, Contact									▲				Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Poplar Boiler Rm 1							▲						Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Poplar Boiler Rm 2							▲						Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Poplar Boiler Rm 3							▲						Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Poplar Boiler Rm 4							▲						Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Poplar Boiler Rm 5							▲						Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Poplar Boiler Rm 6								▲					Staff Plumber

GHA Preventative/Scheduled Maintenance

Item	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Responsible Party
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks Lincoln Boiler Rm 1								▲					Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks Lincoln Boiler Rm 2								▲					Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Lincoln Boiler Rm 3								▲					Staff Plumber
Lochinvar Boiler Maint. Clean Heat Exchanger, Ign and Flame sensor electrodes. Operate Relief Valve. Inspect All vents for leaks. Lincoln Boiler Rm 4								▲					Staff Plumber
Tes/Run Emergency Generators at Main Office, Lincoln & Poplar Parks	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	
Sheedy Bldg. - Drain, Flush, Refill Compactor Hydraulic Fluid w/AW68 or equivalent											▲		
McPherson Bldg. - Drain, Flush, Refill Compactor Hydraulic Fluid w/AW68 or equivalent									▲		▲		
McPherson - Change Filters, Clean Condenser Air Unit on Community Room Roof									▲		▲		

GHA Preventative Routine HA Requested Maintenance

1/18/2024

Item	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Responsible Party
Turn on Outside Water Spikots-Lincoln , Poplar, Arthur St					▲								Working Supervisor
Turn off Outside Water Spikots-Lincoln , Poplar, Arthur St										▲			Working Supervisor
Call Pitney Bowes to Service/Clean Mailing Machine					▲						▲		Operations Director
Schedule Fire Alarm/Sprinkler Testing at all Highrises with Hayden					▲								Operations Director
Bleed Hot Water System - Sheedy	▲				▲			▲			▲		Staff Plumber
Bleed Hot Water System - McPherson	▲				▲			▲			▲		Staff Plumber
Inspect/replace bad bulbs all common area lighting -Clark	▲						▲						Working Supervisor
Inspect/replace bad bulbs all common area lighting - Sheedy	▲						▲						Working Supervisor
Inspect/replace bad bulbs all common lighting - McPherson	▲						▲						Working Supervisor
Set Exterior lights Timers at Poplar, Lincoln and Willowood on at 8:00 pm			▲										Working Supervisor
Set Exterior lights Timers at Poplar, Lincoln and Willowood on at 5:00 pm									▲				Working Supervisor
Check Exterior Lighting - Clark					▲						▲		Working Supervisor
Check Exterior Lighting - Lincoln					▲						▲		Working Supervisor
Check Exterior Lighting - Main Office					▲						▲		Working Supervisor
Check Exterior Lighting - McPherson					▲						▲		Working Supervisor
Check Exterior Lighting - Poplar					▲						▲		Working Supervisor
Check Exterior Lighting - Riverdale Comm/maint Building					▲						▲		Working Supervisor
Check Exterior Lighting - Sheedy					▲						▲		Working Supervisor
Check Exterior Lighting - Willowood											▲		Working Supervisor
Clear all Debris from all Roof areas - Clark, Sheedy, McPherson								▲					Working Supervisor
Install/Remove A/C - Sunroom, Lobby, Attic, Downstairs Conf. Rm, Cliff's office, Resident Services offices					▲					▲			Working Supervisor
Stock all sites with Bagged Ice Melt										▲			Working Supervisor
Clean Gutters/Repair Joints - Griffin Court											▲		Working Supervisor
Clean Gutters/Repair Joints - Lincoln Park											▲		Working Supervisor
Clean Gutters/Repair Joints - Poplar Park											▲		Working Supervisor
Install/Remove A/C - Poplar Comm Room					▲					▲			Working Supervisor
Test A/C - Clark, Main Office, McPherson, Sheedy, Willowood					▲								Working Supervisor
Remove All A/C units - Main Offices, LP,PP, Riverdale Comm.Rms.										▲			Working Supervisor
Change Heat Filters - Clark									▲				Working Supervisor
Clean Laundry Room Behind Dryers - Sheedy, Clark, LP, PP, McPh.	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	Working Supervisor
Disinfect Trash Barrels & Trash Room, Clark				▲						▲			Working Supervisor
Empty Smoking Receptacles -Clark, McPherson, Sheedy	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	Working Supervisor

GHA Preventative Routine HA Requested Maintenance

1/18/2024

Item	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec	Responsible Party
Loose Grounds Trash Pickup at Riverdale, Willowood, Lincoln, Poplar -2nd & 15th of each month	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	▲	Working Supervisor
Set Up Xmas Tree in Community Room, & Install Lights on Outdoor Trees/shrubs - Lincoln, Poplar, McPherson, Sheedy Clark												▲	Working Supervisor
Remove Xmas Tree in Community Room, & Install Lights on Outdoor Trees/shrubs - Lincoln, Poplar, McPherson, Sheedy Clark	▲												Working Supervisor
Install the temporary Speed Bumps at Willowood Gardens						▲							Working Supervisor
Remove the temporary Speed Bumps at Willowood Gardens in preparation for snow removal											▲		Working Supervisor
Laundry Room - All Elderly - Clean & Sanitize all laundry equipment, wiping down inside of the machine with a bleached based cleaner. Run washing machines on a bleach wash cycle with hot water.	▲			▲			▲			▲			Working Supervisor

Gloucester Housing Authority Deferred Work Order Report

Filter Criteria Includes: 1) Project: All Projects, 2) Deferred Type: All Types, 3) Deferred Note: N/A, 4) Completed: 7/1/2023 to 1/18/2024

Work Order Number	Completed Date/Time	Deferred Note	Deferred Type
77260	7/20/2023 12:00 AM	STILL LIVE-71VW	Deferred Maintenance Plan
77298	7/12/2023 12:00 AM	DONE 27VW-WO 80175	Deferred Maintenance Plan
77299	7/12/2023 12:00 AM	STILL LIVE-27VW	Deferred Maintenance Plan
77300	7/12/2023 12:00 AM	STILL LIVE -27VW	Deferred Maintenance Plan
77326	7/20/2023 12:00 AM	STILL LIVE 16PC	Deferred Maintenance Plan
77332	7/20/2023 12:00 AM	STILL LIVE 16PC	Deferred Maintenance Plan
77363	7/18/2023 12:00 AM	DONE 25PC-WO77844	Deferred Maintenance Plan
77368	7/5/2023 12:00 AM	DONE 35PC-WO80251	Deferred Maintenance Plan
77369	7/5/2023 12:00 AM	STILL LIVE-35PC	Deferred Maintenance Plan
77440	7/12/2023 12:00 AM	DONE 75PP - WO 78187	Deferred Maintenance Plan
77454	7/12/2023 12:00 AM	STILL LIVE-19MS #2	Deferred Maintenance Plan
77459	7/12/2023 12:00 AM	DONE 1PC-78616	Deferred Maintenance Plan
77495	8/2/2023 12:00 AM	DONE CBC -WO 78988	Deferred Maintenance Plan
77543	7/12/2023 12:00 AM	DONE 9LP-WO80139	Deferred Maintenance Plan
77555	7/17/2023 12:00 AM	DONE 57PC-WO 78630	Deferred Maintenance Plan
77574	7/24/2023 12:00 AM	DONE 50LP-WO80159	Deferred Maintenance Plan
77576	7/12/2023 12:00 AM	DONE 10LP-WO 80174	Deferred Maintenance Plan
77578	7/19/2023 12:00 AM	DONE 44PC -WO 77967	Deferred Maintenance Plan
77592	7/20/2023 12:00 AM	DONE -10PC WO 78501	Deferred Maintenance Plan
77625	7/20/2023 12:00 AM	STILL LIVE - 19PC	Deferred Maintenance Plan
77662	8/14/2023 12:00 AM	STILL LIVE-41VW	Deferred Maintenance Plan
77663	8/14/2023 12:00 AM	STILL LIVE 41VW	Deferred Maintenance Plan
77666	8/14/2023 12:00 AM	STILL LIVE-41VW	Deferred Maintenance Plan
77672	8/11/2023 12:00 AM	DONE 40VW-WO80161	Deferred Maintenance Plan
77679	8/11/2023 12:00 AM	STILL LIVE 40VW	Deferred Maintenance Plan
77689	8/10/2023 12:00 AM	STILL LIVE 53PC	Deferred Maintenance Plan
77696	8/4/2023 4:12 PM	DONE 32PC-WO 80416	Deferred Maintenance Plan
77720	8/15/2023 12:00 AM	STILL LIVE 12PC	Deferred Maintenance Plan
77951	9/7/2023 12:00 AM	DONE-10GC - 79283	Deferred Maintenance Plan
78035	8/31/2023 12:00 AM	DONE 18ES#1, WO 78717	Deferred Maintenance Plan
78042	8/31/2023 12:00 AM	DONE 18ES #3, AT UNIT PREP	Deferred Maintenance Plan
78045	8/31/2023 12:00 AM	DONE 18ES #2, WO78575	Deferred Maintenance Plan
78046	8/31/2023 12:00 AM	STILL LIVE 18ES #2	Deferred Maintenance Plan
78047	8/31/2023 12:00 AM	STILL LIVE 18ES #2	Deferred Maintenance Plan
78076	8/15/2023 12:00 AM	STILL LIVE 8PC	Deferred Maintenance Plan
78103	9/7/2023 12:00 AM	DONE MCPH PKG LOT-WO79016	Deferred Maintenance Plan
78109	9/1/2023 12:00 AM	DONE MC FRONT VESTIBULE MAT REPLACED	Deferred Maintenance Plan
78113	9/4/2023 12:00 AM	DONE MCPH -WO80045	Deferred Maintenance Plan
78130	8/14/2023 12:00 AM	DONE 601MCP-WO 80412	Deferred Maintenance Plan
78137	8/17/2023 12:00 AM	DONE 519MCP-WO 80413	Deferred Maintenance Plan
78138	8/17/2023 12:00 AM	DONE 416MCP-WO 80414	Deferred Maintenance Plan
78148	9/7/2023 12:00 AM	STILL LIVE-41WW	Deferred Maintenance Plan
78149	9/7/2023 12:00 AM	STILL LIVE-41WW	Deferred Maintenance Plan
78150	9/7/2023 12:00 AM	STILL LIVE-41WW	Deferred Maintenance Plan
78151	9/7/2023 12:00 AM	STILL LIVE-41WW	Deferred Maintenance Plan
78207	9/7/2023 12:00 AM	DONE 507MCP-WO 80187	Deferred Maintenance Plan
78254	9/1/2023 12:00 AM	STILL LIVE 48PC	Deferred Maintenance Plan
78255	9/1/2023 12:00 AM	STILL LIVE 48PC	Deferred Maintenance Plan
78257	9/14/2023 12:00 AM	DONE 52PC-WO80146	Deferred Maintenance Plan
78268	9/15/2023 12:00 AM	DONE 37VW-WO80140	Deferred Maintenance Plan

Gloucester Housing Authority Deferred Work Order Report

Filter Criteria Includes: 1) Project: All Projects, 2) Deferred Type: All Types, 3) Deferred Note: N/A, 4) Completed: 7/1/2023 to 1/18/2024

78269	9/14/2023 12:00 AM	DONE 37VW-WO 80141	Deferred Maintenance Plan
78270	9/14/2023 12:00 AM	STILL LIVE 37VW	Deferred Maintenance Plan
78272	9/14/2023 12:00 AM	DONE 37VW-WO 79282	Deferred Maintenance Plan
78278	9/14/2023 12:00 AM	STILL LIVE 65VW	Deferred Maintenance Plan
78280	9/7/2023 12:00 AM	DONE 41WW-78284	Deferred Maintenance Plan
78281	9/7/2023 12:00 AM	DONE 41WW -WO79939	Deferred Maintenance Plan
78282	9/7/2023 12:00 AM	DONE 41WW-WO 79949	Deferred Maintenance Plan
78283	9/7/2023 12:00 AM	STILL LIVE 41WW	Deferred Maintenance Plan
78284	9/7/2023 12:00 AM	DONE 41WW-WO-78284	Deferred Maintenance Plan
78285	9/7/2023 12:00 AM	DONE 41WW-WO 79548	Deferred Maintenance Plan
78310	9/7/2023 12:00 AM	DONE 4PC-WO 79938	Deferred Maintenance Plan
78357	9/22/2023 12:00 AM	DONE 21PC-WO78985	Deferred Maintenance Plan
78361	9/19/2023 12:00 AM	DONE 51VW-WO 79278	Deferred Maintenance Plan
78363	9/19/2023 12:00 AM	STILL LIVE 51VW	Deferred Maintenance Plan
78364	9/19/2023 12:00 AM	DONE 51VW - WO 79302	Deferred Maintenance Plan
78365	9/19/2023 12:00 AM	DONE 51VW-WO79304	Deferred Maintenance Plan
78366	11/1/2023 10:53 AM	DONE 51VW-WO 80183	Deferred Maintenance Plan
78367	9/19/2023 12:00 AM	DONE 51VW-WO79303	Deferred Maintenance Plan
78368	10/19/2023 12:00 AM	DONE 51VW-WO 80184	Deferred Maintenance Plan
78371	9/22/2023 12:00 AM	DONE 9VW- WO 79272	Deferred Maintenance Plan
78373	9/22/2023 12:00 AM	DONE 9VW-WO79305	Deferred Maintenance Plan
78376	9/22/2023 12:00 AM	DONE 9VW-WO 79271	Deferred Maintenance Plan
78380	9/22/2023 12:00 AM	DONE 41PC-79858	Deferred Maintenance Plan
78385	9/19/2023 12:00 AM	DONE 7-15 AS-WO 79108	Deferred Maintenance Plan
78402	9/7/2023 12:00 AM	DONE 75PP-WO80049	Deferred Maintenance Plan
78403	9/7/2023 12:00 AM	DONE 76PP, WO80050	Deferred Maintenance Plan
78405	9/7/2023 12:00 AM	DONE 53PP-WO 78835	Deferred Maintenance Plan
78417	9/7/2023 12:00 AM	DONE 2PP-tenant did	Deferred Maintenance Plan
78450	9/29/2023 12:00 AM	STILL LIVE 35PP	Deferred Maintenance Plan
78453	9/29/2023 12:00 AM	DONE 5WW-WO79333	Deferred Maintenance Plan
78455	9/29/2023 12:00 AM	DONE 5WW-WO79336	Deferred Maintenance Plan
78458	9/29/2023 12:00 AM	DONE 36WW-WO79339	Deferred Maintenance Plan
78460	9/26/2023 12:00 AM	STILL LIVE 30WW	Deferred Maintenance Plan
78487	9/14/2023 12:00 AM	STILL LIVE 26PC	Deferred Maintenance Plan
78531	10/2/2023 12:00 AM	DONE SP-N/A DIFF. SYSTEM	Deferred Maintenance Plan
78532	10/2/2023 12:00 AM	DONE CBC-N/A DIFF. SYSTEM	Deferred Maintenance Plan
78578	9/20/2023 12:00 AM	DONE 314MCP, WO 80109	Deferred Maintenance Plan
78581	9/20/2023 12:00 AM	DONE 619MCP-WO80150	Deferred Maintenance Plan
78595	9/20/2023 12:00 AM	DONE 3LP-WO 78967	Deferred Maintenance Plan
78596	9/21/2023 12:00 AM	DONE 47PP - WO78596	Deferred Maintenance Plan
78597	9/21/2023 12:00 AM	DONE 104MCP, WO 80114	Deferred Maintenance Plan
78603	9/22/2023 12:00 AM	DONE 18PC-BY TENANT	Deferred Maintenance Plan
78610	9/13/2023 12:00 AM	DONE 82VW-WO80133	Deferred Maintenance Plan
78614	9/24/2023 12:00 AM	DONE 104MCP-WO80151	Deferred Maintenance Plan
78628	9/25/2023 12:00 AM	DONE 313 MCP, WO 80043	Deferred Maintenance Plan
78629	9/25/2023 12:00 AM	DONE 313MCP-WO80044	Deferred Maintenance Plan
78632	9/25/2023 12:00 AM	DONE 309MCP, WO 80112	Deferred Maintenance Plan
78633	9/25/2023 12:00 AM	DONE 619MCP, WO 80111	Deferred Maintenance Plan
78634	9/25/2023 12:00 AM	DONE 515MCP-WO80113	Deferred Maintenance Plan
78635	9/25/2023 12:00 AM	DONE 608MCP, WO 80110	Deferred Maintenance Plan
78679	9/22/2023 12:00 AM	DONE 30PP - WO 79269	Deferred Maintenance Plan

Gloucester Housing Authority Deferred Work Order Report

Filter Criteria Includes: 1) Project: All Projects, 2) Deferred Type: All Types, 3) Deferred Note: N/A, 4) Completed: 7/1/2023 to 1/18/2024

78682	9/27/2023 12:00 AM	DONE 1WW-WO78966	Deferred Maintenance Plan
78683	9/27/2023 12:00 AM	DONE 35B MP-WO78862	Deferred Maintenance Plan
78697	9/27/2023 12:00 AM	DONE 94VW-WO 80164	Deferred Maintenance Plan
78699	9/21/2023 12:00 AM	DONE 18PP-79457	Deferred Maintenance Plan
78706	9/22/2023 12:00 AM	DONE-113SP -WO 78863	Deferred Maintenance Plan
78741	10/3/2023 12:00 AM	STILL LIVE-40VW	Deferred Maintenance Plan
79003	10/23/2023 12:00 AM	DONE 53PP-WO 80182	Deferred Maintenance Plan
79574	12/11/2023 12:00 AM	DONE 43VW-WO 80168	Deferred Maintenance Plan
79575	12/11/2023 12:00 AM	DONE 43VW-WO 80166	Deferred Maintenance Plan
79576	12/11/2023 12:00 AM	STILL LIVE-43VW	Deferred Maintenance Plan
79714	12/11/2023 4:08 PM	DONE 215SP-WO 80005	Deferred Maintenance Plan
79817	12/15/2023 12:00 AM	DONE 613MCP, WO 80059	Deferred Maintenance Plan
79824	12/15/2023 12:00 AM	STILL LIVE 83VW	Deferred Maintenance Plan
79829	12/15/2023 12:00 AM	STILL LIVE-104SP	Deferred Maintenance Plan
79843	12/15/2023 12:00 AM	DONE 32PC-WO80152	Deferred Maintenance Plan
79845	12/15/2023 12:00 AM	STILL LIVE 32PC	Deferred Maintenance Plan
79846	12/15/2023 12:00 AM	STILL LIVE 32PC	Deferred Maintenance Plan
79890	12/15/2023 12:00 AM	DONE SP KIT., WO 80063	Deferred Maintenance Plan
79999	12/21/2023 12:00 AM	STILL LIVE 12VW	Deferred Maintenance Plan
80108	1/2/2024 12:00 AM	STILL LIVE-CBC FLAGPOLE	Deferred Maintenance Plan
80152	12/27/2023 4:00 PM	DONE32PC-WO 80152	Deferred Maintenance Plan
80249	1/5/2024 7:16 AM	STILL LIVE-35PC	Deferred Maintenance Plan

End of Report

Operating Budget

The tables on the following pages show the approved budget and actual income and spending per budget account (row) for the fiscal year ending 06/30/2023. It also shows the approved budget for the current year (2024) if there is one, and the percent change from last year's spending to this year's approved budget. The final column shows the current approved amount for each account divided by the number of housing units and by 12 months to show the amount per unit per month (PUM). The chart does not show a draft budget for the coming fiscal year as that will typically be developed in the final month of the fiscal year.

The budget format and accounts are mandated by the Department of Housing and Community Development (DHCD). For a better understanding of the accounts and discussion of special situations see the notes following the budget tables and the "Definitions of Accounts" at the end of this section.

The LHA maintains a consolidated budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by the LHA. It does not maintain separate budgets for each development.

Operating Reserve

The LHA's operating reserve is the amount of funds that an LHA sets aside to sustain itself during lean years, or to remedy urgent health and safety concern or address deferred maintenance items. In addition, while DHCD approves a fixed non-utility operating budget level for every LHA (called the Allowable Non-Utility Expense Level, or ANUEL), LHAs can propose a budget that exceeds that level, with the additional cost to be funded from the Operating Reserve, as long as the reserve will still remain above the minimum threshold set by DHCD.

DHCD defines a full (100%) Operating Reserve (OR) amount to be equal to one-half of the previous year's operating expenses and requires LHAs to maintain a minimum OR of 35% of this amount to cover any unplanned but urgent needs that may arise during the year and that can't be funded by the operating budget. If the reserve is between 20% and 35% of the full level, the LHA must obtain prior written approval from DHCD to spend reserve funds, unless the expense is to resolve a health and safety issue. If the reserve is below the 20% level, the LHA can only spend OR funds on health and safety issues. In both cases, the LHA should address the health and safety issue immediately but must retroactively inform DHCD and obtain its approval.

The Gloucester Housing Authority operating reserve at the end of fiscal year 2023 was \$1,248,550.00, which is 73.3% of the full reserve amount defined above.

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Gloucester Housing Authority.						
REVENUE						
Account Number	Account Class	2023 Approved Revenue Budget	2023 Actual Amounts Received	2024 Approved Revenue Budget	% Change from 2023 Actual to 2024 Budget	2024 Dollars Budgeted per Unit per Month
3110	Shelter Rent -Tenants	\$2,633,280.00	\$2,590,867.00	\$2,754,000.00	6.3%	\$439.66
3111	Shelter Rent - Tenants - Fraud/Retroactive	\$0.00	\$0.00	\$0.00	0%	\$0.00
3115	Shelter Rent -Federal Section 8\MRVP One-time Leased up Rev.	\$0.00	\$0.00	\$0.00	0%	\$0.00
3190	Nondwelling Rentals	\$0.00	\$0.00	\$0.00	0%	\$0.00
3400	Administrative Fee - MRVP	\$0.00	\$0.00	\$0.00	0%	\$0.00
3610	Interest on Investments - Unrestricted	\$15,000.00	\$55,608.00	\$60,000.00	7.9%	\$9.58
3611	Interest on Investments - Restricted	\$0.00	\$0.00	\$0.00	0%	\$0.00
3690	Other Revenue	\$41,000.00	\$36,824.00	\$37,000.00	0.5%	\$5.91
3691	Other Revenue - Retained	\$22,500.00	\$44,235.00	\$88,000.00	98.9%	\$14.05
3692	Other Revenue - Operating Reserves	\$0.00	\$0.00	\$0.00	0%	\$0.00
3693	Other Revenue - Energy Net Meter	\$22,500.00	\$44,235.00	\$0.00	-100%	\$0.00
3801	Operating Subsidy - EOHLC (4001)	\$766,613.00	\$586,061.00	\$818,981.00	39.7%	\$130.74
3802	Operating Subsidy - MRVP Landlords	\$0.00	\$0.00	\$0.00	0%	\$0.00
3803	Restricted Grants Received	\$0.00	\$0.00	\$0.00	0%	\$0.00
3920	Gain/Loss From Sale/Disp. of Prop.	\$0.00	\$0.00	\$0.00	0%	\$0.00
3000	TOTAL REVENUE	\$3,500,893.00	\$3,357,830.00	\$3,757,981.00	11.9%	\$599.93

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Gloucester Housing Authority.						
EXPENSES						
Account Number	Account Class	2023 Approved Expense Budget	2023 Actual Amounts Spent	2024 Approved Expense Budget	% Change from 2023 Actual to 2024 Budget.	2024 Dollars Budgeted per Unit per Month
4110	Administrative Salaries	\$402,423.00	\$361,230.00	\$426,026.00	17.9%	\$68.01
4120	Compensated Absences	\$0.00	\$37,292.00	\$0.00	-100%	\$0.00
4130	Legal	\$86,997.00	\$113,179.00	\$111,997.00	-1%	\$17.88
4140	Members Compensation	\$20,000.00	\$16,798.00	\$19,000.00	13.1%	\$3.03
4150	Travel & Related Expenses	\$4,000.00	\$1,767.00	\$3,000.00	69.8%	\$0.48
4170	Accounting Services	\$24,000.00	\$24,000.00	\$24,000.00	0%	\$3.83
4171	Audit Costs	\$8,000.00	\$7,160.00	\$7,500.00	4.7%	\$1.20
4180	Penalties & Interest	\$0.00	\$0.00	\$0.00	0%	\$0.00
4190	Administrative Other	\$148,609.00	\$139,531.00	\$147,188.00	5.5%	\$23.50
4191	Tenant Organization	\$1,566.00	\$1,011.00	\$3,132.00	209.8%	\$0.50
4100	TOTAL ADMINISTRATION	\$695,595.00	\$701,968.00	\$741,843.00	5.7%	\$118.43
4310	Water	\$362,141.00	\$280,082.00	\$297,111.00	6.1%	\$47.43
4320	Electricity	\$297,103.00	\$254,697.00	\$283,427.00	11.3%	\$45.25
4330	Gas	\$162,815.00	\$125,450.00	\$143,615.00	14.5%	\$22.93
4340	Fuel	\$0.00	\$0.00	\$0.00	0%	\$0.00
4360	Net Meter Utility Debit/Energy Conservation	\$0.00	\$88,470.00	\$0.00	-100%	\$0.00
4390	Other	\$0.00	\$0.00	\$0.00	0%	\$0.00
4391	Solar Operator Costs	\$141,000.00	\$164,302.00	\$164,000.00	-0.2%	\$26.18
4392	Net Meter Utility Credit (Negative Amount)	\$-141,000.00	\$-252,772.00	\$-164,000.0	-35.1%	\$-26.18
4300	TOTAL UTILITIES	\$822,059.00	\$660,229.00	\$724,153.00	9.7%	\$115.61

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Gloucester Housing Authority.						
EXPENSES						
Account Number	Account Class	2023 Approved Expense Budget	2023 Actual Amounts Spent	2024 Approved Expense Budget	% Change from 2023 Actual to 2024 Budget	2024 Dollars Budgeted per Unit per Month
4410	Maintenance Labor	\$585,449.00	\$538,997.00	\$631,829.00	17.2%	\$100.87
4420	Materials & Supplies	\$272,068.00	\$271,994.00	\$357,605.00	31.5%	\$57.09
4430	Contract Costs	\$424,500.00	\$375,262.00	\$548,250.00	46.1%	\$87.52
4400	TOTAL MAINTENANCE	\$1,282,017.00	\$1,186,253.00	\$1,537,684.00	29.6%	\$245.48
4510	Insurance	\$156,127.00	\$128,485.00	\$180,656.00	40.6%	\$28.84
4520	Payment in Lieu of Taxes	\$5,760.00	\$5,760.00	\$5,760.00	0%	\$0.92
4540	Employee Benefits	\$529,562.00	\$562,630.00	\$567,635.00	0.9%	\$90.62
4541	Employee Benefits - GASB 45	\$0.00	\$217,722.00	\$0.00	-100%	\$0.00
4542	Pension Expense - GASB 68	\$0.00	\$0.00	\$0.00	0%	\$0.00
4570	Collection Loss	\$30,000.00	\$44,023.00	\$30,000.00	-31.9%	\$4.79
4571	Collection Loss - Fraud/Retroactive	\$0.00	\$0.00	\$0.00	0%	\$0.00
4580	Interest Expense	\$0.00	\$0.00	\$0.00	0%	\$0.00
4590	Other General Expense	\$0.00	\$0.00	\$0.00	0%	\$0.00
4500	TOTAL GENERAL EXPENSES	\$721,449.00	\$958,620.00	\$784,051.00	-18.2%	\$125.17
4610	Extraordinary Maintenance	\$76,850.00	\$61,929.00	\$109,850.00	77.4%	\$17.54
4611	Equipment Purchases - Non Capitalized	\$54,000.00	\$53,336.00	\$49,000.00	-8.1%	\$7.82
4612	Restricted Reserve Expenditures	\$0.00	\$0.00	\$0.00	0%	\$0.00
4715	Housing Assistance Payments	\$0.00	\$0.00	\$0.00	0%	\$0.00
4801	Depreciation Expense	\$0.00	\$817,508.00	\$0.00	-100%	\$0.00
4600	TOTAL OTHER EXPENSES	\$130,850.00	\$932,773.00	\$158,850.00	-83%	\$25.36
4000	TOTAL EXPENSES	\$3,651,970.00	\$4,439,843.00	\$3,946,581.00	-11.1%	\$630.04

Consolidated Budget (400-1) for all state-aided 667 (Elderly), 200 (family), and 705 (scattered site family) developments owned by Gloucester Housing Authority.						
SUMMARY						
Account Number	Account Class	2023 Approved Budget	2023 Actual Amounts	2024 Approved Budget	% Change from 2023 Actual to 2024 Budget	2024 Dollars Budgeted per Unit per Month
3000	TOTAL REVENUE	\$3,500,893.00	\$3,357,830.00	\$3,757,981.00	11.9%	\$599.93
4000	TOTAL EXPENSES	\$3,651,970.00	\$4,439,843.00	\$3,946,581.00	-11.1%	\$630.04
2700	NET INCOME (DEFICIT)	\$-151,077.00	\$-1,082,013.00	\$-188,600.00	-82.6%	\$-30.11
7520	Replacements of Equip. - Capitalized	\$32,001.00	\$39,431.00	\$41,650.00	5.6%	\$6.65
7540	Betterments & Additions - Capitalized	\$9,000.00	\$0.00	\$0.00	0%	\$0.00
7500	TOTAL NONOPERATING EXPENDITURES	\$41,001.00	\$39,431.00	\$41,650.00	5.6%	\$6.65
7600	EXCESS REVENUE OVER EXPENSES	\$-192,078.00	\$-1,121,444.00	\$-230,250.00	-79.5%	\$-36.76

Explanation of Budget Accounts

The following explains how each of the line items is to be prepared.

3110: Shelter Rent: The shelter rent projection should be based on the current rent roll plus anticipated changes expected from annual rent re-determinations or as a result of regulatory amendments.

3111: Shelter Rent – Tenants - Fraud/Retroactive: This account should be used for the reporting of total rent receipts from residents due to unreported income. These are often called fraud or retroactive balances. In cases where deficit LHAs discover, pursue cases, and have entered into a written fraud/retroactive re-payment agreement **with a present or former tenant who did not report income**, the LHA will be allowed to retain two-thirds of the funds recovered. One third of the total dollar amount recovered should be included in the LHA's quarterly or year-end Operating Statement as Shelter Rent, account #3111, and two-thirds of this total dollar amount should be included in Other Revenue-Retained, account #3691.

3115: Shelter Rent - Section 8: This account applies only to those developments receiving support through the federal government's Housing and Urban Development (HUD) Section 8 New Construction and/or Substantial Rehab Programs.

3190: Non-Dwelling Rental: This account should be credited with the rents, other than tenants rents reported in line 3110 and 3115, including charges for utilities and equipment, billed to lessees of non-dwelling facilities as well as apartments rented for non-dwelling purposes, such as social service programs.

3400: Administrative Fee- MRVP/AHVP: This account should be credited with Administrative Fees to be received for the MRVP/AHVP Program. The MRVP/AHVP administrative fee is \$50.00 per unit per month, as of July 1, 2020.

3610: Interest on Investments – Unrestricted: This account should be credited with interest earned on unrestricted administrative fund investments.

3611: Interest on Investments – Restricted: This account should be credited with interest earned on restricted administrative fund investments. For example, an LHA may receive a grant whose use is restricted to a specific purpose, and the interest income earned on that grant may also be restricted to the same purpose.

3690: Other Operating Revenues: This account should be credited with income from the operation of the project that cannot be otherwise classified. Income credits to this account include, but are not limited to, penalties for delinquent payments, rental of equipment, charges for use of community space, charges to other projects or programs for the use of central office management and maintenance space, commissions and profits from vending machines, including washing machines, and certain charges to residents for additional services, materials, and/or repairs of damage caused by neglect or abuse in accordance with the Department's regulations on lease provisions..

3691: Other Revenue – Retained: This account should be credited with certain miscellaneous revenue to be retained by the LHA, and which is not used to reduce the amount of operating subsidy the LHA is due. The most common examples for this account is receipts for the rental of roof antennas to cell phone providers and net meter credits earned on electricity bills from Net Meter Power Purchase Agreements (PPA's). Generally, surplus LHAs may retain 100% of these savings and deficit LHAs may retain 25% of the savings, with

the 75% balance used to offset its need for operating subsidy. However, for the period 7/1/16 through 6/30/20, all deficit LHAs may keep 100% of the net meter credit savings, while they can keep 50% effective 7/1/2020.

3692: Other Revenue - Operating Reserves: This account should be credited with funds that LHAs plan to utilize from their operating reserve accounts in excess of the Allowable Non-Utility Expense Level (ANUEL). To be approvable, LHA must maintain the DHCD prescribed operating reserve minimum level after deducting the amount budgeted. The only exception to this is when the expenses are for health and safety issues.

3693: Other Revenue – Net Meter: This account should normally be credited with 75% of the total net meter credit savings realized by a deficit LHA, while surplus LHAs with net meter credit savings would enter \$0 here. Savings are calculated as the value of the net meter credits appearing on the LHA’s electric bills (or, in some cases, paid in cash to the LHA by their utility company), minus the cost of the payments made to the solar power developer under their Power Purchase Agreement (PPA). Deficit LHAs normally may retain 25% of the savings. That amount should be included as Other Revenue – Retained on line #3691. However, please note that for the period 7/1/16 through 6/30/20 all LHAs may retain 100% of their total net meter credit savings, and should report those savings as Other Revenue – Retained on line #3691. LHAs can keep 50% of savings effective 7/1/2020.

3801: Operating Subsidy – DHCD (400-1): This account represents all state-funded operating subsidy to be received and or to be earned for the fiscal year. At the end of each fiscal year, this account will be adjusted in the operating statement to equal the actual subsidy earned by the LHA.

3802: Operating Subsidy – MRVP/AHVP Landlords:

The credit balance in this account represents the anticipated total receipts from DHCD during the fiscal year for housing assistance payments to landlords. At the end of each fiscal year this account will be adjusted to equal the actual subsidy earned.

3920: Gain/Loss from Sale or Disposition of Property (Capitalized or Non-Capitalized): The debit or credit balance of this account represents the following items: a) Cash proceeds from the sale of property that was either: 1) non-capitalized; or 2) capitalized and has been fully depreciated, and b) Realized gain or loss from the sale or disposition of capitalized property that has not been fully depreciated.

4110: Administrative Salaries: This account should be charged with the gross salaries of LHA personnel engaged in administrative duties and in the supervision, planning, and direction of maintenance activities and operating services during the operations period. It should include the salaries of the executive director, assistant executive director, accountants, accounting clerks, clerks, secretaries, project managers, management aides, purchasing agents, engineers, draftsmen, maintenance superintendents, and all other employees assigned to administrative duties.

4120: Compensated Absences: The debit balance in this account represents the actual cost incurred during the fiscal year for vacation, paid holidays, vested sick leave and earned compensatory time. This account includes both the direct compensated absences cost and associated employer payroll expenses (employment taxes, pension cost, etc.).

4130: Legal Expense: This account should be charged with retainers and fees paid to attorneys for legal services relating to the operation of the projects.

4140: Compensation to Authority Members: A local authority may compensate its members for performance of their duties and such other services as they may render to the authority in connection with its Chapter 200 development(s). Compensation for any other program is not authorized. Because of this, LHAs must base such compensation only on the actual rent receipts for these developments plus a prorated share of other operating receipts of funds on a per unit basis. The precise amount that members may be compensated is defined by statute to a maximum of \$40 per member per day, and \$50 for the chairperson per day. The total of all compensation to all board members is not to exceed two percent (2%) of actual gross income of Chapter 200 developments in any given year, consistent with the approved budget amount. In no case shall the payment of compensation exceed \$12,500 annually for the chairperson, or \$10,000 for any member other than the chairperson. Please note the statute requires the member to perform housing authority business in order to receive compensation.

4150: Travel and Related Expense: Legitimate travel expenses incurred by board members and staff in the discharge of their duties for any **state-aided program** are reimbursable from this account, as consistent with Department policy.

4170: Contractual Accounting Services: Fees for accounting services that are provided routinely and are contracted for on an annual basis. Only accounting services performed on a contractual basis (fee accountant) should be included in this item. Full or part-time LHA accounting staff that provides routine accounting services should be included in Account 4110, Administrative Salaries.

4171: Audit Costs: This account includes the state program's prorated share of audit fees paid to an Independent Public Accountant (IPA). The procurement of an IPA is necessary to satisfy the Federal Government's audit requirements. Costs for these services should be shared with all state and federal programs of LHA. **Audit costs are to be absorbed within the ANUEL.** The new Agreed Upon procedures (AUP) audit costs for state-assisted public housing programs should also be included in this account.

4180: Penalties and Interest: Any expenses incurred from penalties, fees, and interest paid on delinquent accounts shall be included in this line item.

4190: Administrative Other: This account is provided for recording the cost of administrative items for which no specific amount is prescribed in this 4100 group of accounts. It includes, but is not limited to, the cost of such items as: reports and accounting forms; stationery and other office supplies; postage; telephone services; messenger service; rental of office space; advertising for bids; publications; membership dues; collection agency & court costs, training costs; management fees, and fiscal agent fees.

4191: Tenant Organization: LTO Funding by the LHA. Upon request the LHA shall fund all LTOs in a city or town at the annual rate of \$6.00 per state-aided public housing unit occupied or available for occupancy by residents represented by such LTO(s) or an annual total of \$500.00 prorated among all such LTO(s), whichever is more. For more information on the creation and funding of LTOs see 760 CMR 6.09.

Authorities which operate computer learning centers, which are funded by the state consolidated budget or by other sources (which are typically recorded in line #3691 as "Other Revenue Retained", should budget the cost of the centers on this line.

4310: Water: This account should be charged with the cost of water and sewer charges purchased for all purposes.

4320: Electricity: This account should be charged with the total cost of electricity purchased for all purposes. Many LHAs have entered into Net Meter Credit Power Purchase Agreements (PPA's). In these deals, an LHA executes a contract with a solar power developer who constructs and owns an off- site solar electricity-generating site. In exchange for contracting to purchase a percentage of the solar power produced, the LHA receives a credit on its utility electric bill for each KWH purchased or in some cases receives a direct cash payment from their utility company. Please ensure that the amount charged to this account is the total cost of electricity BEFORE any reductions due to the receipt of net meter credits.

4330: Gas: This account should be charged with the cost of gas (natural, artificial, or liquefied) purchased for all purposes.

4340: Fuel: This account should be charged with the cost of coal, fuel oil, steam purchased, and any other fuels (except electricity and gas) used in connection with Local Housing Authority operation of plants for the heating of space or water supplied to tenants as a part of rent.

4360: Net Meter Utility Debit/Energy Conservation: This account is to be charged with costs incurred for energy conservation measures.

4390: Other Utilities: This account should be charged with the cost of utilities which are not provided for in accounts 4310 through 4360. In addition, for all quarterly or year-end operating statements 9/30/20 or later, and all budgets 6/30/21 or later, please use this line to record the total net meter credits earned as reported in Line 4392, MINUS the Solar Operator Costs reported in Line 4391, with the result expressed as a positive number. For example, if you reported -\$20,000 in Net Meter Utility Credits in Line 4392 and \$15,000 in Solar Operator Costs in Line 4391, you would subtract the \$15,000 reported on Line 4391 from the -\$20,000 reported on Line 4392, and post the remainder of \$5,000 on Line 4360, as a positive number. This number essentially represents the "net" savings the LHA earned from its net meter credit contract.

4391: Solar Operator Costs: Many LHAs have entered into Net Meter Credit Power Purchase Agreements (PPA's). In these deals, an LHA executes a contract with a solar power developer who constructs and owns an off-site solar electricity-generating site. The LHA makes regular (usually monthly) payments to the developer for its contracted share of the solar electricity produced by the site. Those payments should be entered in this account.

4392: Net Meter Utility Credit (Negative Amount): As noted in account #4391 above, many LHAs have executed Net Meter Credit Power Purchase Agreements (PPA's). In exchange for contracting to purchase a percentage of the solar power produced, the LHA receives a credit on its utility electric bill for each KWH purchased from the developer, which reduces the balance on its electric bill, or, in some cases, the credits are paid in cash to the LHA by the utility company. The total gross amount of the net meter credits that appear on the LHA's utility bills should be carried in this account and entered as a negative number. In cases where credits are paid in cash to the Host LHA, the net balance after paying out the amounts due the participating housing authorities, should also be carried in this account and entered as a negative number.

4410: Maintenance Labor: This account should be charged with the gross salaries and wages, or applicable portions thereof, for LHA personnel engaged in the routine maintenance of the project.

4420: Materials & Supplies: This account should be charged with the cost of materials, supplies, and expendable equipment used in connection with the routine maintenance of the project. This includes the operation and maintenance of automotive and other movable equipment, and the cost of materials, supplies, and expendable equipment used in connection with operating services such as janitorial services, elevator services, extermination of rodents and household pests, and rubbish and garbage collection.

4430: Contract Costs: This account should be charged with contract costs (i.e. the cost of services for labor, materials, and supplies furnished by a firm or by persons other than Local Authority employees) incurred in connection with the routine maintenance of the project, including the maintenance of automotive and other movable equipment. This account should also be charged with contract costs incurred in connection with such operating services as janitorial services, fire alarm and elevator service, extermination of rodents and household pests, rubbish and garbage collection, snow removal, landscape services, oil burner maintenance, etc.

4510: Insurance: Includes the total amount of premiums charged all forms of insurance. Fire and extended coverage, crime, and general liability are handled by DHCD on a statewide basis. All other necessary insurance policies include: Workers' Compensation, boiler, vehicle liability and owner, etc.

4520: Payments in Lieu of Taxes:

This account should be charged with all payments in lieu of taxes accruing to a municipality or other local taxing body.

4540: Employee Benefits: This account should be charged with local housing authority contributions to employee benefit plans such as pension, retirement, and health and welfare plans. It should also be charged with administrative expenses paid to the State or other public agencies in connection with a retirement plan, if such payment is required by State Law, and with Trustee's fees paid in connection with a private retirement plan, if such payment is required under the retirement plan contract.

Employee benefits are based upon a given percentage of the total payroll; therefore, the total amount approved in this account will be based on the approved budgeted salaries representing the state's fair share.

4541: Employee Benefits - GASB 45: This line covers "Other Post-Employment Benefits" (OPEB). Of the total benefits offered by employers to attract and retain qualified employees, some benefits, including salaries and active-employee healthcare are taken while the employees are in active service, whereas other benefits, including post-employment healthcare and other OPEB are taken after the employees' services have ended. Nevertheless, both types of benefits constitute compensation for employee services. In accordance with required accounting practices, this amount is not projected in the budget (and is therefore blank) but the estimated future costs of this item is carried in the operating statement.

4542: Pension Expense – GASB 68: The primary objective of GASB 68 Statement is to improve accounting and financial reporting for pension costs. It also improves information provided by state and local governmental employers about financial support for pensions that is provided by other entities. As with account 4541 above, in accordance with required accounting practices, this amount is not projected in the budget (and is therefore blank) but the estimated future costs of this item is carried in the operating statement.

4570: Collection Loss: The balance in this account represents the estimated expense to cover unexpected losses for tenant rents. Note: Do not include losses from fraud/retroactive balances here. Report them in Account 4571 – Collection Loss – Fraud/Retroactive.

4571: Collection Loss – Fraud/Retroactive: The balance in this account represents the estimated expense to cover unexpected losses for tenant rents due to unreported income, i.e. fraud/retroactive balances.

4580: Interest Expense: The debit balance in this account represents the interest expense paid and accrued on loans and notes payable. This debt can be from operating borrowings or capital borrowings.

4590: Other General Expense: This account represents the cost of all items of general expenses for which no specific account is prescribed in the general group of accounts.

4610: Extraordinary Maintenance – Non-Capitalized: This account should be debited with all *costs* (labor, materials and supplies, expendable equipment (such as many tools or routine repair parts), and contract work) of repairs, replacements (but not replacements of non-expendable equipment), and rehabilitation of such a substantial nature that the work is clearly not a part of the routine maintenance and operating program. The items charged to this account should not increase the useful life or value of the asset being repaired. These items are not capitalized and are not added as an increase to fixed assets at the time of completion. Nor are these items depreciated. An example of this would be scheduled repainting of apartments.

4611: Equipment Purchases – Non-Capitalized: This account should be debited with the costs of equipment that does not meet the LHA's criteria for capitalization. Because these items are being expended when paid, they should not be categorized as a fixed asset and therefore will not be depreciated. These items include stoves, refrigerators, small tools, most computers and software, etc.

The budget is a planning tool and as our portfolio ages it is essential that LHAs evaluate their properties annually and plan for extraordinary maintenance. To that end DHCD very strongly recommends that for all 400-1 operating budgets, depending on the age of the portfolio and condition, LHAs spend between \$100 and \$500 a year per unit in Extraordinary Maintenance, Equipment Purchases, Replacement of Equipment, and Betterments & Additions to ensure that the aging public housing stock is preserved.

4715: Housing Assistance Payments: This account should be debited with all housing assistance payments paid to landlords for the MRVP program on a monthly basis.

4801: Depreciation Expense: This account should be debited with annual fixed asset depreciation expenses as determined by the LHA's capitalization policy.

7520: Replacement of Equipment – Capitalized: This account should be debited with the acquisition cost (only the net cash amount) of non-expendable equipment purchased as a replacement of equipment of substantially the same kind. These items, such as vehicles, computers, or furniture, meet the LHA's criteria for capitalization and will also be added to fixed assets and therefore depreciated over the useful life.

7540: Betterments & Additions – Capitalized: This account should be debited with the acquisition cost (only the net cash amount) of non-expendable equipment and major non-routine repairs that are classified as a betterment or addition. These items meet the LHA's criteria for capitalization and will also be added to fixed

assets and therefore depreciated over the useful life of the asset. Examples are: major roof replacement, structural repairs such as siding, or major paving work.

In accordance with GAAP accounting, inventory purchases (Replacement of Equipment and Betterments & Additions) are distinguished between capitalized and non-capitalized items. Any inventory or equipment purchase greater than \$5,000 is required by DHCD to be capitalized, inventoried and depreciated. Any inventory or equipment purchase costing \$1,000 to \$4,999 should be inventoried by LHA staff for control purposes only but is not subject to capitalization or depreciation, it is, however, required to be expensed when the items are paid for. An LHA's inventory listing should include both capitalized and non-capitalized items of \$1,000 and more, as well as all refrigerators and stoves of any value. All items that appear on the inventory listing should be tagged with a unique identification number, and all refrigerators and stoves (regardless of value) should be tagged. LHAs may adopt a capitalization policy that capitalizes inventory purchases at a lesser amount than the \$5,000 requirement (i.e. \$1,000 - \$4,999); however, no capitalization policy can have an amount higher than \$5,000. Any inventory or equipment purchases costing \$0 to \$999 are to be expensed when paid for.

Narrative Responses to the Performance Management Review (PMR) Findings

PMRs are conducted for most LHAs on a biennial basis. This year there is no PMR record for this Housing Authority.

Explanation of PMR Criteria Ratings

CRITERION	DESCRIPTION
Management	
Occupancy Rate	<p>The rating is calculated using the following formula: (Total Number of Occupied units on Monthly Report divided by (Total Number of Units Minus Units that Received a Waiver Minus Number of Units Vacant less than 30 days on Monthly Report)</p> <ul style="list-style-type: none"> • “No Findings” : Occupancy Rate is at or above 98% • Operational Guidance: Occupancy rate is at 95% up to 97.9% • Corrective Action: Adjusted occupancy rate is less than 95%
Tenant Accounts Receivable (TAR)	<p>This criterion calculates the percentage of uncollected rent and related charges owed by starting with the amount reported by the LHA, as uncollected balances for the TAR (Account 1122 from the Balance Sheet) minus Normal Repayment Agreements* divided by Shelter (Tenant) Rent (account 3110 from the Operating Statement)</p> <ul style="list-style-type: none"> • “No Findings” : At or below 2% • “Operational Guidance” : More than 2% , but less than 5% • “Corrective Action” : 5% or more
Certifications and Reporting Submissions	<p>Housing authorities are required to submit 4 quarterly vacancy certifications by end of the month following quarter end; 4 quarterly operating statements and 4 Tenant Accounts Receivable (TAR) reports within 60 days of quarter end.</p> <ul style="list-style-type: none"> • “No Findings” : At least 11 of the required 12 reports were submitted and at least 9 were submitted on time. • “Operational Guidance” : Less than 11 of the required 12 reports were submitted and/or less than 9 were submitted on time.
Board Member Training	<p>Percentage of board members that have completed the mandatory online board member training.</p> <ul style="list-style-type: none"> • “No Findings” : 80% or more completed training • “Operational Guidance” : 60-79.9% completed training • “Corrective Action” : <60 % completed training
Staff Certifications and Training	<p>Each LHA must have at least one staff member complete a relevant certification or training During the fiscal year. The number of required trainings varies by LHA size.</p> <ul style="list-style-type: none"> • No Findings: LHAs completed the required number of trainings <p>Corrective Action: LHAs have not completed any trainings</p>
Annual Plan (AP) Submitted	<p>Housing authorities are required to submit an annual plan every year.</p> <ul style="list-style-type: none"> • “No Findings” =Submitted on time • “Operational Guidance” =Up to 45 days late • “Corrective Action” =More than 45 days late

CRITERION	DESCRIPTION
CHAMP	
Paper applications	<p>Paper applications are available, received and entered into CHAMP</p> <ul style="list-style-type: none"> • No Findings: Paper applications are available; And paper applications are date and time stamped correctly; And 90% of new paper applications are entered into CHAMP within 15 calendar days of date/time stamp; And 2% or less of new paper applications are entered more than 30 days after date/time stamp • Operational Guidance: Paper applications are available; And paper applications are date and time stamped and entered correctly; And 75% - 89% of new paper applications are entered into CHAMP within 15 calendar days; And 3% - 5% of new paper applications are entered more than 30 days after date/time stamp <p>Corrective Action: Paper applications are not available; Or the LHA has failed to date and time stamp paper applications and/or failed to enter them correctly; Or Less than 75% of new paper applications are entered into CHAMP within 15 calendar days of date/time stamp; Or more than 5% of new paper applications are entered more than 30 days after date/time stamp</p>
Vacancies occupied using CHAMP	<p>Vacancies are recorded correctly and occupied using CHAMP</p> <ul style="list-style-type: none"> • No Findings: All vacancies during the fiscal year are recorded in DHCD's Housing Applications Vacancy System within 30 days; And the housed Applicant ID and Pull List ID match between DHCD's Housing Applications Vacancy System and CHAMP for unit occupied during the fiscal year, excluding administrative transfers; And 25% or less of occupied units have data entry errors • Operational Guidance: All vacancies during the fiscal year are recorded in DHCD's Housing Applications Vacancy System, all vacancies are not recorded within 30 days; Or the Housed Applicant ID and Pull List ID match between DHCD's Housing Applications Vacancy System and CHAMP for units occupied during the fiscal year, excluding administrative transfers; And greater than 25% of occupied units have data entry errors • Corrective Action: All vacancies during the fiscal year are not recorded in DHCD's Housing Applications Vacancy System; Or the Housed Applicant ID and Pull List ID do not match (or data is missing) between DHCD's Housing Applications Vacancy System and CHAMP for units occupied during the fiscal year, excluding administrative transfers

CRITERION	DESCRIPTION
Financial	
Adjusted Net Income	<p>The Adjusted Net Income criterion calculation starts with an LHA's Net Income and subtracts Depreciation, GASB 45 (Retirement Costs), GASB 68 (Retirement Costs), Extraordinary Maintenance (maintenance expense outside of routine/ordinary expenses), and Equipment Purchases – Non Capitalized. This Adjusted Net Income amount is then divided by the Total Expenses of the LHA. If this Adjusted Net Income amount is positive, it means underspending and if it is negative it means overspending.</p> <p>Underspending Rating:</p> <ul style="list-style-type: none"> • “No Findings” : 0 to 9.9% • “Operational Guidance”: 10 to 14.9% • “Corrective Action”: 15% or higher <p>Overspending Rating:</p> <ul style="list-style-type: none"> • “No Findings” : 0 to -4.9% • “Operational Guidance”: -5% to -9.9% • “Corrective Action”: -10% or below
Operating Reserves	<p>Current Operating Reserve as a percentage of total maximum reserve level. Appropriate reserve level is buffer against any unforeseen events or expenditures.</p> <ul style="list-style-type: none"> • “No Findings” :35%+ of maximum operating reserve • “Operational Guidance”: 20% to 34.9% of maximum operating reserve • “Corrective Action”: <20% of maximum operating reserve
Capital Planning	
Capital Spending	<p>Under the Formula Funding Program (FF), authorities receive undesignated funds to spend on projects in their Capital Improvement Plan. They are rated on the percentage of available funds they have spent over a three-year period</p> <ul style="list-style-type: none"> • “No Findings” = at least 80% • “Operational Guidance” = At least 50% • “Corrective Action” = Less than 50%

CRITERION	DESCRIPTION
Health & Safety	
Health & safety violations	DHCD has observed conditions at the LHA's developments and reported health and safety violations. The LHA has certified the number of corrected violations in each category.
Facility Management – Inspection Standards and Practices	
100% Unit Inspections	<p>All units inspected at LHA during FY under review</p> <ul style="list-style-type: none"> • No Findings: 100% of units inspected <p>Corrective Action: Less than 100% of units inspected</p>
LHA Inspections Reports/Work Orders	<p>Unit inspection reports create, track, and report work orders for inspection repairs, and inspection WOs completed within 30 days or add to DM/CIP</p> <ul style="list-style-type: none"> • No Findings: All inspection work orders/lease violations are created, tracked, and reported; And non-health and safety work orders for inspection repairs/lease violations are completed within 30 days or added to DM/CIP; And health and safety work orders for inspection repairs/lease violations are addressed within 48 hours • Operational Guidance: All health and safety inspection work orders/lease violations are created, tracked, reported and completed within 48 hours; And LHA fail to create, track, or report no more than 1 or 2 (based on LHA size) non-EHS (exigent health and safety) deficiencies; Or LHA failed to complete any non-EHS work orders/lease violations appropriately • Corrective Action: Any EHS work orders/lease violations not created, tracked, reported, or completed; Or 1 of the following: LHA failed to create, track or report a) More than 1 non-EHS deficiency (small LHA); b) More than 2 non-EHS deficiencies (Medium/Large)
Accuracy of LHA Inspections	<p>Unit inspection reports accurately reflect necessary repairs</p> <ul style="list-style-type: none"> • No Findings: c.667 unit has less than 2 EHS deficiencies and c.200/705 unit has less than 3 EHS deficiencies • Operational Guidance: c.667 unit has 2 EHS deficiencies or c.200/705 has 3 EHS deficiencies <p>Corrective Action: c.667 has equal to or greater than 3 EHS deficiencies or c.200/705 unit has equal to or greater than 4 EHS deficiencies</p>
Facility Management – Vacancy Turnover Standards and Practices	

CRITERION	DESCRIPTION
Vacancy Turnover Work Orders	<p>Work orders created for every vacancy and completed within 30 days (or waiver requested)</p> <ul style="list-style-type: none"> • No Findings: Vacancy work orders are created, tracked and reported for every unit and reflect all work in unit; And Vacancy work orders are Maintenance Ready in <=30 days for c.667 units or <=45 days for c.200/705 units or have approved waiver • Operational Guidance: Vacancy work orders are created, tracked and reported for every unit; And work orders do not reflect all work completed in unit; Or vacancy work orders are Maintenance Ready in 31-45 days for c.667 and 46-60 days for c.200/705 and no approved waiver <p>Corrective Action: Vacancy work orders are not created, tracked and reported for every unit; Or vacancy work orders are Maintenance Ready in >45 days for c.667 and >60 days for c.200/705 and have no approved waiver</p>
Accuracy and Standard of Vacancy Turnovers	<p>Vacancy turnover work orders accurately reflect necessary repairs</p> <ul style="list-style-type: none"> • No Findings: c.667 unit less than 2 EHS deficiencies and c.200/705 less than 3 EHS deficiencies • Operational Guidance: c.667 2 EHS deficiencies or c.200/705 3 EHS deficiencies <p>Corrective Action: c.667 equal to or greater than 3 EHS deficiencies or c.200/705 equal to or greater than 4 EHS deficiencies</p>
Facility Management – Preventative Maintenance Standards and Practices	
LHA Preventative Maintenance Schedule Accuracy and Implementation of Preventative Schedules	<p>LHA preventative maintenance schedule accurately reflects all necessary work to maximize the life of LHA components</p> <ul style="list-style-type: none"> • No Findings: c.667 unit less than 2 EHS deficiencies and c.200/705 less than 3 EHS deficiencies • Operational Guidance: c.667 2 EHS deficiencies or c.200/705 3 EHS deficiencies <p>Corrective Action: c.667 equal to or greater than 3 EHS deficiencies or c.200/705 equal to or greater than 4 EHS deficiencies</p>
Work Order Types and Systems	
Emergency Work Orders	<p>All emergency work orders are created, tracked, reported and completed within 48 hours</p> <ul style="list-style-type: none"> • No Findings: All emergency work orders under review are created, tracked, reported and completed within 48 hours • Operational Guidance: All emergency work orders completed within 48 hours; Less than 100% but greater than or equal to 80% of work orders under review are correctly created, tracked and reported administratively

CRITERION	DESCRIPTION
	<ul style="list-style-type: none"> • Corrective Action: Not all emergency work orders are completed within 48 hours; Or less than 80% of work orders under review are correctly created, tracked and reported administratively
Requested Work Orders	<p>All requested work orders are created, tracked, reported and completed within 14 days or added to DM/CIP</p> <ul style="list-style-type: none"> • No Findings: All requested work orders under review are created, tracked, and reported; All work is complete within 14 days or added to DM/CIP • Operational Guidance: All requested work orders completed within 14 days or added to DM/CIP; And less than 100% of work orders under review are correctly created, tracked and reported <p>Corrective Action: Not all requested work orders are completed within 14 days or added to DM/CIP</p>

Policies

The following policies are currently in force at the Gloucester Housing Authority:

Policy	Last Ratified by Board Vote	Notes
*Rent Collection Policy	03/08/2023	
*Personnel Policy	09/14/2022	
*Capitalization Policy	04/13/2016	
*Procurement Policy	01/12/2022	
*Grievance Policy	10/09/2013	
Fair Housing Marketing Plan	06/08/2022	
Anti-Discriminatory Harassment Policy	09/14/2022	
Community Room Use	01/09/2013	
Credit/Debit Card Policy	03/09/2016	
Criminal Offender Records Information (CORI) Policy	06/13/2012	
Equal Employment Opportunity Policy and Affirmative Action Plan		Policy adopted circa 1994. Board will review in FY 2025
Investment Policy	07/01/1994	
Language Access Plan	02/09/2022	
Maintenance and Other Charges	04/08/2009	
Parking	07/11/2018	
Pet Policy	01/09/2019	
Reasonable Accommodations Policy	10/13/2021	

Policy	Last Ratified by Board Vote	Notes
Sexual Harassment Policy	06/13/2014	
Smoking Policy	07/09/2014	
Travel Policy	08/08/2012	Included in Personnel Policy
Other – Define in the ‘Notes’ column	11/09/2011	Air Conditioner Policy
Other – Define in the ‘Notes’ column	02/13/2013	Disposition Policy
Other – Define in the ‘Notes’ column	06/14/2017	Yard Policy - Family Housing
Other – Define in the ‘Notes’ column	01/09/2013	Yard Policy - Chapter 667 Housing
Other – Define in the ‘Notes’ column	05/11/2005	Satellite Dish Policy
Other – Define in the ‘Notes’ column	12/10/2008	No Trespass Policy

* Starred policies are required by DHCD. Policies without a “Latest Revision” date are not yet in force.

The list of policies has been provided by the LHA and has not been verified by DHCD.

DHCD comment regarding the list of policies:

The Gloucester HA has adopted a grievance procedure in 2013. Given the age of the adopted policy, the GHA should compare the policy to the current DHCD guidelines. 6.08: Grievance Procedures

(1) Existing Procedures to Remain in Effect until Amended or Replaced..... After April 21, 2017 each LHA shall compare the provisions of its grievance procedure(s) with the provisions of 760 CMR 6.08(4) and shall initiate measures in order to achieve material compliance with those provisions.

Waivers

Gloucester Housing Authority has received the following waivers from DHCD’s regulations. This list does not include vacancy waivers, pet waivers, or any waivers that would release personally identifiable tenant or applicant data.

Description	Reason	Date Waiver Approved by DHCD	Date Expired
Security Deposit Waiver	Allow GHA to collect security deposits from all tenants.	01/29/1998	06/30/2025

The list of waivers has been provided by the LHA and has not been verified by DHCD.

Glossary

ADA: Americans with Disabilities Act. Often used as shorthand for accessibility related issues or improvements.

AHVP: Alternative Housing Voucher Program

Alternative Housing Voucher Program provides rental vouchers to disabled applicants who are not elderly and who have been determined eligible for Chapter 667 (elderly and disabled) housing.

Allowable Non-Utility Expense Level (ANUEL) is the amount of non-utility expense allowed for each local housing authority based upon the type(s) of housing programs administered.

ANUEL: Allowable Non-Utility Expense Level

AP: Annual Plan

Annual Plan: A document prepared by each Local Housing Authority, incorporating the Capital Improvement Plan (CIP), Maintenance and Repair Plan, Budget, responses to the Performance Management Review, and other elements.

Cap Share is the amount of Formula Funding spending approved by DHCD for each year.

Capital Funds: Funds provided by DHCD to an LHA for the modernization and preservation of state-aided public housing, including Formula Funds and Special Capital Funds.

Capital Needs Assessment, similar to the CIP, often used for developments in the Section 8 New Construction/Substantial Rehabilitation program. Such developments are generally not eligible for state capital funds and therefore do not participate in the CIP process. However, to track their ongoing capital needs and plan for construction projects to address those needs, they often conduct a CNA to determine when building systems will wear out and need to be replaced, and what replacement will cost, so they can plan to ensure that the necessary funding will be available

Capital Projects are projects that add significant value to an asset or replace building systems or components. Project cost must be greater than \$1000.

CIMS is a web-based software system used for creating CIP's and Annual Plans. For the CIP, the CIMS program allows the LHA to prioritize, select and schedule projects, assign funding sources and direct project spending to specific fiscal years to create a CIP that is consistent with the LHA's FF award amount and FF cap shares, plus any additional funding resources the LHA has identified. The LHA submits its CIP and DHCD conducts its review of the LHA's CIP in CIMS. For the Annual Plan CIMS imports data from other DHCD systems and combines that with data entered by the LHA.

CIP: A Capital Improvement Plan (CIP) is a five (5) year plan which identifies capital projects, provides a planning scope, schedule and budget for each capital project and identifies options for financing and implementing the plan. The contents of a CIP are limited to available resources. An approved CIP is required in order to receive Formula Funds.

CNA: Capital Needs Assessment

CPS is DHCD's transparent Web-based capital planning system that catalogues the condition of every building and site in the statewide public housing portfolio, providing LHAs with detailed technical information to make strategic long-term capital investments. It includes a Facility Condition Index (FCI) for every development that compares the value of expired components of a development relative to its replacement cost.

Deferred Maintenance is maintenance, upgrades, or repairs that are deferred to a future budget cycle or postponed for some other reason. Sometimes it is referred to as extraordinary maintenance.

Deficit housing authority: a housing authority whose income (mainly from rent) does not cover all its normal operating costs in its approved operating budget, and which therefore operates at a deficit and requires operating subsidy from DHCD.

DHCD: Massachusetts Department of Housing & Community Development

Extraordinary Maintenance: see the description for budget line 4610 in the Explanation of Budget Accounts in the Budget Section of this Annual Plan.

FF: Formula Funding

Formula Funding is state bond funding allocated to each LHA according to the condition (needs) of its portfolio in comparison to the entire state-aided public housing portfolio.

FYE: Fiscal Year End

HHA Administrative Fee is the fee paid to an HHA from the RCAT Program budget.

HHA: Host Housing Authority for the RCAT program.

Host Housing Authority (HHA). An LHA selected by the Department to employ and oversee an RCAT.

HUD: U.S. Department of Housing and Urban Development

LHA: Local Housing Authority

LTO: Local Tenants Organization

Management and Occupancy Report: This is an annual HUD review process that is used to evaluate the performance of developments in various HUD housing programs, including the Section 8 New Construction/Substantial Rehabilitation program, which some LHAs operate. It is similar to the state PMR process in that it evaluates LHA performance on variety of financial, housing quality, and other standards

Massachusetts Rental Voucher Program (MRVP) is a state-funded program that provides rental subsidies to low-income families and individuals.

MOR: Management and Occupancy Report

MRVP: Massachusetts Rental V DHCD's annual review of each housing authority's performance. It pulls together data on the authority's occupancy rates, tenant accounts receivables, accounts payable, budget variance, operating reserve, capital improvement plan submission, capital spending, annual inspections and work order and maintenance systems to identify and address areas of strength and areas for development. Its goal is to allow DHCD and the LHA to

take a deep dive into the data, lift up best practices, and work together towards improving operations oucher Program.

Performance Management Review (PMR):

PMR: Performance Management Review

RCAT: Regional Capital Assistance Team

Regional Capital Assistance Team: One of three organizations employed at HHAs designated by the Department to carry out the RCAT Program.

Sec.8 NC/SR (or S8NCSR): Section 8 New Construction and Substantial Rehabilitation

Section 8 New Construction and Substantial Rehabilitation (Sec.8 NC/SR): This term refers to a federal HUD housing program operated at a small number of state public housing developments whose construction was funded by state grants, but whose ongoing operating costs are supported by project-based subsidies from HUD's federal Section 8 program, rather than from state public housing operating funds..

Special Awards: In addition to allocations to each LHA, DHCD has created limited set aside funds to provide for extreme emergency or code compliance needs which are beyond the capacity of an LHA's current FF balance.

Surplus housing authority: a housing authority whose income (mainly from rent) covers all its normal operating costs in its approved operating budget, and which therefore operates at a surplus and does not require operating subsidy from DHCD.

Attachments

The following items have been uploaded as attachments to this Annual Plan.

Due to the COVID-19 emergency, on-site Performance Management Review (PMR) assessments by the Facilities Management Specialists were cancelled for the December fiscal year end housing authorities. Therefore, the Facility Management categories have been omitted from the PMR document.

- Local Tenants Organization (LTO) Letter(s)
- Public Comments and LHA Responses
- Cover sheet for tenant satisfaction surveys
- Tenant Satisfaction Survey 667 Program

David Houlden, Executive Director
Gloucester Housing Authority
259 Washington Street
Gloucester, MA 01930

Dear Mr. Houlden

Thank you for meeting with us to review the proposed EOHLC Annual Plan for FY 2025. After careful consideration, the McPherson Park Tenant Association (MPTA) has decided to support the EOHLC Annual Plan submission for the fiscal year ending June 30, 2025 (FY25)

Sincerely,



Rita Murray Riley
MPTA President

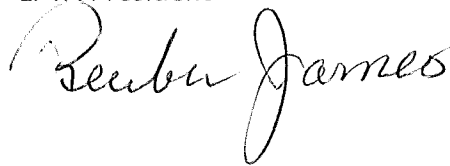
February 25, 2024
David Houlden, Executive Director
Gloucester Housing Authority
259 Washington Street
Gloucester, MA 01930

Dear Mr. Houlden

Thank you for meeting with us to review the proposed EOHLC Annual Plan for FY 2025. After careful consideration, the Lincoln Park Tenant Association (LPTA) has decided to support the EOHLC Annual Plan submission for the fiscal year ending June 30, 2025 (FY25)

Sincerely,

Reuben James
LPTA President

A handwritten signature in black ink that reads "Reuben James". The signature is written in a cursive style with a large, looping initial "R" and a long, sweeping underline.

February 28, 2024
David Houlden, Executive Director
Gloucester Housing Authority
259 Washington Street
Gloucester, MA 01930

Dear Mr. Houlden

Thank you for meeting with us to review the proposed EOHLC Annual Plan for FY 2025. After careful consideration, the Sheedy Building Tenant Association (SBTA) has decided to support the EOHLC Annual Plan submission for the fiscal year ending June 30, 2025 (FY25)

Sincerely,


Andrea Mackey
SBTA President

**GLOUCESTER HOUSING AUTHORITY
FY 2025 EOHLC Annual Plan
Minutes of March 13, 2024 Public Hearing**

The Gloucester Housing Authority (GHA) FY 2025 DHCD Annual Plan Public Hearing was held on Wednesday, March 13, 2023, at 4:30 p.m. as part of the March Regular Meeting of the GHA Board of Commissioners. The meeting was conducted at the GHA's Administrative Offices at 259 Washington Street, Gloucester, MA.

The Public Hearing Notice was posted on the GHA website, posted as a Public Meeting with the City of Gloucester Clerk's Office, posted at each State-aided Housing Development, and provided to the Local Tenant Organization (LTO) on January 26, 2024.

In attendance were Board Commissioners Scott B. Duffany, Chair; Irene Frontiero, Vice-Chair; Jennifer-Lee Levitz Aronson, Treasurer and Barbara Snare, Asst. Treasurer.

Also in attendance were David S. Houlden, Executive Director, Jennifer Hapgood-White, Assistant Executive Director and Sharon Fortado, Executive Assistant.

Four residents also attended the hearing.

The Chair opened the Public Hearing at 4:30 p.m. The Executive Director then provided an overview of the Plan. This is the third year of the Annual Plan process which is required by the Housing Reform Act of 2014 to provide greater transparency for housing authorities. The plan covers the following elements for our State-aided Programs:

1. Proposed Capital Improvement Plan (5-year)
2. Proposed Maintenance and Repair Plan
3. Current Operating Budget
4. Responses to the Performance Management Review (PMR) findings
5. List of the 26 Board-approved housing authority policies
6. List of the one waiver from governing regulations of the Department of Housing and Community Development (DHCD) to collect Security Deposits.
7. Other elements

The Director provided an overview of each plan section, including proposed capital projects. The Director noted that there was no need to comment on the PMR this year as one had not been conducted for FY 2023. The last resident survey was conducted in 2019 and was generally favorable.

The Director noted that he had met with the McPherson Park Tenant Association on February 22, 2024, and the Lincoln Park Tenant Association & Sheedy Building Tenant Association on February 28, 2024, and that all three associations endorsed the plan and have provided letters declaring their support.

After the Executive Director completed his presentation, the Chair allowed members of the public to speak in favor or opposition to the plan and to offer any comments. No member of the public came forward to speak in favor or in opposition to the plan or offered any comments.

After some questions clarifying some boilerplate elements of the plan, maintenance work order classifications and progress on concerns identified in the 2019 resident survey, members of the Board spoke in favor of the plan and commended the Executive Director and staff for all their efforts developing the plan.

The Chair then closed the Public Hearing at 4:45 p.m.

Respectfully,



David S. Houlden, Executive Director/Secretary Ex-officio

Resident Surveys – Background

Since 2016 DHCD has been working with the Center for Survey Research (CSR) at the University of Massachusetts Boston to survey residents in the state public housing units it oversees. The surveys are confidential, mailed directly to residents, and returned to CSR by mail (or, starting in 2019, completed on-line). CSR surveys residents of elderly/disabled units (also known as Chapter 667) and family units (also known as Chapter 200 and Chapter 705).

During each round all units are mailed surveys, with one exception: in the case of the twelve housing authorities with more than 225 c.200 family units, a randomly selected group of 225 units was surveyed at each housing authority. This group was determined to be large enough to generate statistically useful results. In both rounds, responses from c.200 and c.705 residents are always combined.

Round One Surveys (2016 – 2018)

In Round One of the surveys, CSR surveyed residents of elderly/disabled units (c.667) in three groups in the Fall of 2016, 2017 and 2018. CSR surveyed residents of family units (c.705 and c.200) in the Spring of 2016. (Note: there are many more c.667 units, so they were broken down into three groups).

Notes on Round One Surveys

1. In previous publications of this survey data, if there were at least twenty responses from residents of an authority's c.667 units or from their c.200/705 units, then there is a separate report for that program.
2. We originally combined data from c.667 and c.200/705 units for some LHAs with limited family data. However, to be consistent with the new Round Two methodology described below, we recalculated the Round One data using the new methodology. Since we no longer combine results from the different programs several LHAs no longer have a report for their c.200/705 units given the small data set for those units.

Round Two Surveys (2019 – 2022)

Round Two of the surveys began in 2019. CSR surveyed about one-third of the elderly/disabled units in Fall 2019, Fall 2021, and Fall 2022. CSR surveyed all family units in Fall 2020.

Notes on Round Two Surveys

1. We refined our reporting methodology and will issue survey results for any program (c.667 or c.200/705) meeting these requirements:
 - 8-19 completed surveys received, if the response rate is at least 40%
 - 20-29 completed surveys received, if the response rate is at least 20%
 - 30+ completed surveys received, if the response rate is at least 15%
2. Responses from the family units will not be combined with responses from elderly/disabled units as they originally were in Round One. Since the variance between the results of the elderly/disabled and family programs was sometimes significant, we determined that combining the two yielded less accurate results.



NOTE

This copy of the survey shows the percentage of respondents who chose each answer. DHCD also collected demographic information from survey respondents, such as gender, race, education, and age. The responses to these demographic questions are not included in this report in order to protect the anonymity of respondents.

In the fall of 2019, surveys were sent to **200** housing units (Chapter 667) in the Gloucester Housing Authority. **95** surveys were completed. The percentages presented here are based on that number.

1. How many years have you lived in your current apartment?

- 20%** Less than 2 years
- 31%** 2 to 5 years
- 22%** 6 to 10 years
- 27%** More than 10 years

Maintenance & Repair

8. In the last 12 months, how often were you treated with courtesy and respect by the maintenance staff of your development??
 - 0%** Never
 - 6%** Sometimes
 - 15%** Usually
 - 79%** Always
9. Does the Housing Authority let you know before they enter your apartment??
 - 90%** Yes
 - 4%** No
 - 5%** Don't Know
10. "Building maintenance" includes things such as clean halls and stairways and having lights and elevators that work. In the last 12 months, how would you rate the overall building maintenance??
 - 1%** Poor
 - 18%** Fair
 - 31%** Good
 - 22%** Very Good
 - 28%** Excellent
11. In the last 12 months, how would you rate how well the outdoor space is maintained at your development (such as litter removal and clear walkways)?
 - 4%** Poor
 - 14%** Fair
 - 34%** Good
 - 25%** Very Good
 - 23%** Excellent
12. In the last 12 months, how many times did you completely lose heat in your apartment?
 - 61%** Never → **If Never, go to #14**
 - 21%** Once
 - 14%** 2 or 3 times
 - 3%** 4 times or more

13. How long did it usually take for your heat to come back on?

57% Less than 24 hours

29% 24 to 48 hours

14% More than 48 hours

14. In the last 12 months, did you have any of these other heating problems?

	Yes
a. Apartment was too hot	12%
b. Apartment was too cold	6%
c. Took too long for apartment to heat up	5%
d. Apartment felt too drafty	13%

15. In the last 12 months, how many times did you have no hot water in your apartment?

61% Never → **If Never, go to #17**

24% Once

15% 2 or 3 times

0% 4 times or more

16. How long did it usually take for the hot water to come back on?

75% Less than 24 hours

25% 24 to 48 hours

0% More than 48 hours

17. In the last 12 months, did you have any of these other water or plumbing problems?

	Yes
a. Clogged drains (sink, toilet, shower)	40%
b. Leaking pipes or faucets	22%
c. Complete loss of water	4%
d. Water temperature problems (too hot, too cold, unreliable)	12%
e. Water stains on the ceiling	10%
f. Sewer backed-up into your apartment	3%

Communication

18. In the last 12 months, has the Executive Director at your development held any meetings with residents??

85% Yes

2% No

13% Don't remember

19. In the last 12 months, how often were you treated with courtesy and respect by the management office of your development?

2% Never

10% Sometimes

15% Usually

73% Always

Safety

20. In the last 12 months, in general, how safe did you feel in your development?

57% Very safe → **If Very safe, go to #22**

26% Mostly safe

10% Somewhat safe

6% Not at all safe

21. For those who felt not at all, somewhat, or mostly safe: Why do you feel unsafe in your development? (Check all that apply.)

Building/Indoor Concerns

0% Not enough lighting in the hallways

10% Windows are not secure

26% Security of entry doors

51% Other tenants give door access code to non-residents

Outdoor Concerns

10% Not enough outdoor lights

33% Illegal activity in the development

44% Strangers hanging around who should not be there

33% Sidewalks are difficult to walk on

Other Concerns

18% The neighborhood/area the development is in

28% Another reason

22. Overall, how satisfied are you living in your development?

51% Very satisfied

44% Mostly satisfied

4% Mostly dissatisfied

1% Very dissatisfied